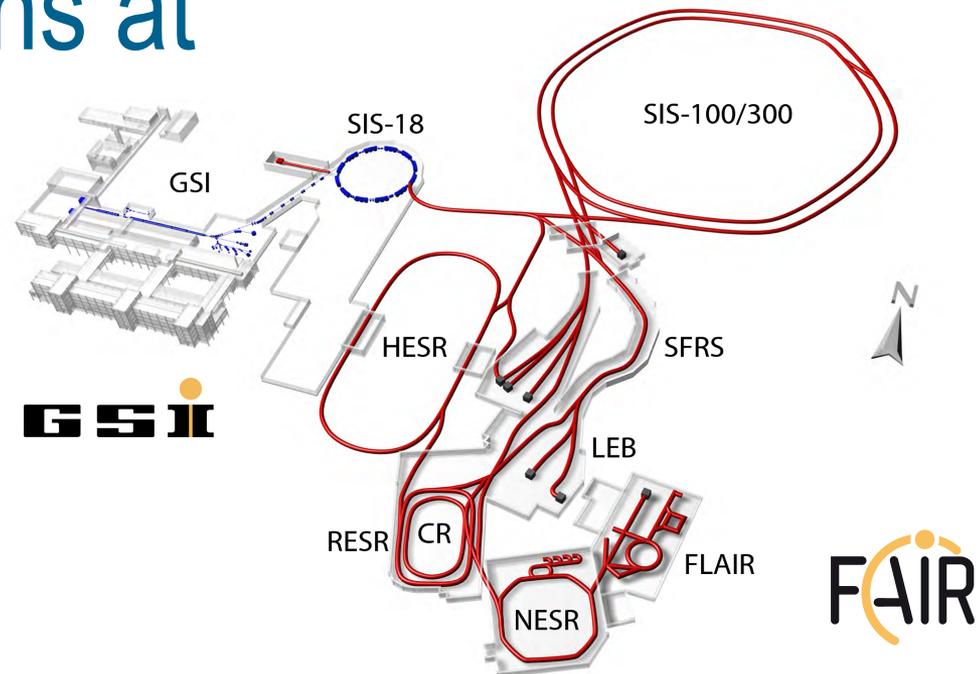


# Higher-Order Moments of Proton- Number Fluctuations in Au+Au Collisions at 1.23A GeV with HADES

Melanie Szala



# Outline

Motivation

HADES spectrometer

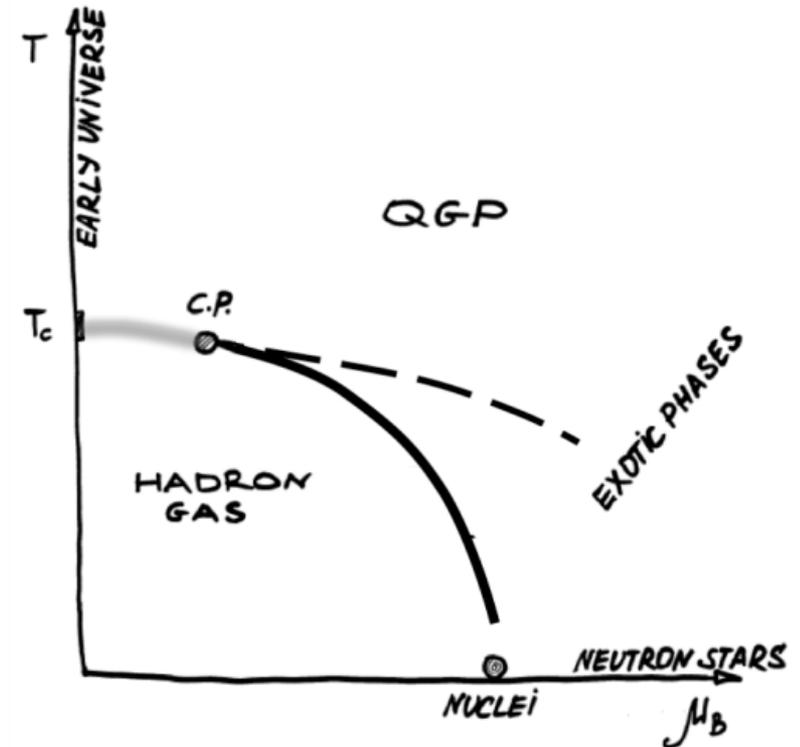
Higher order moments of proton number fluctuations

