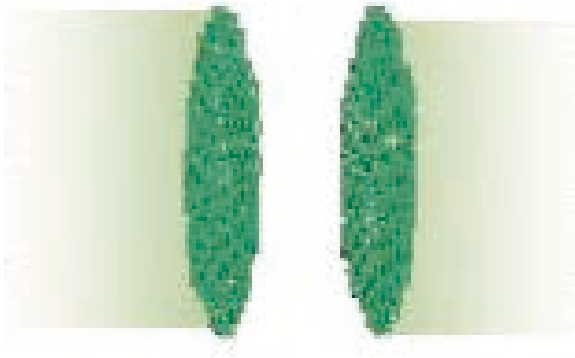
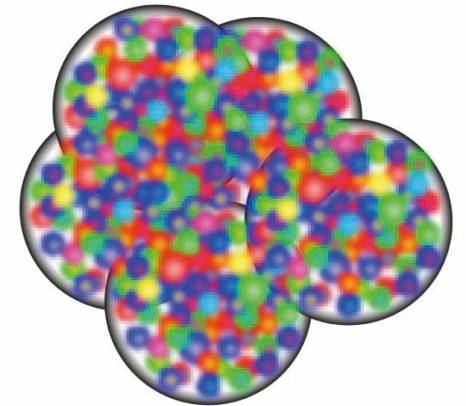


# Forward Calorimeter upgrade in ALICE



Tatsuya Chujo  
Univ. of Tsukuba



Jan. 24, 2017

CiRfSE workshop 2017

Univ. of Tsukuba, Tsukuba



筑波大学  
*University of Tsukuba*

# Outline

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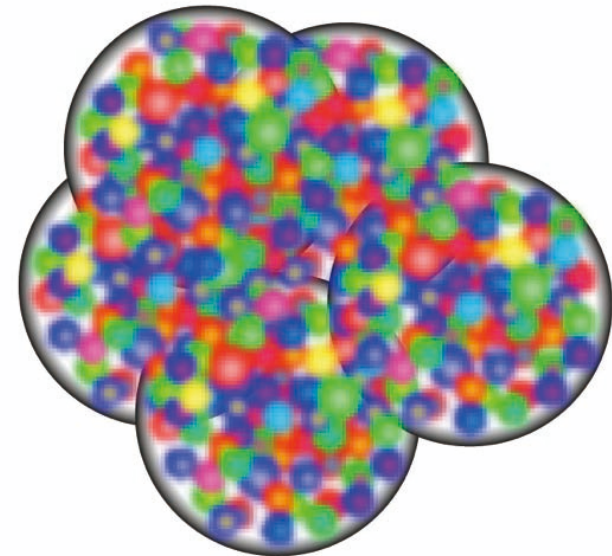
## 1. Physics motivations

## 2. Forward Calorimeter Project in ALICE

- Detector design, organization
- MAPS detector
- PAD detector

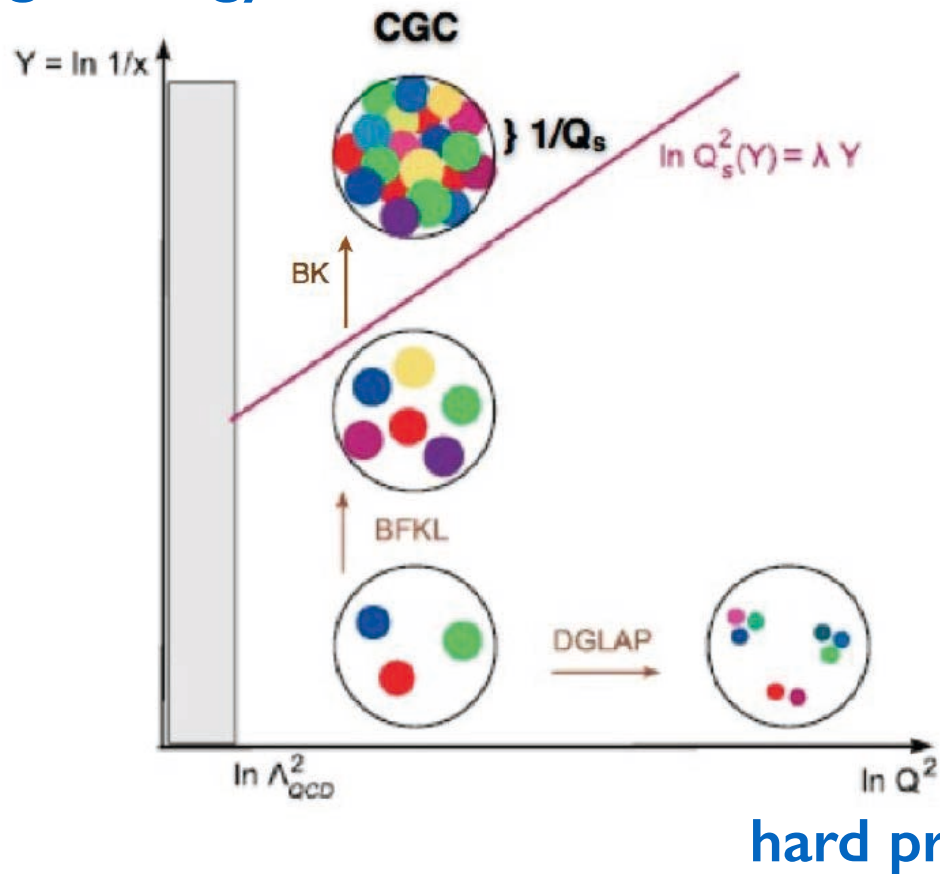
## 3. Schedule

## 4. Summary

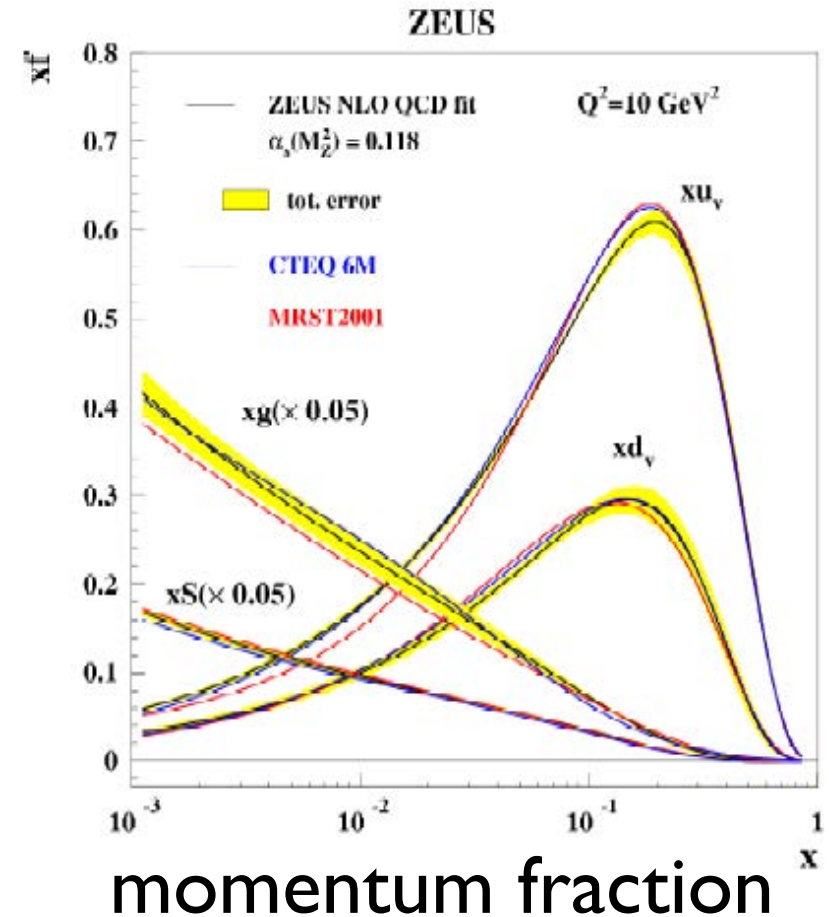


# Gluon Density in nucleon/ nuclear at high energy

high energy



distribution function

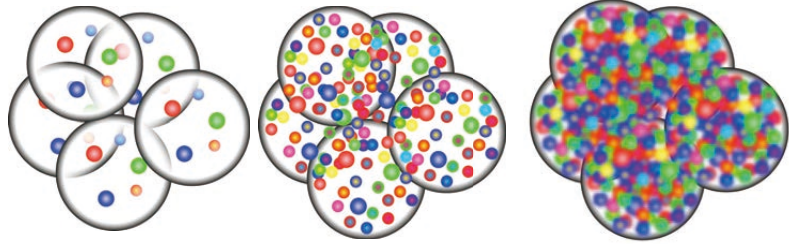


At small  $x$  and small  $Q^2$ , the parton density will become large by non-linear effects due to gluon fusion

- ➡ Gluon density saturate, called;
  - Gluon Saturation, or
  - Color Glass Condensate (CGC)

3 quarks

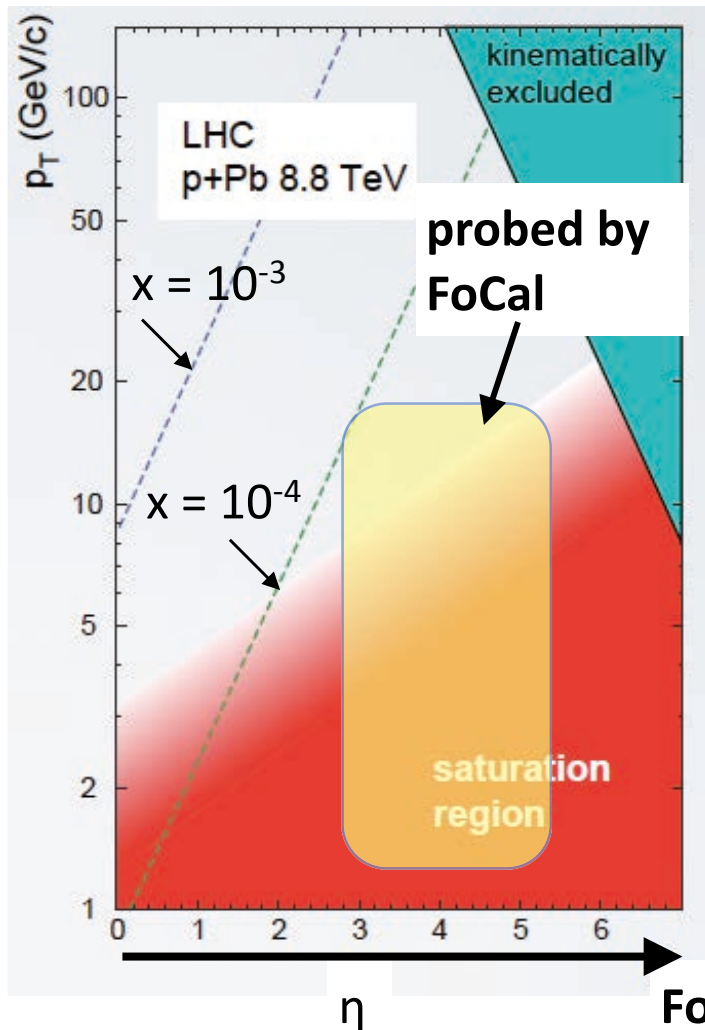
CGC



# Color Glass Condensate (CGC)

High energy, forward

- ① Saturated gluon state by the quantum fluctuation
- ② Universal picture at high energy nucleus and nucleon
- ③ But no clear experimental evidence for the creation of CGC



To find/ test CGC by experiment...