- Efficiency corrections
- Volume fluctuations
- Results

Conclusions

# Motivation

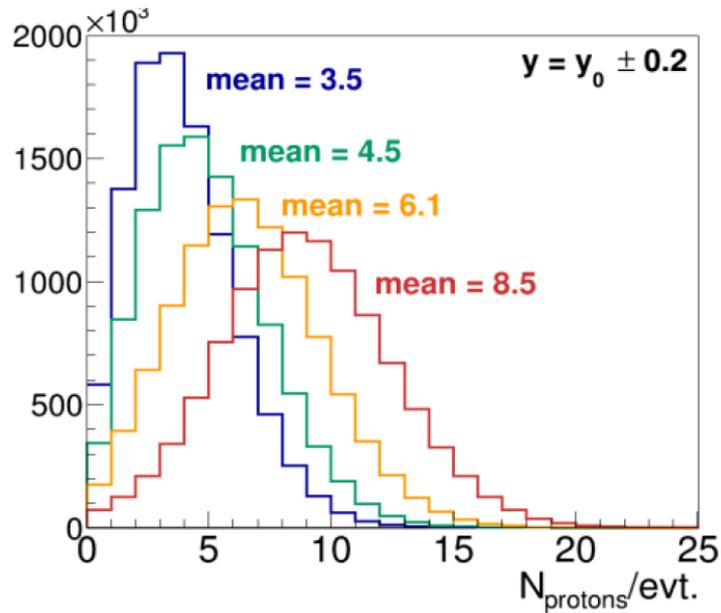
- Cross-over at low  $\mu_B$  and 1st-order phase transition at high  $\mu_B$   
→ Critical point
- Event-by-event fluctuations of conserved quantities are expected to be a signature of discontinuity in the QCD phase diagram
  - Charge  $Q$  / **baryon number  $B$**  / strangeness  $S$
- Experimental observable:
  - Cumulants of event-by-event net-particle multiplicity distributions
  - **(Net-)proton** (proxy for net-baryon)



# Experimental Observables

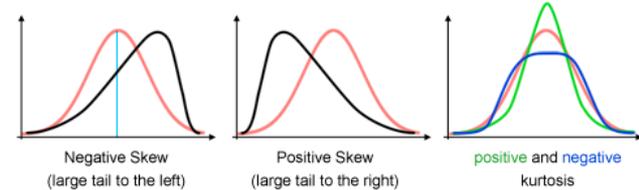
Cumulants of event-by-event net-particle multiplicity distributions

- **(Net-)proton** (proxy for net-baryon)



0-10%, 10-20%, 20-30%, 30-40%

Mean	$M$	$=$	$K_1$
Variance	$\sigma^2$	$=$	$K_2$
Skewness	$S$	$=$	$K_3/\sigma^3$
Kurtosis	$\kappa$	$=$	$K_4/\sigma^4$



Cumulant ratios to cancel volume effects:

→ Cumulant ratios cancel only mean volume effects!

$S \cdot \sigma = \frac{K_3}{K_2}$	$\kappa \cdot \sigma^2 = \frac{K_4}{K_2}$
------------------------------------	---

# HADES Spectrometer

## High Acceptance DiElectron Spectrometer

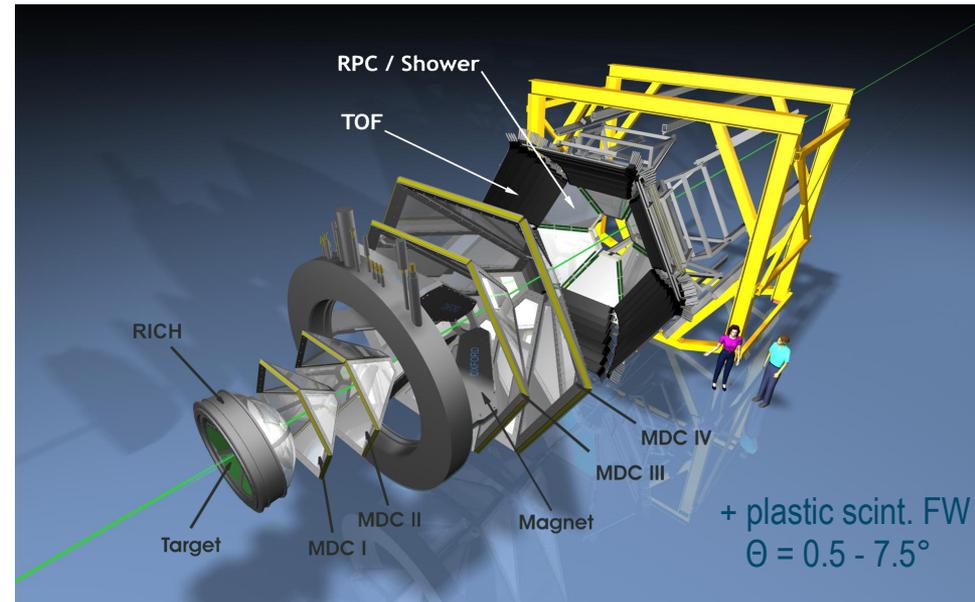
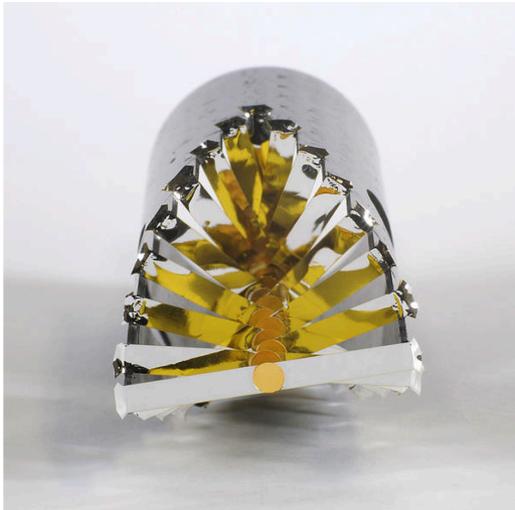
Located at SIS18, GSI

Large acceptance

- Full azimuthal and polar angle coverage of  $\Theta = 18 - 85^\circ$

Fast detector

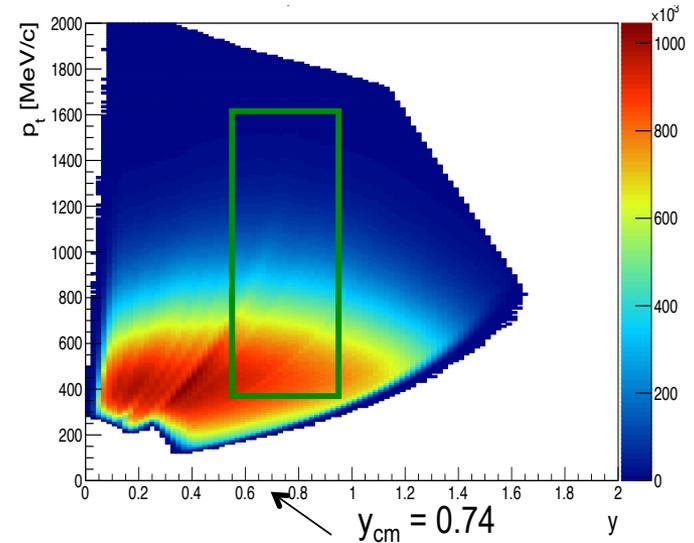
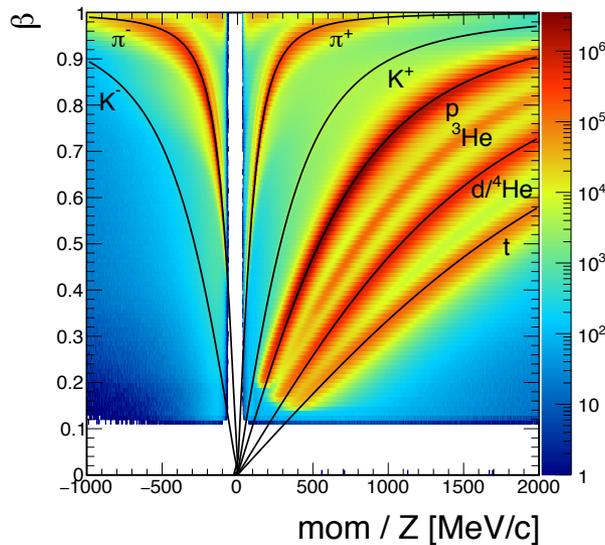
- Trigger rate up to 8kHz (large statistics)