- (1) more forward
- (2) Higher energy
- (3) proton < nucleus
- (4) cleanness:  $h < \gamma$



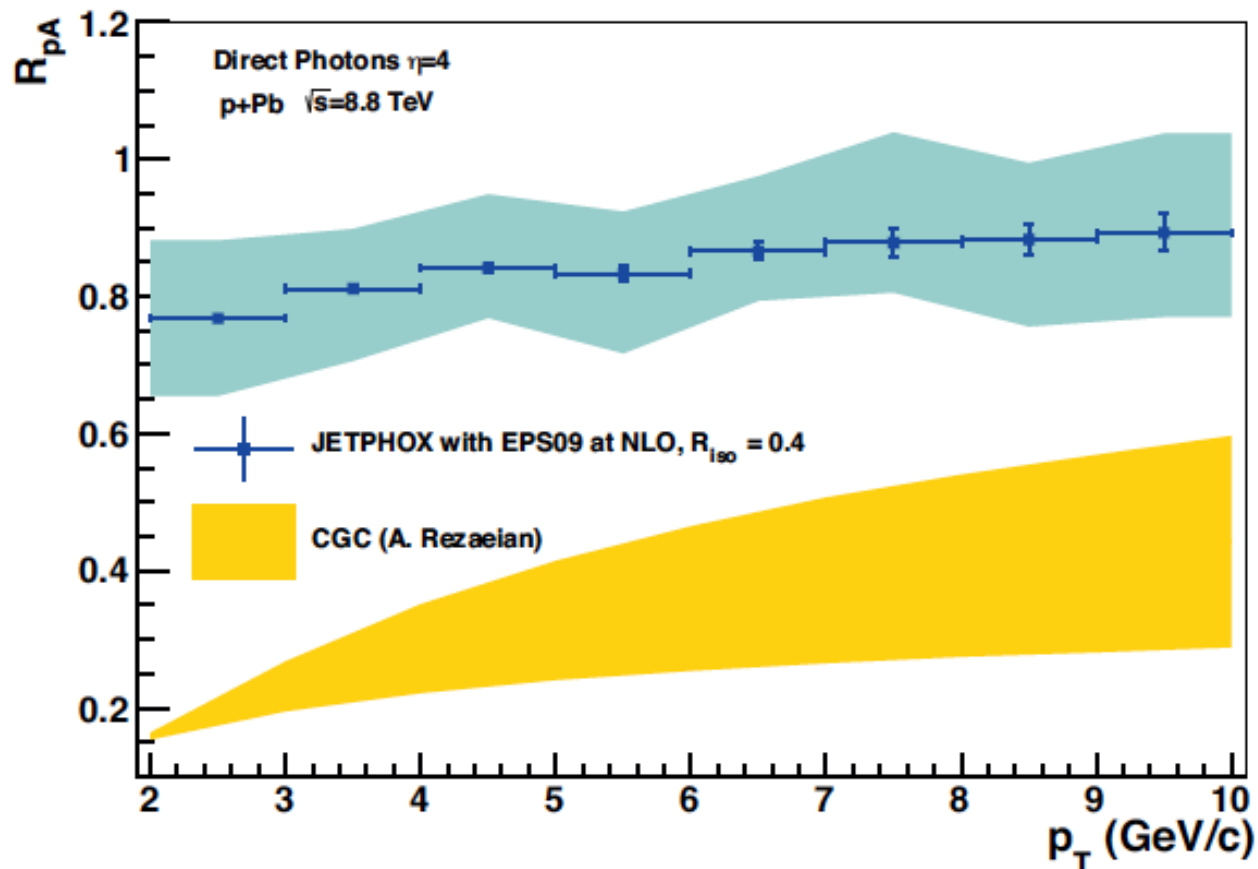
- 1. High particle flux at forward region
- 2. Difficult to measure direct photon at forward

But...

☞ Measurement is possible by Si technics (CMOS-MAPS, PAD) !

$$x_{\min} = \frac{2p_T}{\sqrt{s}} \exp(-\eta),$$

# Signal of CGC: $R_{pA}$



A. Rezaeian, PLB 718, 1058

## Two scenarios for forward $\gamma$ production in p+A at LHC:

- Normal nuclear effects linear evolution, shadowing
- Saturation/CGC running coupling BK evolution

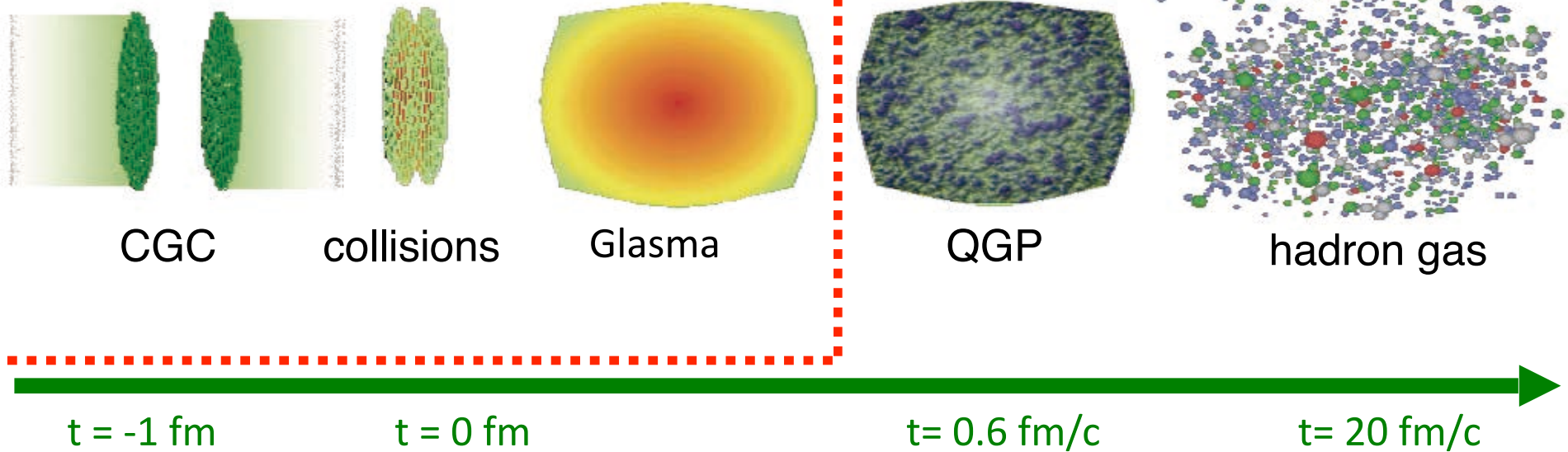
$$R_{pA} \equiv \frac{d^3N/dp_T^3(pA)}{\langle N_{coll} \rangle \cdot d^3N/dp_T^3(pp)}$$

- Strong suppression in direct  $\gamma$   $R_{pA}$ .
- Signals expected at forward  $\eta$ , low-intermediate  $p_T$ .

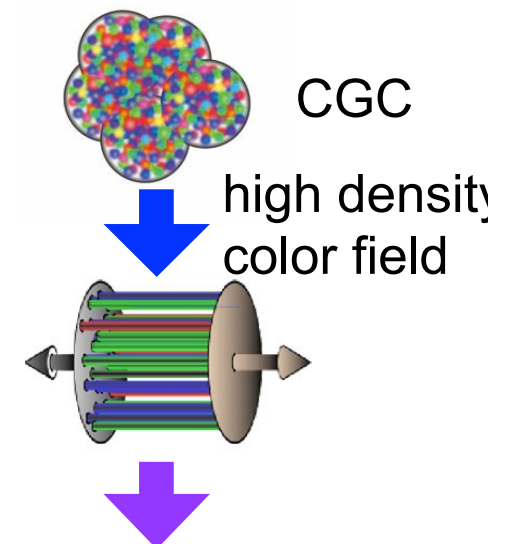
# QGP thermalization mechanism

Unknown !

QGP (known):  
rapid thermalization, strongly  
interacting perfect fluid



- High energy nucleus = What is the initial condition?
- Why so rapidly thermalized ( $t=0.6$  fm/c)?
  - **Instability of strong color field ?** → need to determine the initial condition clearly.
- Find the clear evidence for CGC formation as an initial condition (or exclude it).

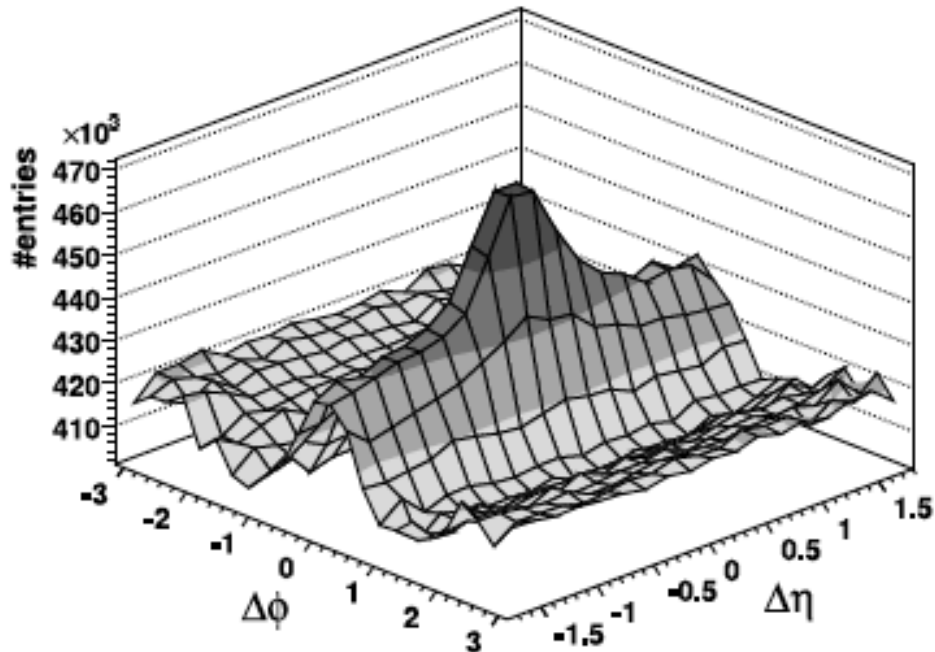


QGP rapid thermalization?

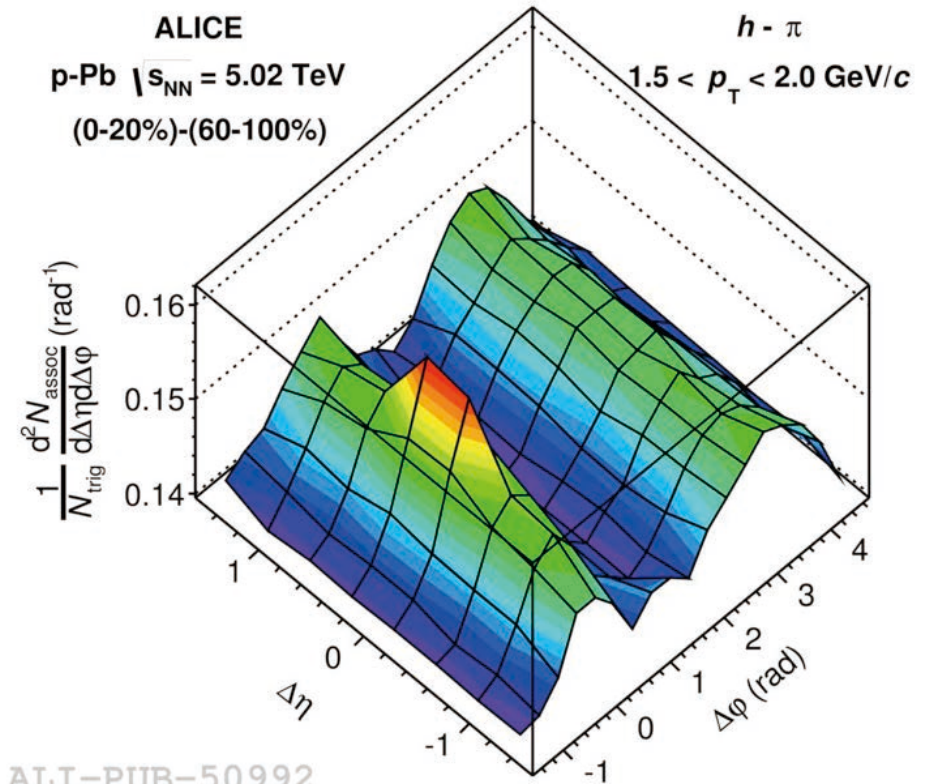


# Long range correlations in AA and pA (“Ridge”)

RHIC (STAR, Au+Au 200 GeV)



LHC (ALICE, pPb, 5.02 TeV)

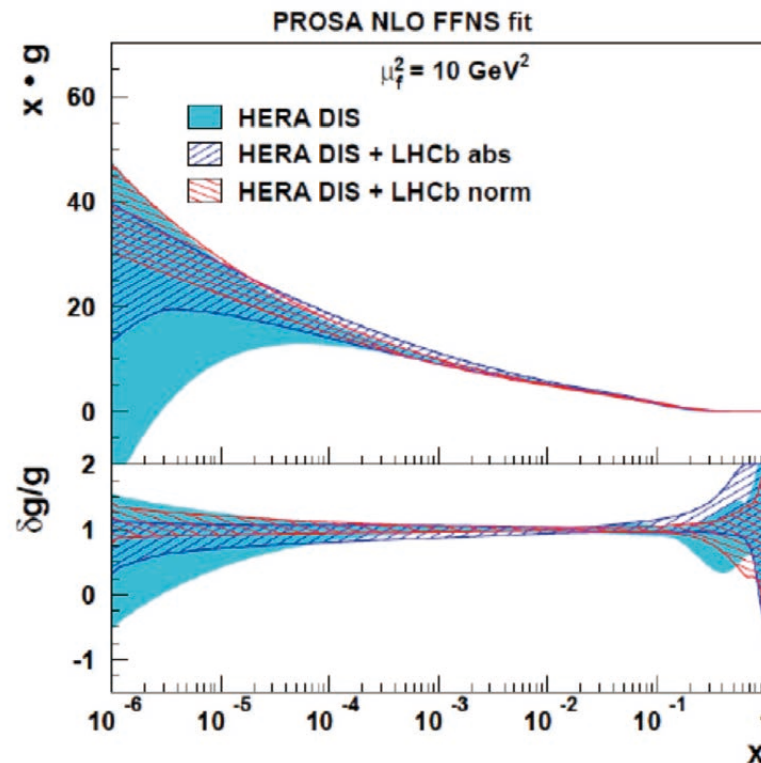
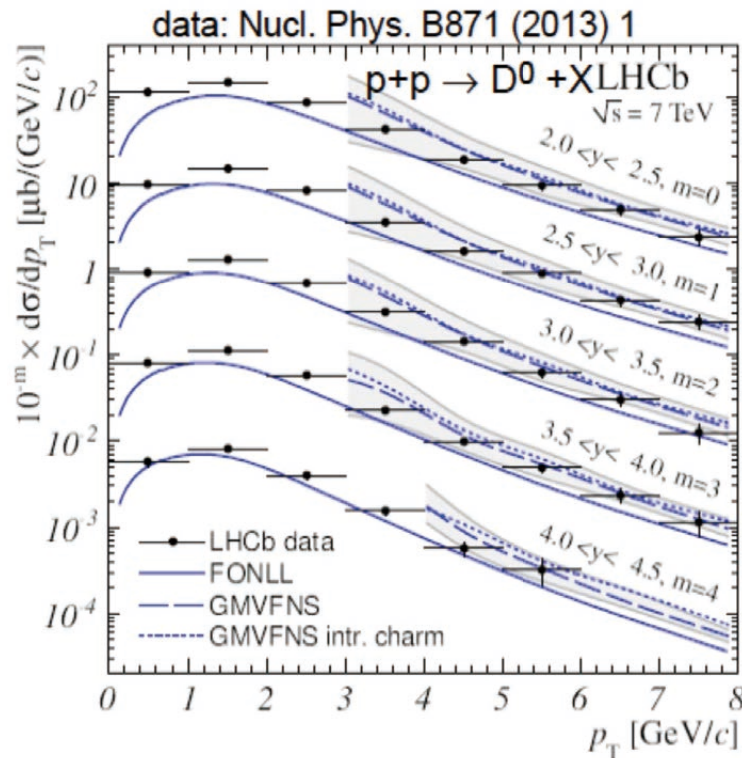


ALI-PUB-50992

- long range  $\Delta\eta$  correlations (ridge) at RHIC and LHC.
- Origin is still unknown.
- by CGC (initial condition) or others?