Au + Au @1.23 AGeV,  $\sqrt{s_{NN}}=2.41$  GeV

- 15 fold segmented Au target
- $7.4 \times 10^9$  events recorded
- Trigger on 47% most central collisions

# Proton Analysis



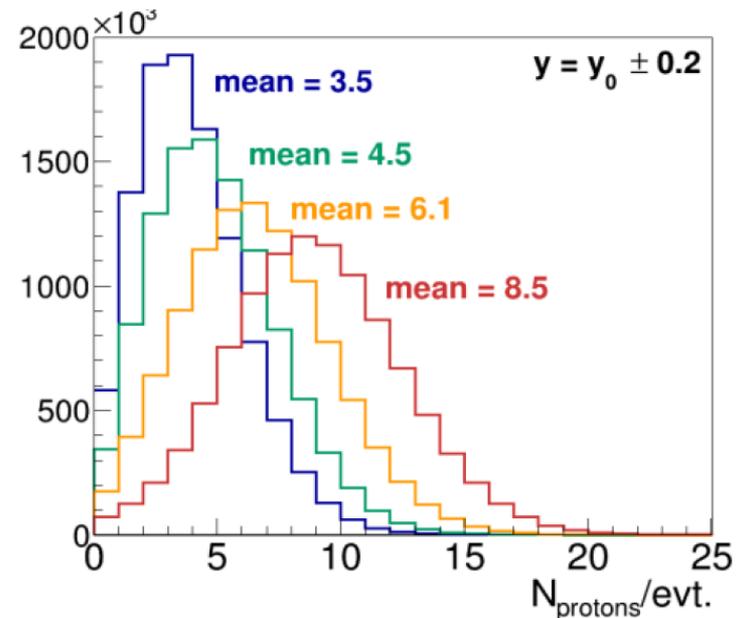
## Event selection

- 0-10%, 10-20%, 20-30%, 30-40% central events selected with the Forward Wall

## Track selection

- $y = y_{cm} \pm 0.2$
- $p_t = 400-1600 \text{ MeV}/c$

If  $N_p = 0 \rightarrow$  Poisson distribution:  $S \cdot \sigma = \kappa \cdot \sigma^2 = 1$



# Efficiency correction

$$\text{Efficiency} = \text{acc} \times \text{det. eff} \times \text{rec. eff}$$

Investigations of efficiency correction in UrQMD simulations:

## → Correct the moments

Bzdak & Koch, PRC 86 (2012);

Xiaofeng Luo, arXiv:1410.3914 (2014)