# photons vs. charm: $D^0$ meson (LHCb)

charm production in pp and pQCD at forward rapidity  
LHCb data



for a recent summary of data and pQCD predictions see:  
Guzzi, Geiser, Rizatdinova, 1509.04582 and Beraudo, 1509.04530  
additional constraint of gluon PDF in particular at low  $x$  (down to  $5 \cdot 10^{-6}$ )



# $R_{pPb}$ for $D^0$ (LHCb)

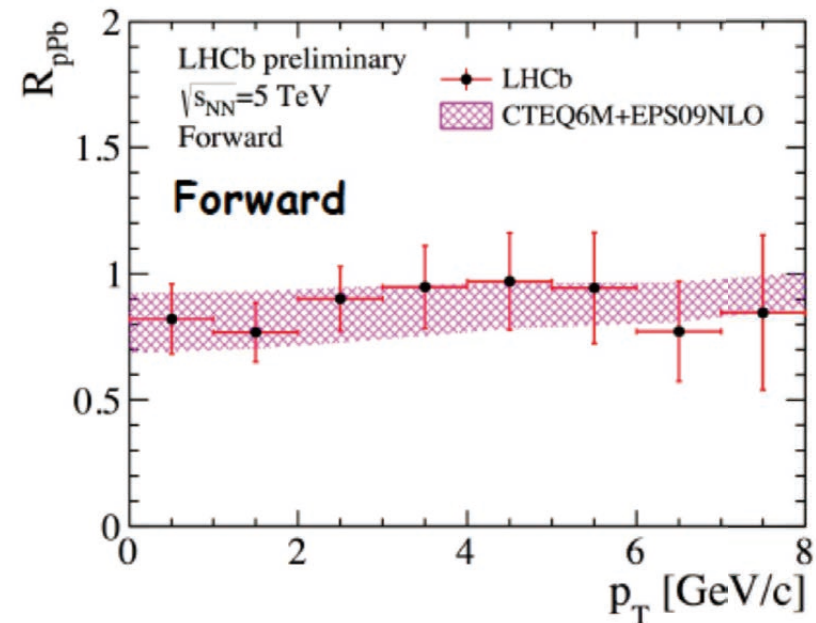
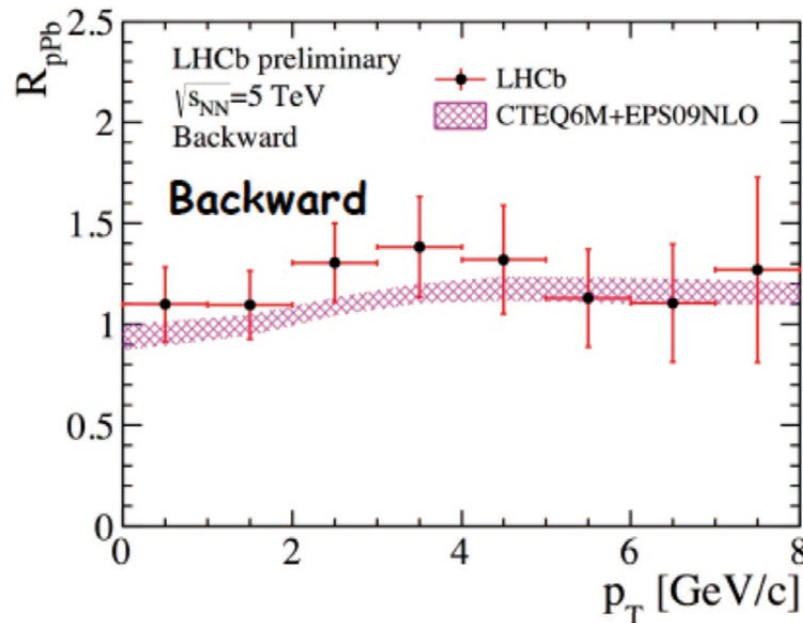
## Prompt $D^0$ nuclear modification factor

LHCb-CONF-2016-003



- Calculated as:  $R_{pPb}(y, p_T) = \frac{1}{A} \times \frac{\sigma_{pPb}(y^*, p_T, \sqrt{s_{NN}})}{\sigma_{pp}(y^*, p_T, \sqrt{s_{NN}})}$ ,  $A=208$
- $D^0$  cross-section in  $pp$  collision at  $\sqrt{s} = 5$  TeV extrapolated using LHCb measurements at 7 and 13 TeV [Nucl. Phys. B87 \(2013\), arXiv:1510.01707](#)
  - $pp$  data at  $\sqrt{s} = 5$  TeV are being analyzed, will be updated soon

New



MNR with CTEQ6M+EPS09NLO: [Nucl. Phys. B373 \(1992\) 295](#), [JHEP 10 \(2003\) 046](#), [JHEP 04 \(2009\) 065](#)

25/03/2016

Moriond QCD, 2016

11

# Isolated photons vs. hadrons

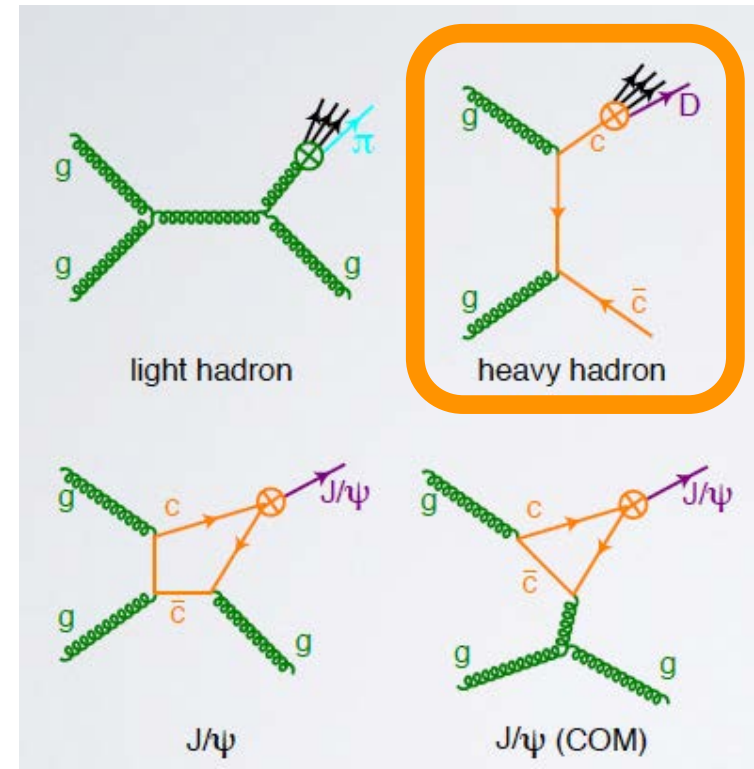
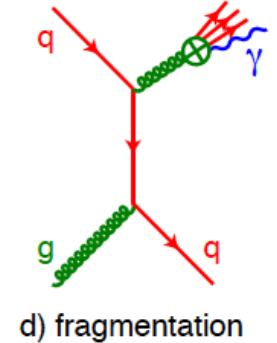
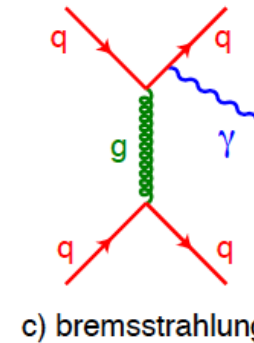
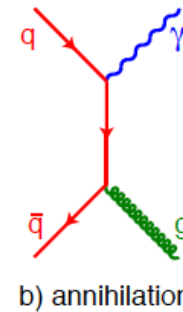
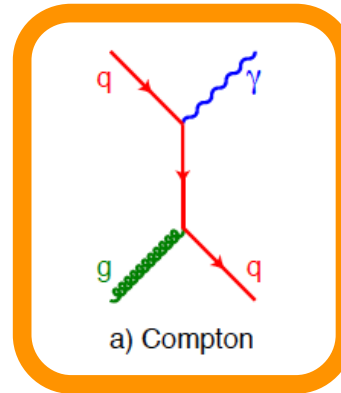
## Isolated direct photons can provide strong constraints on the gluon PDFs

- LO dominant process: quark-gluon Compton.
- Quark-anti-quark annihilation contributing mostly at large  $x$ .
- NLO: At LHC, the majority of prompt photons are produced in the fragmentation process
- Fragmentation photon can be largely suppressed by the isolation cut.

→ quark-gluon Compton process dominant, more direct access to the gluon PDFs and saturation physics

## photons

R. Ichou and D. d'Enterria, Phys. Rev. D 82, 014015 (2010)



## hadrons

# Uniqueness of this measurement

## High density gluon matter $\leftrightarrow$ Hot Quark Matter

A. Rezaeian, PLB 718, 1058

### ① Evidence for CGC

- direct photon = most clean signal for CGC
- Forward direct photon:  $R_{pA} \rightarrow$  CGC or not.

### ② Nature of CGC

- Direct photon  $R_{pA}$ : system, multiplicity,  $y$  &  $p_T$  dep.  
→ characterize CGC size, structure, onset.

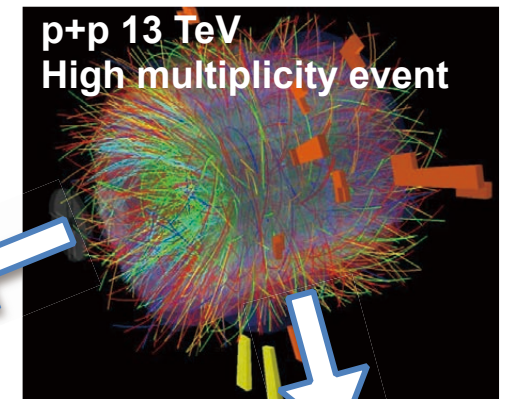
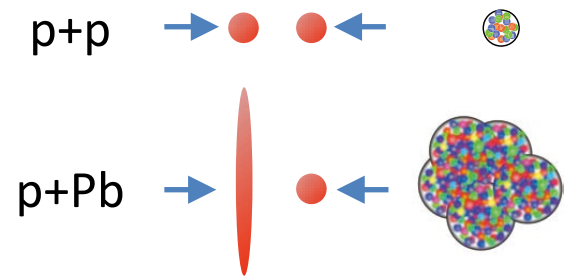
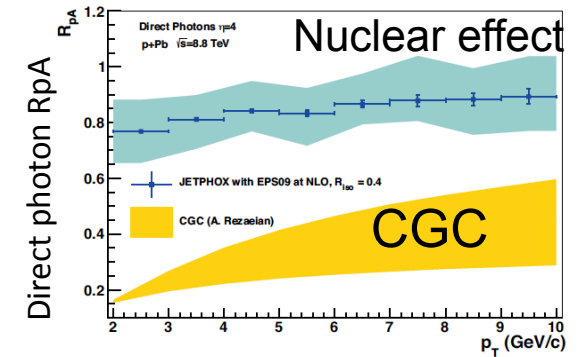
### ③ CGC and QGP thermalization mechanism

- Size of CGC (direct photon) and QGP temperature, expansion velocity, fluctuation.
- Forward photon / hadron vs. mid. photon / hadron

👉 correlation between CGC size and QGP thermalization (e-by-e)  
→ Mechanism of rapid thermalization

### ④ Connection to other research fields

- 「strong field」 : QCD color (gluon) field vs. QED field (Neutron star)
- 「forward」 : High energy cosmic rays