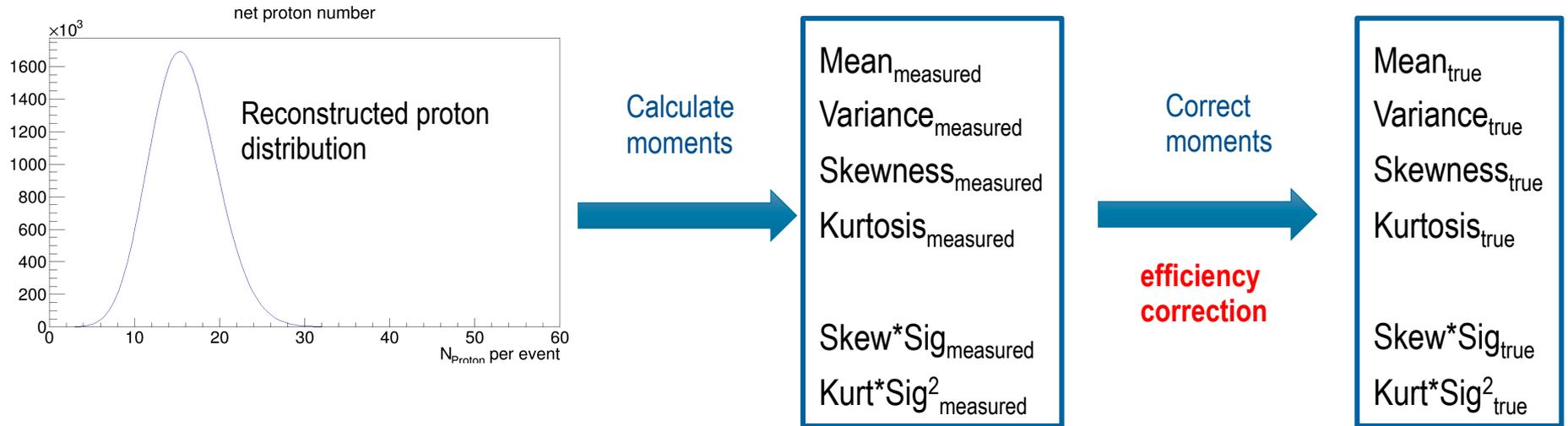
## → Unfolding

G. D'Agostino, Nucl. Instr. Meth. A 362 (1995) 487.

J. Albert et al. (MAGIC), Nucl. Instr. Meth. A 583 (2007) 494.

S. Schmitt, J. Instr. 7 (2012) T10003.