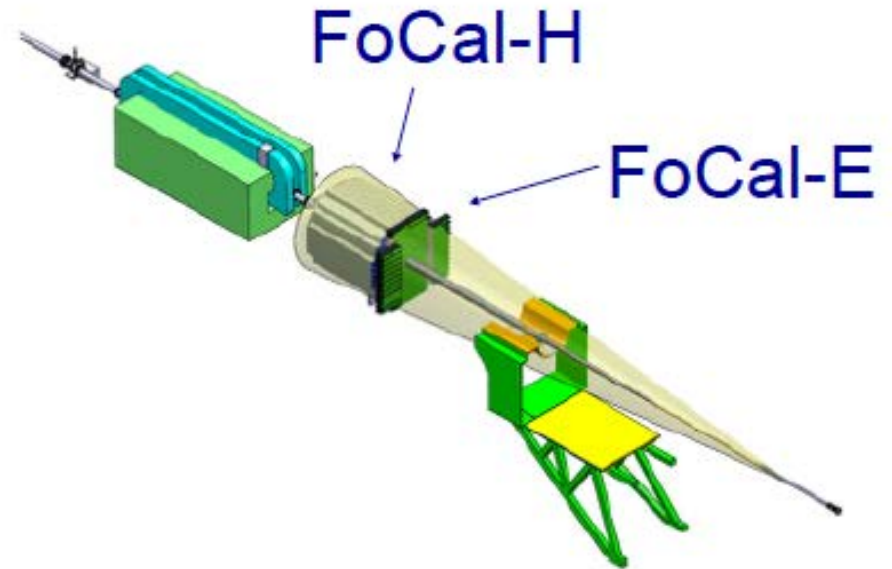


ALICE Forward photon = CGC state

ALICE mid (DCal, PHOS, TPC)  
photon = temperature  
hadron = expansion velocity

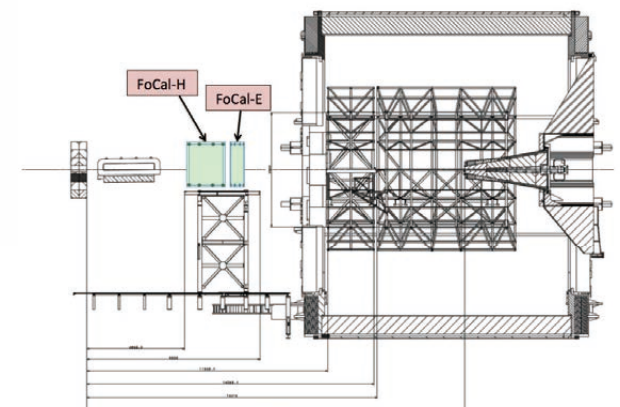
# ALICE FoCal Project

- p+Pb: looking for CGC effects at low x
  - Direct photons
  - $\pi^0$
  - di-hadron correlations
- p+p: forward particle production
  - Direct photons
  - $\pi^0$
  - di-hadron correlations
- Pb+Pb: medium density at fwd rapidity
  - $\pi^0$  at  $4 < \eta < 4.5$ 
    - Handle on longitudinal evolution of medium
    - Provide light meson baseline for J/psi, muon suppression
  - di-hadron correlations (TBC)



$$3.2 < \eta < 5.3$$

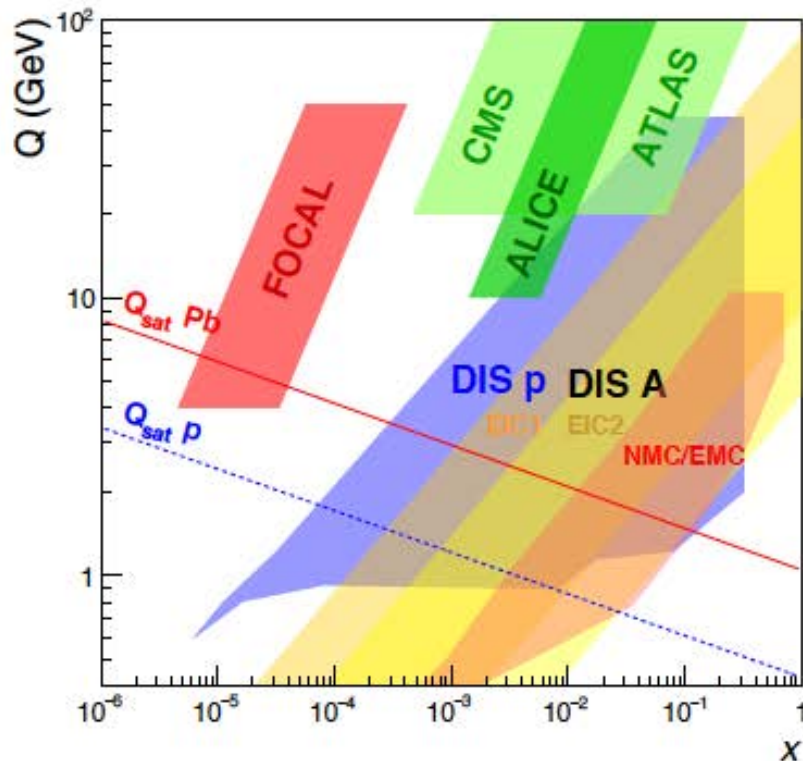
plus other capabilities: quarkonia, jets, mostly in p, p+Pb





# Kinematic reach by FoCal

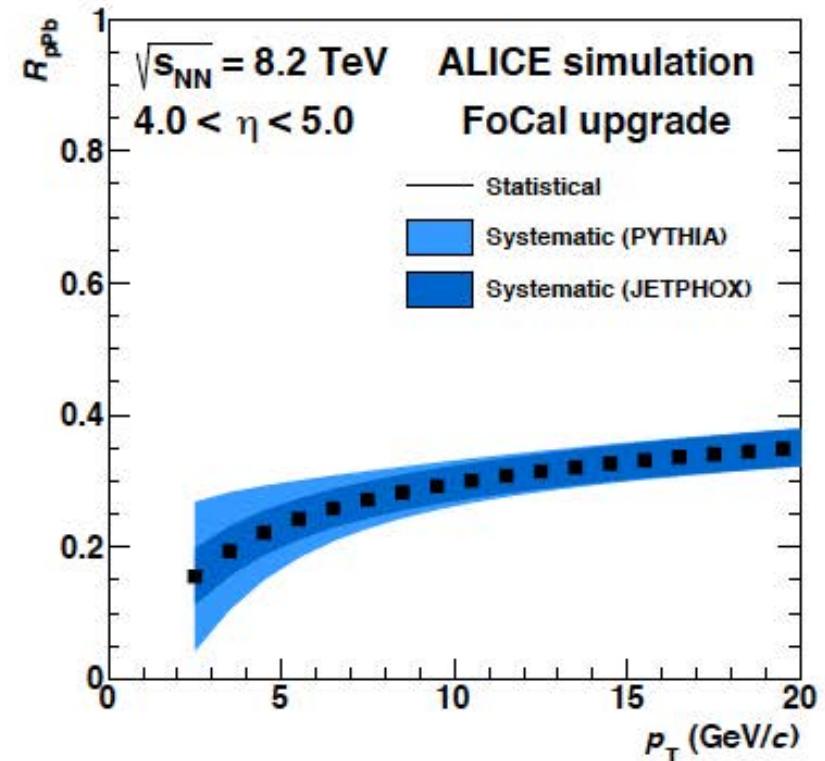
x-Q ranges for photons and DIS



Forward measurements at LHC  
access unique range in  $x$ ,  $Q^2$

Remark hadronic probes

Projected uncertainty for direct  $\gamma$   $R_{pPb}$

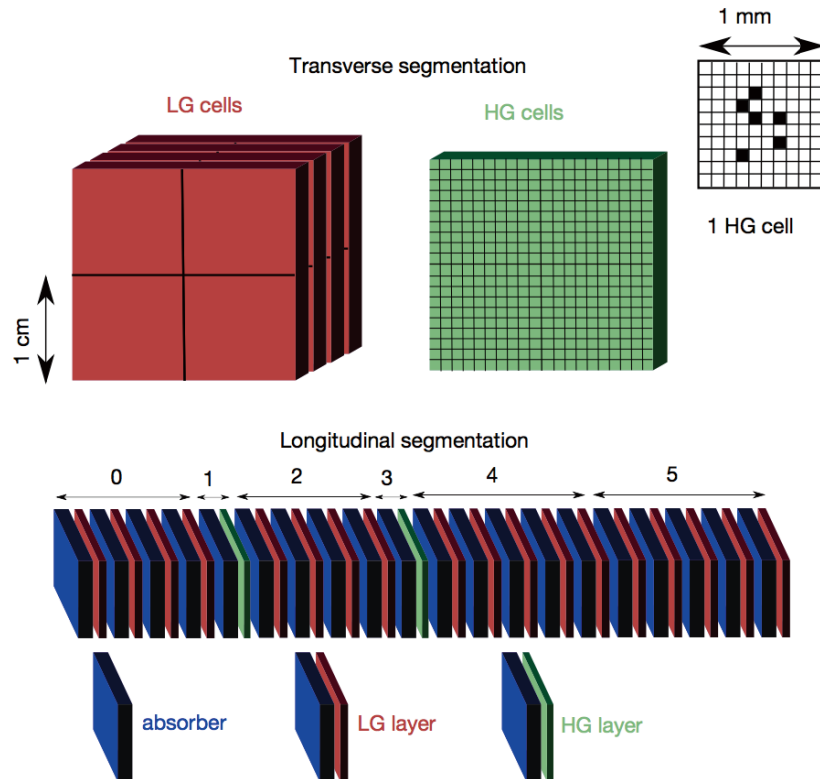


FoCal can measure direct photons  
in this range

Cleanest probe of PDFs

$p_0$ , eta, omega as well....

# FoCal-E prototypes



- **Si/W** sandwich calorimeter layer structure:
  - W absorbers (thickness  $1X_0$ ) + Si sensors
- Longitudinal segmentation:
  - 4 segments low granularity (LG)
  - 2 segments high granularity (HG)
- **LG segments**
  - 4 (or 5) layers
  - Si-pad with analog readout
  - cell size  $1 \times 1 \text{ cm}^2$
  - longitudinally summed
- **HG segments**
  - single layer
  - CMOS-pixel (MAPS\*)
  - pixel size  $\approx 25 \times 25 \mu\text{m}^2$
  - digitally summed in  $1\text{mm}^2$  cells

\*MAPS = Monolithic Active Pixel Sensor (cm)

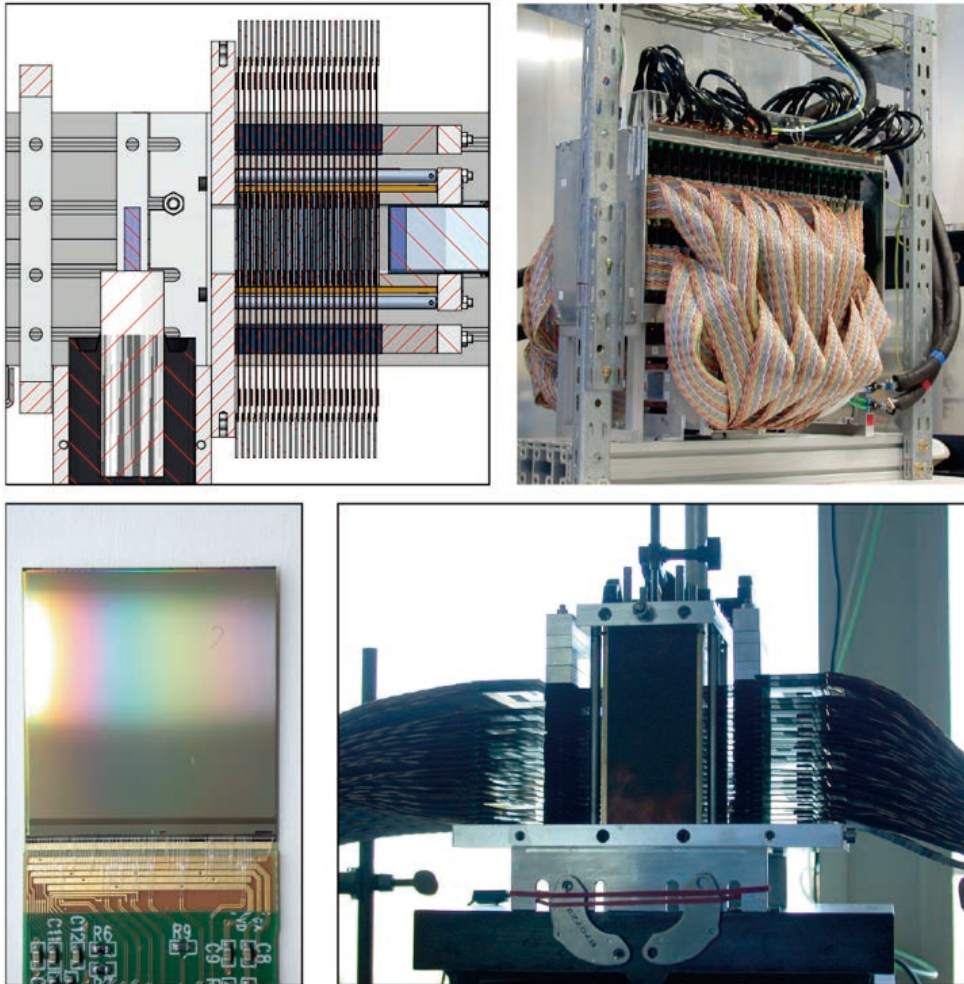
# FoCal project (Institutes)

| Short Name        | Full Name  | Representative  |
|-------------------|--|-----------------|
| Amsterdam         | Nikhef, Amsterdam, Netherlands                               | M. van Leeuwen  |
| BARC              | Bhaba Atomic Research Centre, Mumbai, India                  | V.B. Chandratre |
| Bergen            | University of Bergen, Bergen , Norway                        | D. Roehrich     |
| Bose              | Bose Institute, Kolkata, India                               | S. Das          |
| Detroit           | Wayne State University, Detroit, USA                         | J. Putschke     |
| Hiroshima         | Hiroshima University, Hiroshima, Japan                       | T. Sugitate     |
| IITB              | Indian Institute of Technology Bombay, Mumbai, India         | R. Varma        |
| Indore            | Indian Institute of Technology Bombay, Indore, India         | R. Sahoo        |
| Jammu             | Jammu University, Jammu, India                               | A. Bhasin       |
| Jyväskylä         | University of Jyväskylä, Jyväskylä , Finland                 | J. Rak          |
| Knoxville         | University of Tennessee, Knoxville, USA                      | K. Read         |
| Nagasaki          | Nagasaki Inst. of Applied Science, Nagasaki, Japan           | K. Oyama        |
| Nara <sup>§</sup> | Nara Women's University, Nara, Japan                         | M. Shimomura    |
| Oak Ridge         | Oak Ridge National Laboratory (ORNL),Oak Ridge, USA          | T. Cormier      |
| Prague            | Czech Technical University of Prague, Prague, Czech Republic | V. Petracek     |
| Sao Paulo         | Universidade de Sao Paulo (USP), Sao Paulo, Brazil           | M. Munhoz       |
| Tokyo             | Center of Nuclear Study (CNS), Tokyo, Japan                  | T. Gunji        |
| Tsukuba           | University of Tsukuba  | T. Chujo        |
| Tsukuba Tech      | Tsukuba University of Technology                             | M. Inaba        |
| Utrecht           | Utrecht University, Utrecht, Netherlands                     | T. Peitzmann    |
| VECC              | Variable Energy Cyclotron Centre, Kolkata, India             | T. Nayak        |

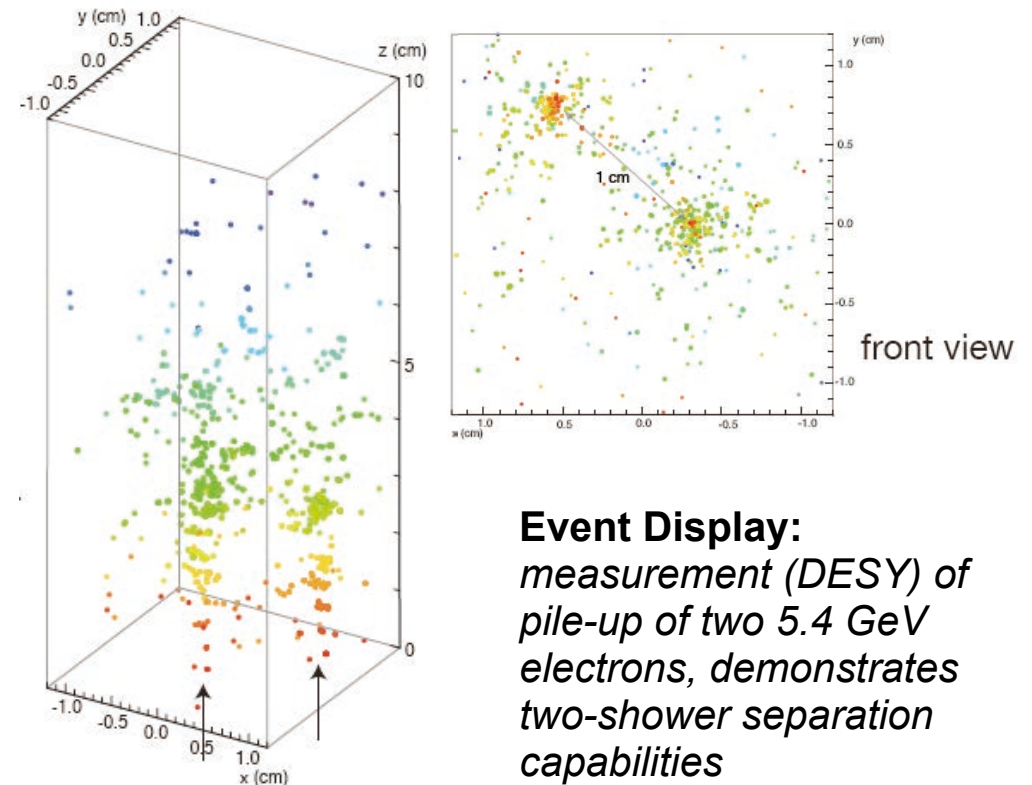


# High Granularity (HG) Prototype, MAPS

## MAPS prototype



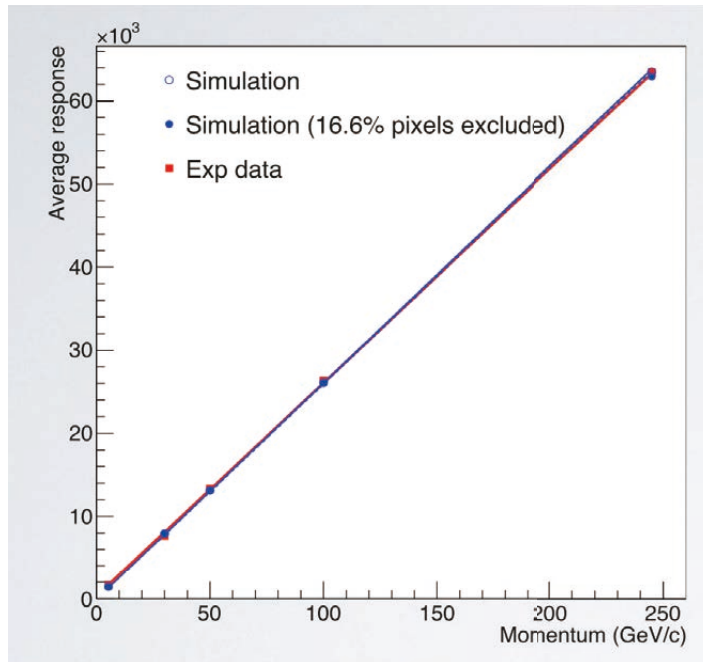
- 4x4 cm<sup>2</sup> cross section, 28 X<sub>0</sub> depth
- 24 layers: W absorber + 4 MAPS each
- MIMOSA PHASE 2 chip (IPHC Strasbourg)
  - 30 μm pixels
  - 640 μs integration time  
(needs upgrade – too slow for experiment)
- 39 M pixels total
- Test with beams at DESY, CERN PS, SPS



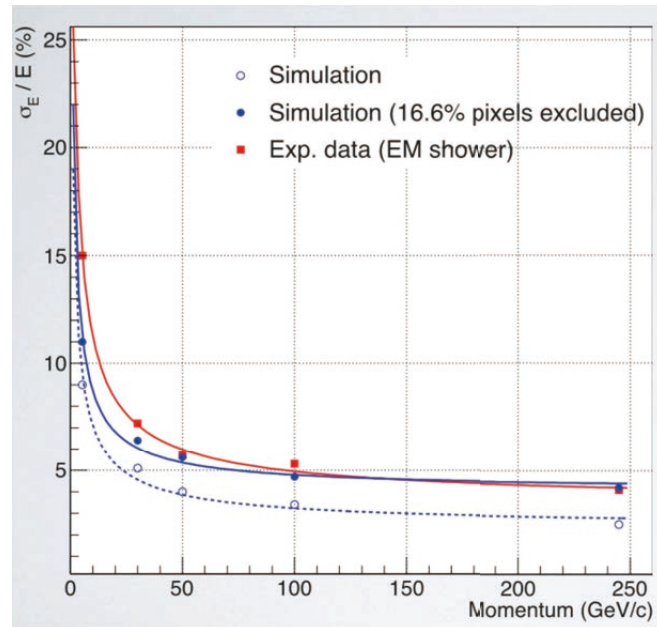
**Event Display:**  
*measurement (DESY) of  
pile-up of two 5.4 GeV  
electrons, demonstrates  
two-shower separation  
capabilities*



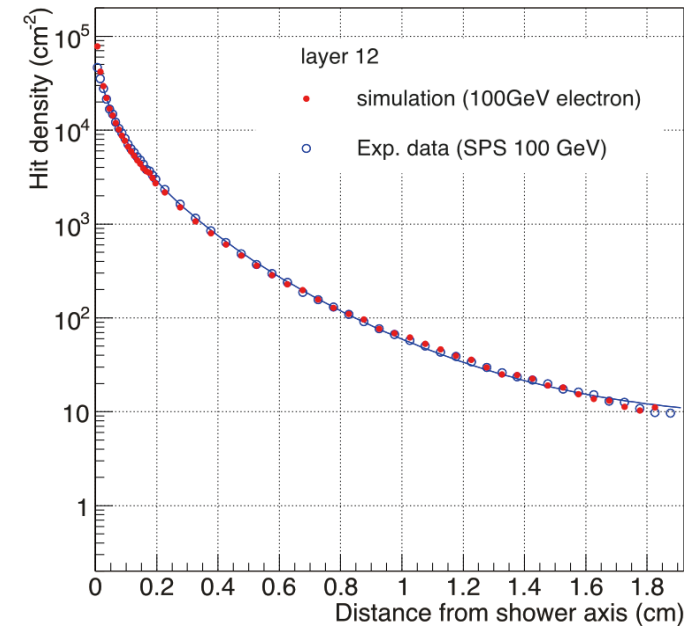
# High Granularity (HG) Prototype, MAPS



Linearity

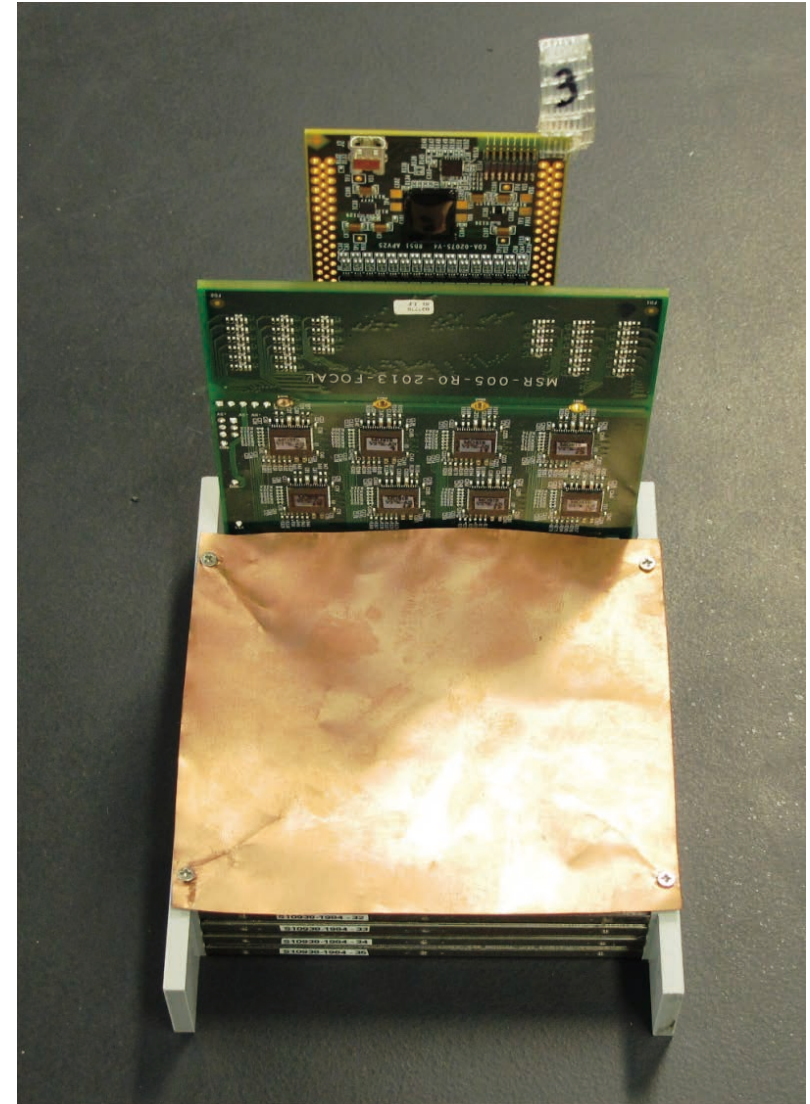
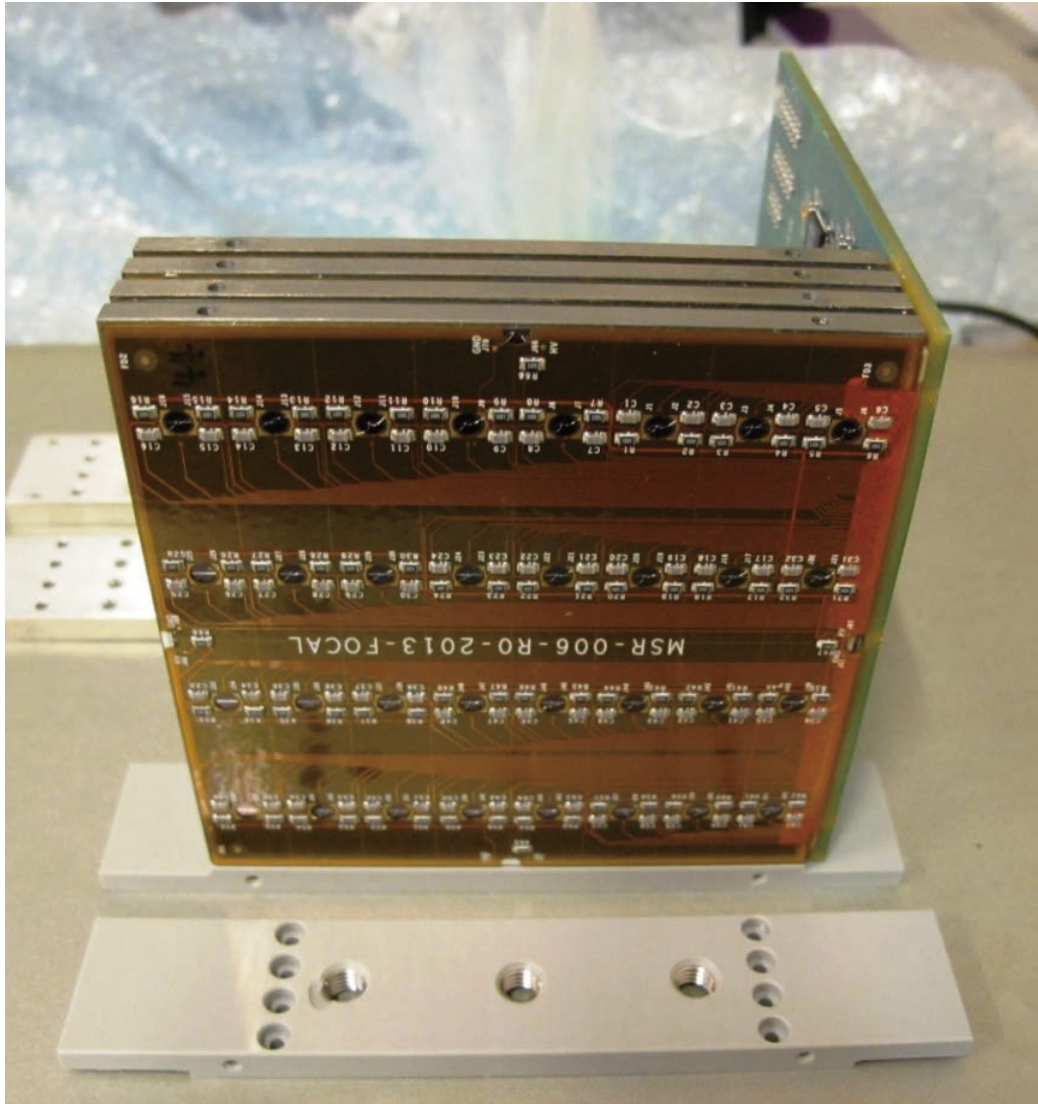