# Correct the moments

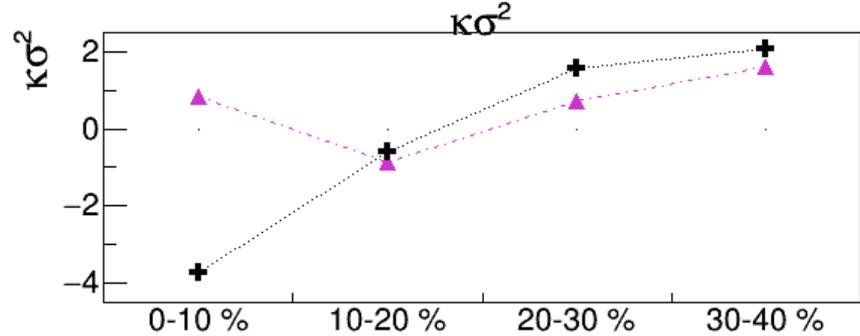
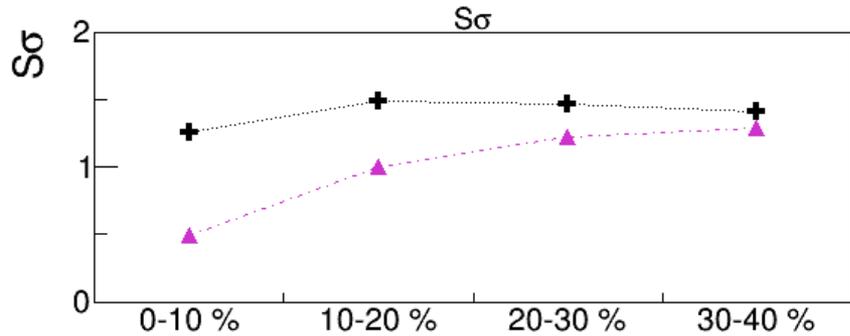
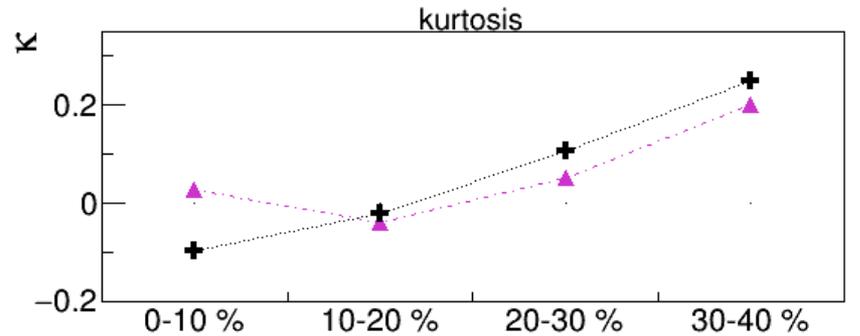
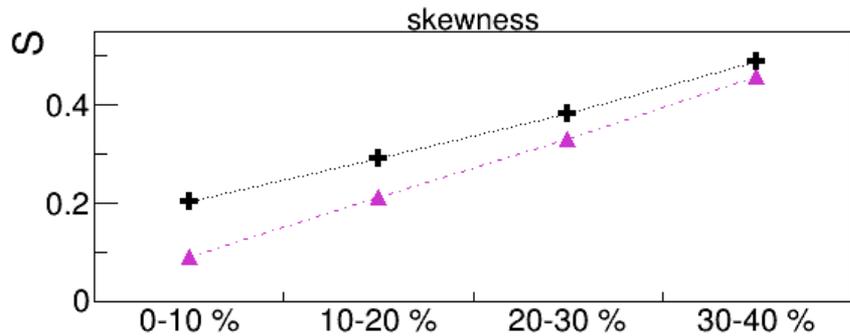
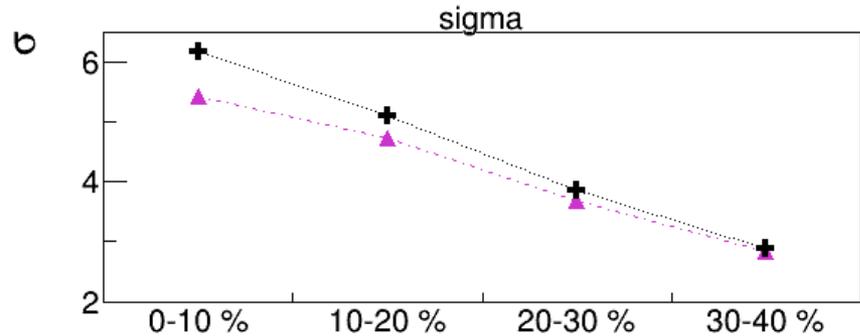
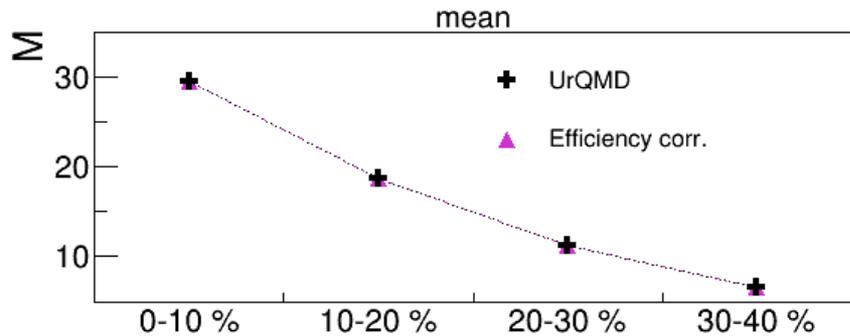