Energy resolution



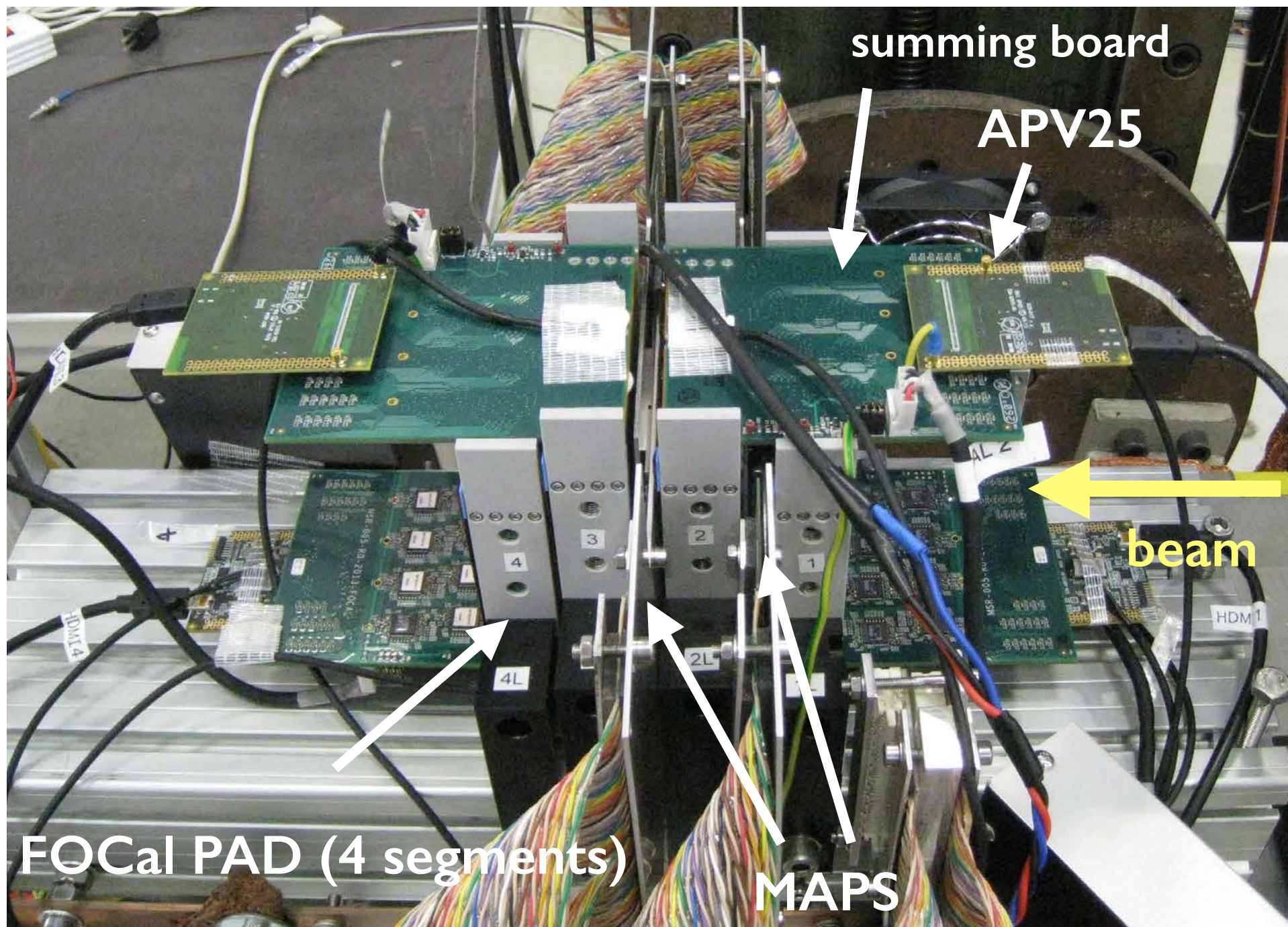
Shower profile

# Low Granularity (LG) Prototype, PAD (JP, US)



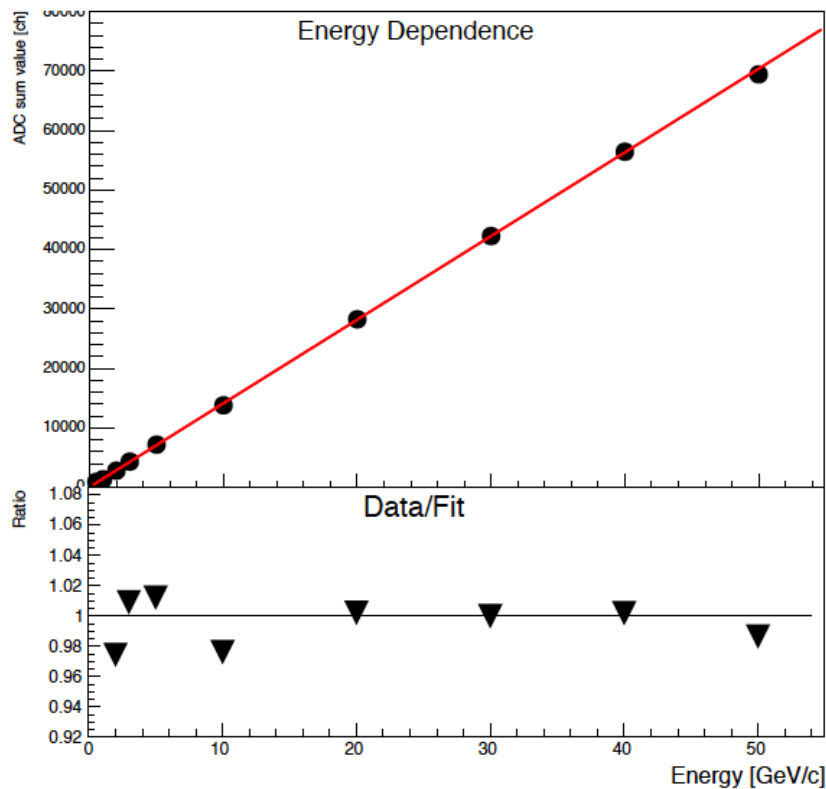
**FoCal PAD proto type, 1 segment (ORNL, Tsukuba, CNS-Tokyo)**



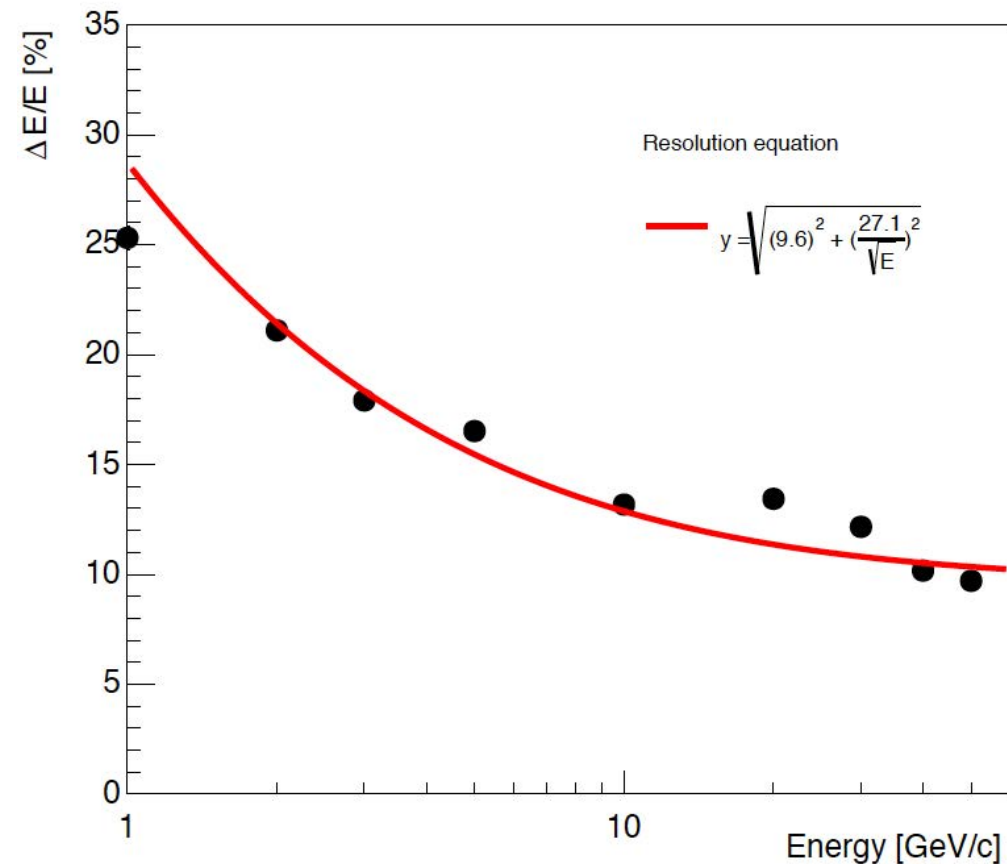


**Test beam setup @ PS (same for SPS) in 2015**

# Linearity and energy resolution (2015 beam test, Tsukuba)



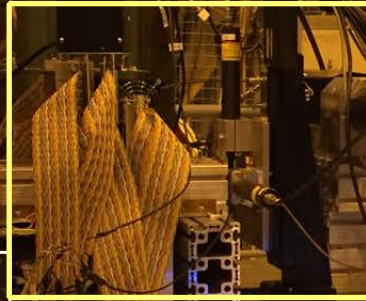
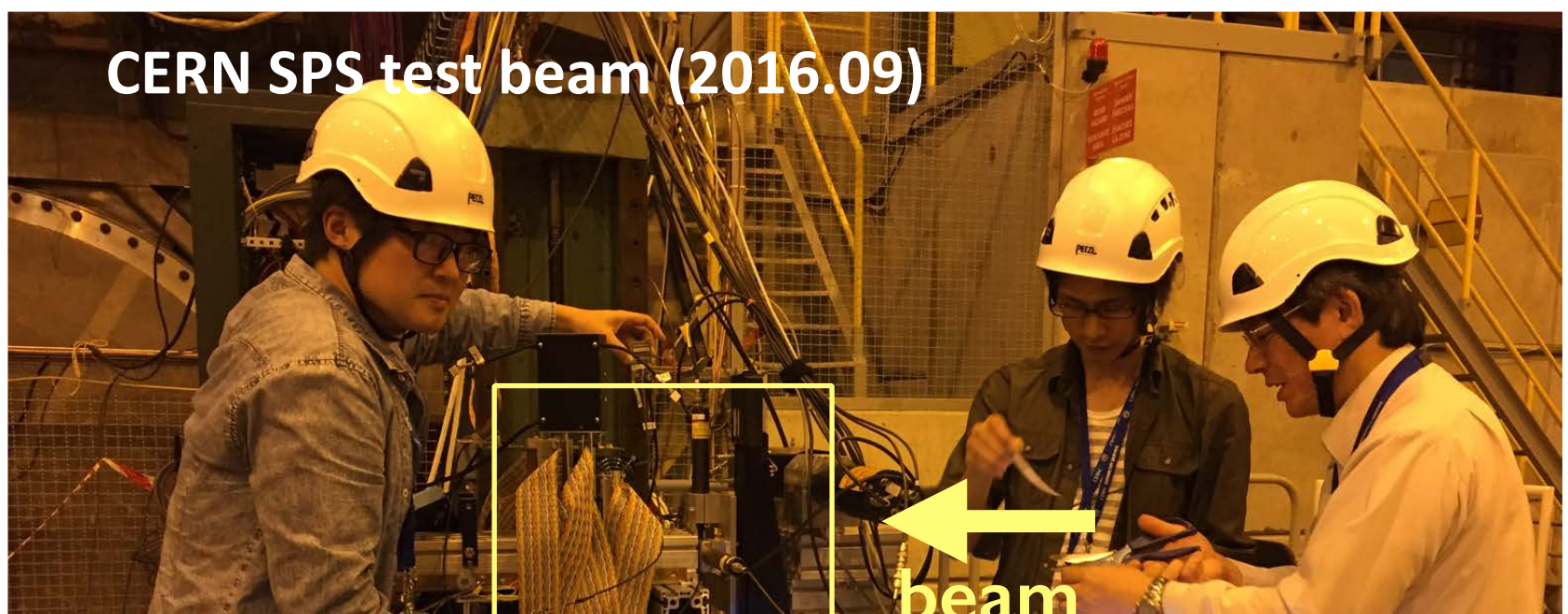
- Good linearity within  $\sim 3\%$  from PS to SPS energies.



- Stochastic term: close to the expected value.
- Constant term:  $< 10\%$

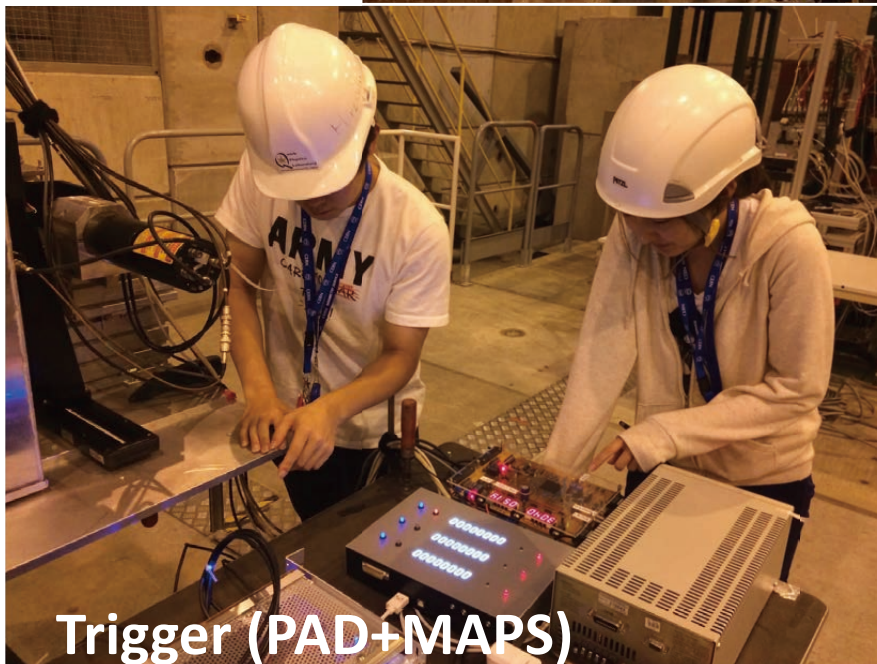


# CERN SPS test beam (2016.09)



beam

FOCal detector

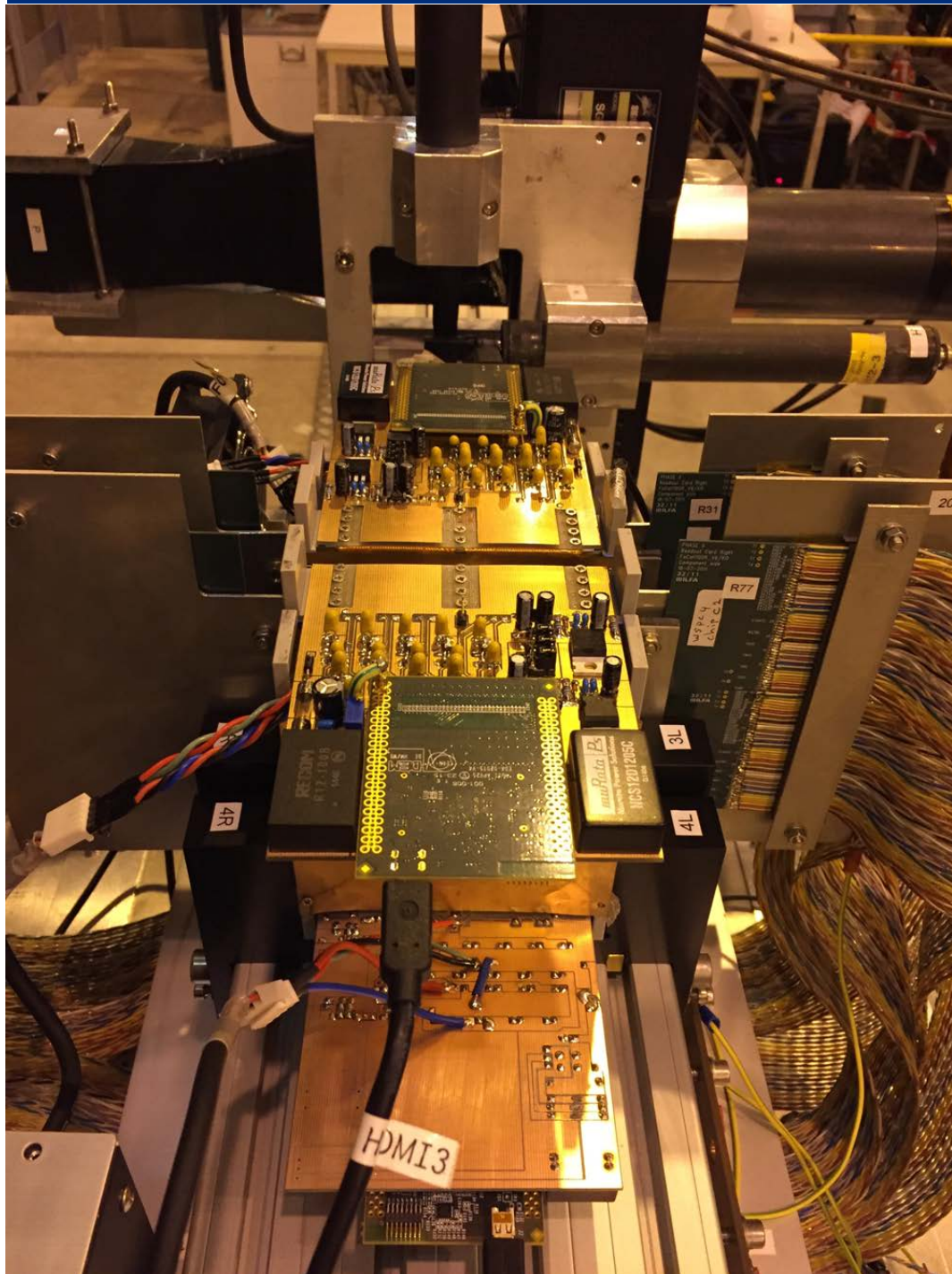


Trigger (PAD+MAPS)

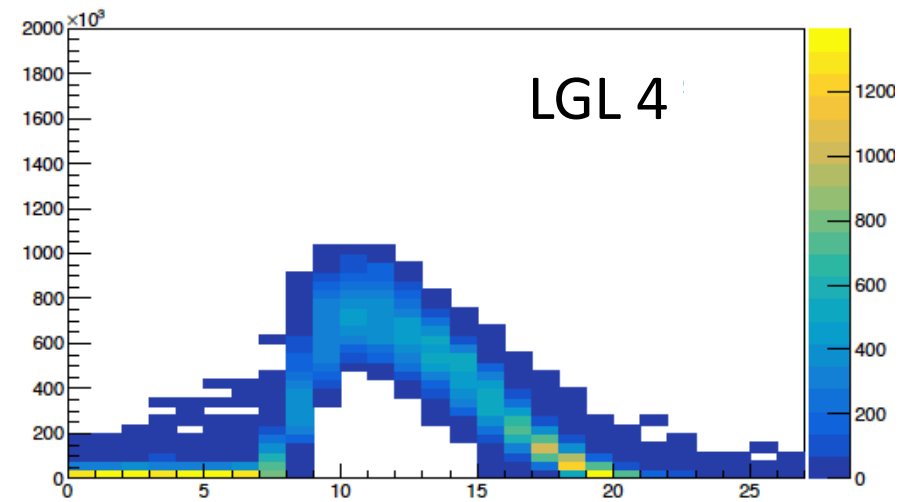
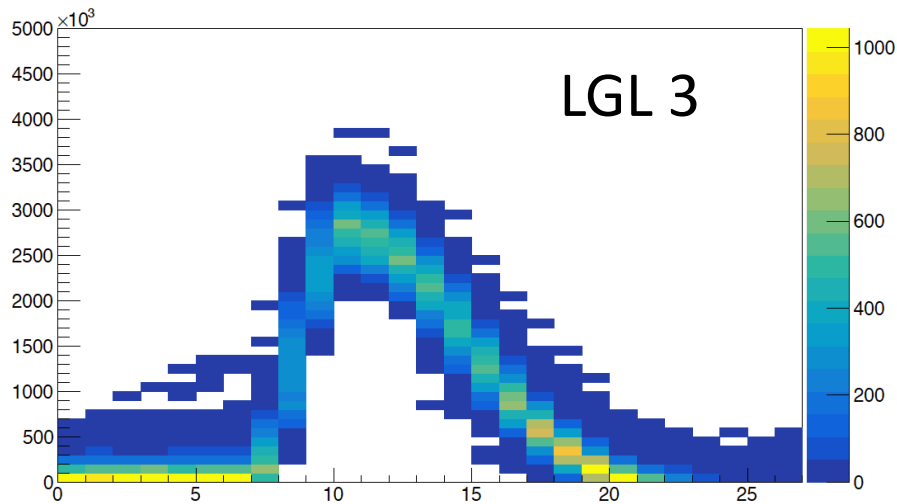
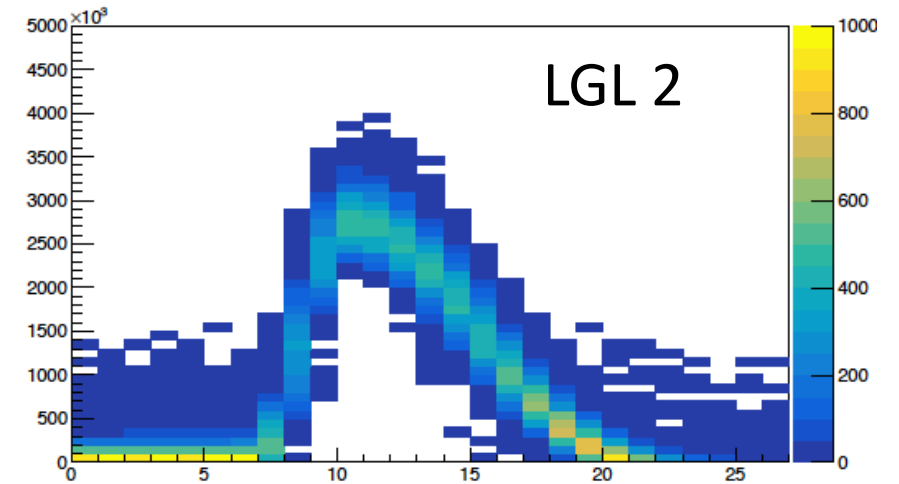
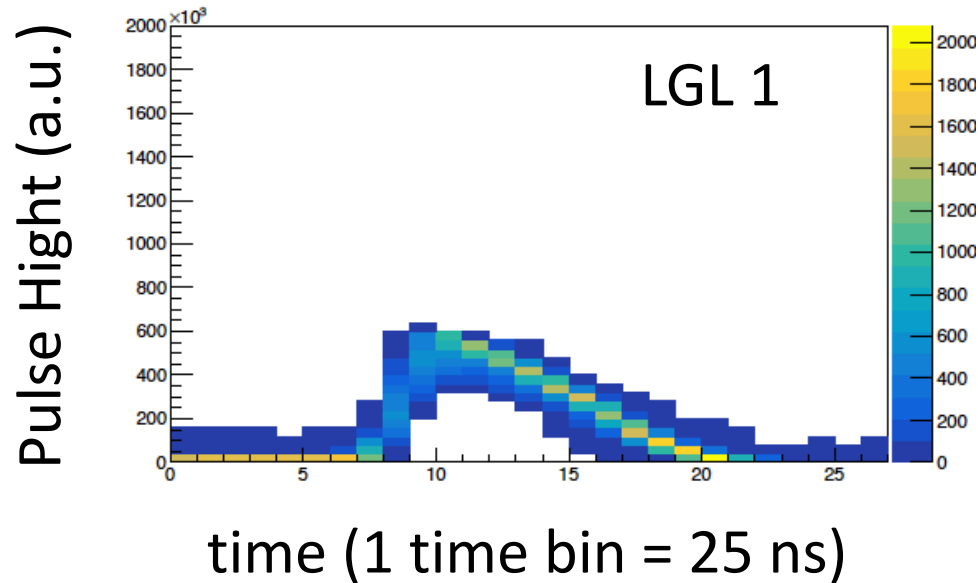
- 2 staff members from Tsukuba (Motoi Inaba, TC)
- 4 undergrad students from Tsukuba
- 1 master student from Nara W. U.
- 4 from Utrecht (MAPS)
- Total: 11**