## Self-consistency check

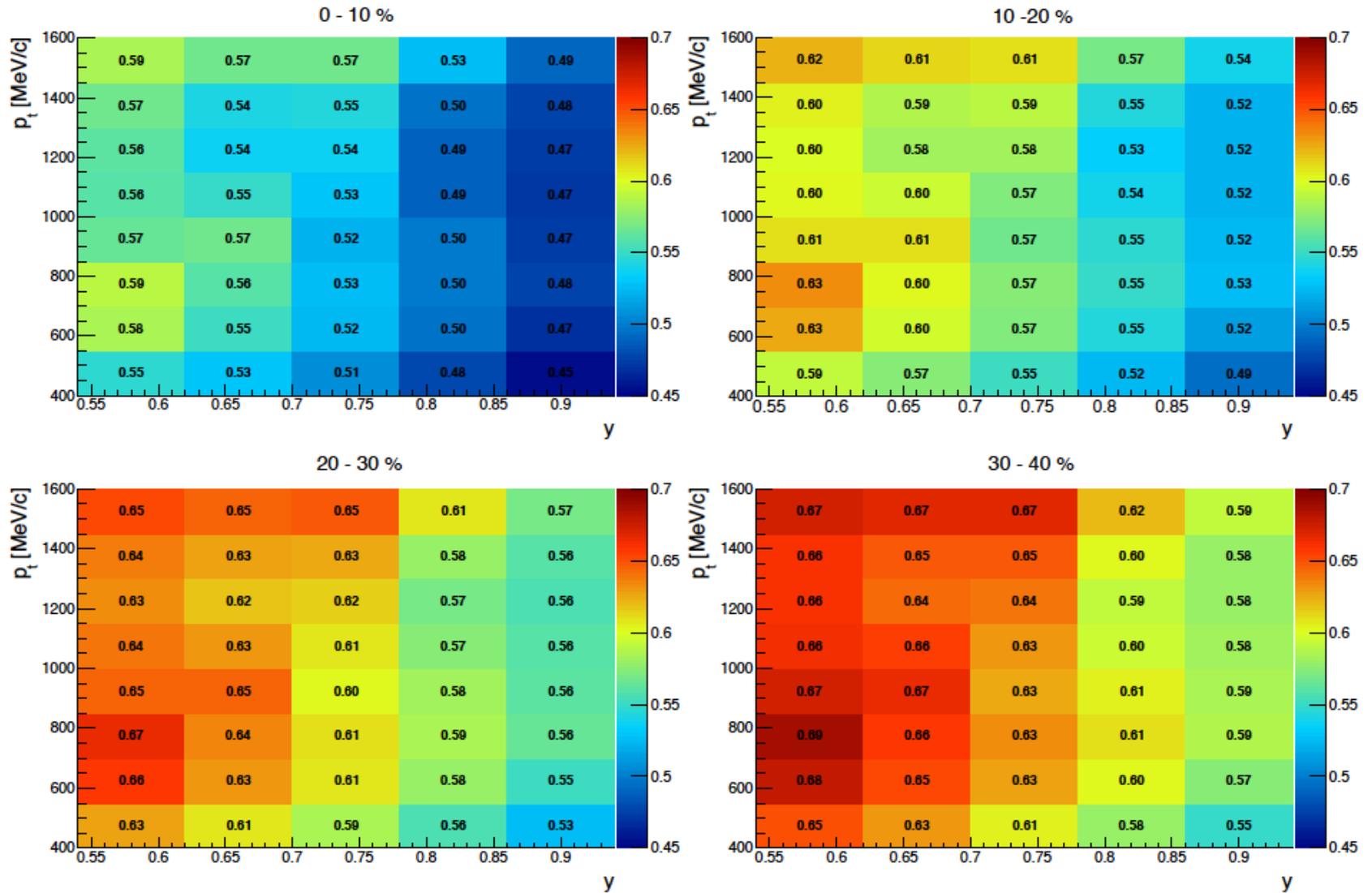
- UrQMD protons → reference
- UrQMD + Geant reconstructed protons → efficiency correction

Bzdak & Koch, PRC 86 (2012);  
Xiaofeng Luo, arXiv:1410.3914 (2014)