# 2016 SPS test beam (Sep. 7-12)

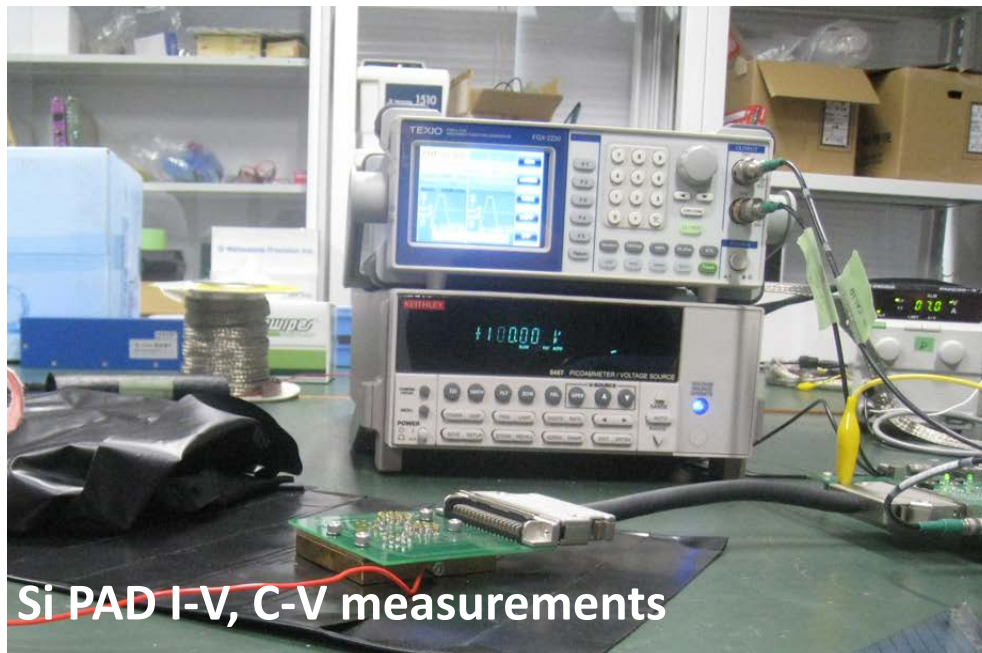
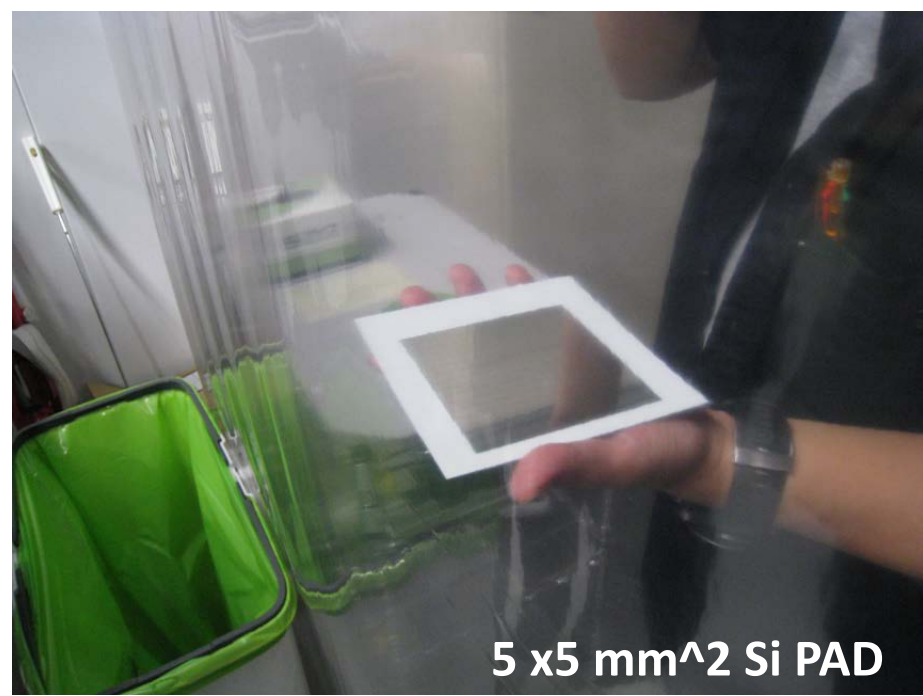
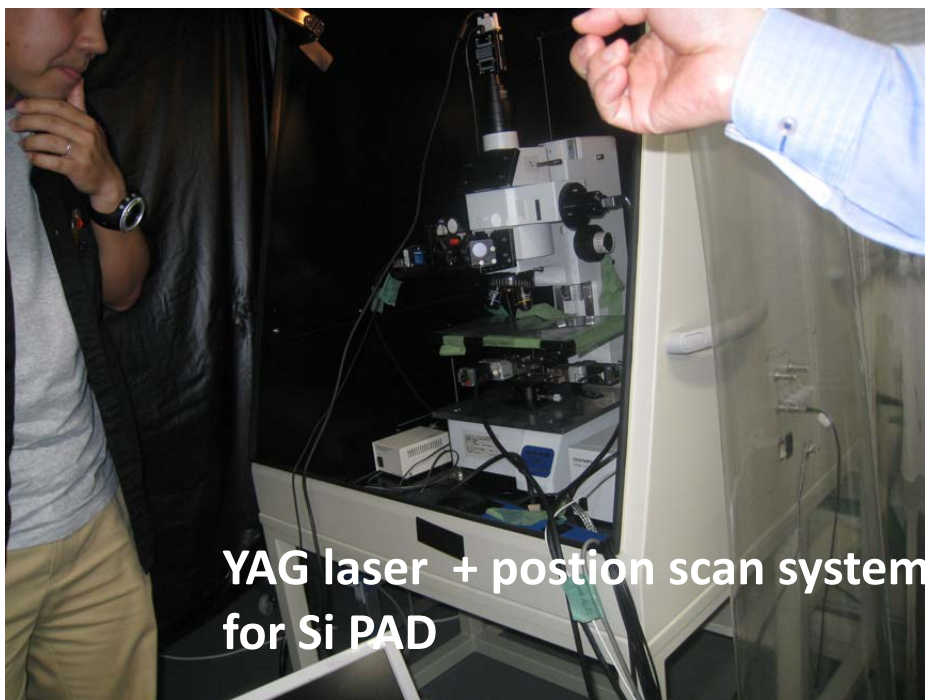


- New summing board (by M. Inaba) installed for all 4 LGLs, tested with beams (<math>< 140 \text{ GeV}/c</math>).
- Wider dynamic range and
- Also the data matching between MAPS and PAD (LGL) is possible by the trigger bits recording in the both data stream



Y. Kawamura, T. Suzuki



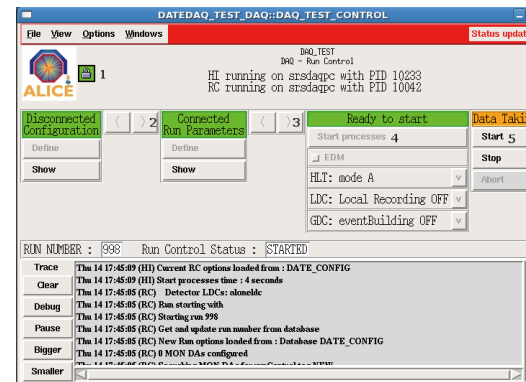
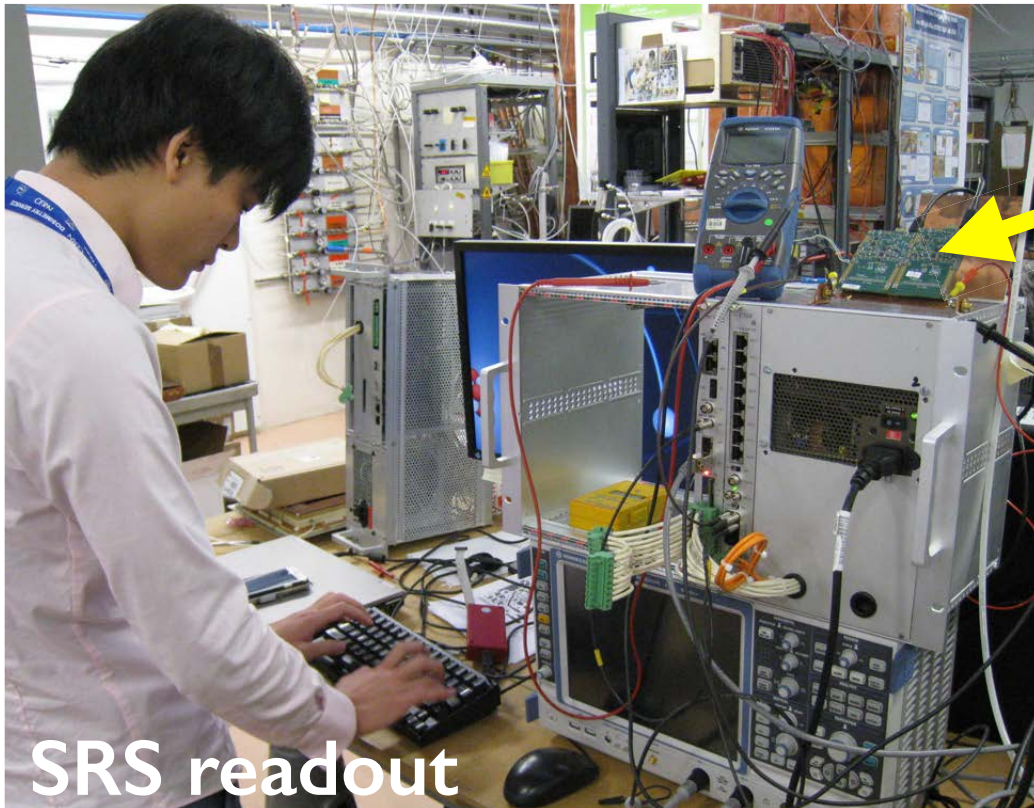


**Visited the ILC group @ Kyushu Univ. (2016.09)**



# R&D for fast readout: RD51 (VMM2/3)

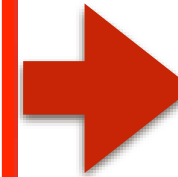
- R&D and test for VMM2 and VMM3 hybrid boards with SRS + DATE (ALICE DAQ) system.
- R&D of combined design; on-board VMM2/3 on FoCal summing board, and modification for FoCal needs (dynamic range & trigger capability)



ALICE DATE for VMM (developed by RD51)

# Ongoing activities

- **Y. Kawamura (B4)**
  - LGL performance (2015/2016 test beam)
- **D. Kawana (B4)**
  - LGL and HGL performance (2016 test beam)
- **T. Sakamoto (Nara Woman Univ., M1)**
  - LGL and HGL data matching (2016 test beam)
- **T. Suzuki (B4), S. Takasu (Hiroshima U., B4)**
  - GEANT 4 simulation for FoCal prototypes
- **H. JEONG (B4)**
  - Full simulation with FoCal



**NIM paper  
by the end of Mar.**

**Si PAD meeting in Osaka  
with Kyushu G. (Mar.)**



- **M. Inaba (Tsukuba Tech, staff)**
  - 8 x 8 (93 x 93 mm<sup>2</sup>) Si PAD test bench and readout, new prototype
- **T. Nishimatsu (B4)**
  - 3 x 3 (5 x 5 mm<sup>2</sup>) Si PAD test





# Summary and Future Plan

- **Rich physics and unexplored region @ forward rapidity at LHC**
  - CGC, nature of CGC (size, structure, .. ).
  - Connection to QGP thermalization mechanism and strong field (origin of QGP, initial condition).
  - Long range delta eta correlations (origin of ridge)
  - Extensive ongoing R&D efforts, well defined targets.
- **PLAN:**
  - New prototypes for mass production and test beam @ ELPH (end of 2017)
  - Install FoCal prototype in ALICE (BG measurement and hopefully initial physics measurement) by the prototype during the Run-2 (2018).
  - Mini FoCal ( $3 < \eta < 4$ ) in Run-3 (2021-2023).
  - Full FoCal ( $3.2 < \eta < 5.3$ ) in Run-4 (2026-2029).