# Correct the moments



# Efficiency correction



# Efficiency correction

Efficiency: strong effect on centrality

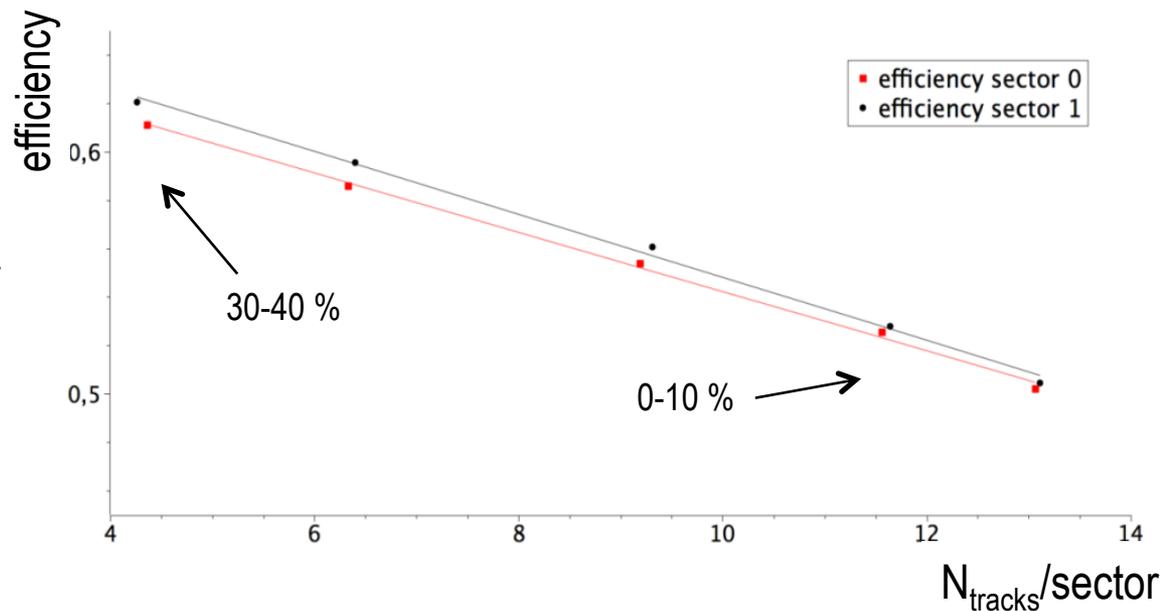
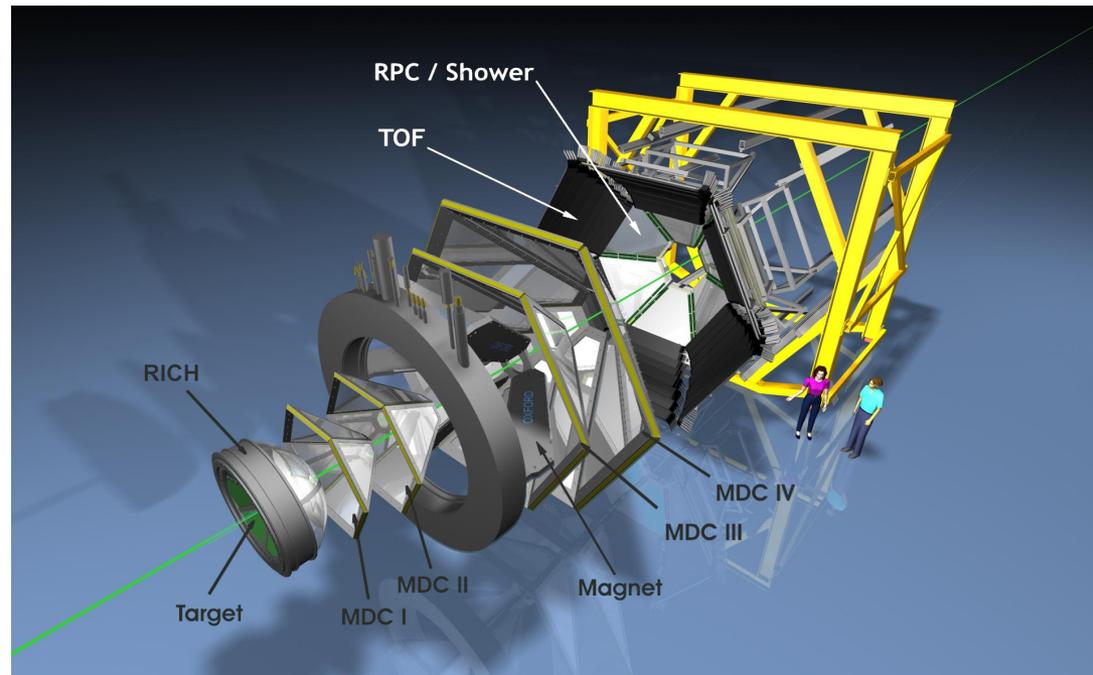
Efficiency depends on number of tracks per event

Detector divided into 6 sectors

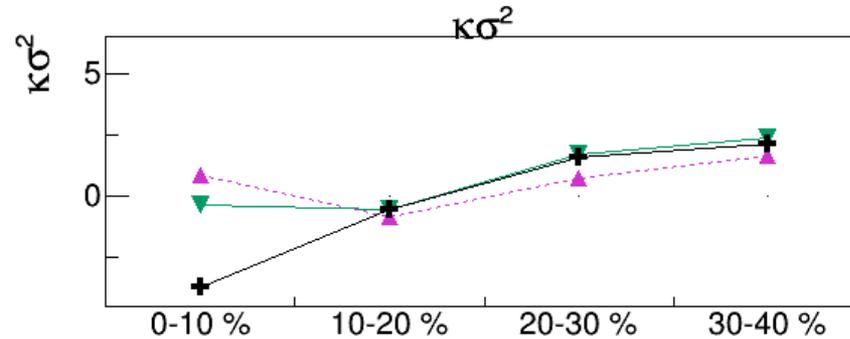
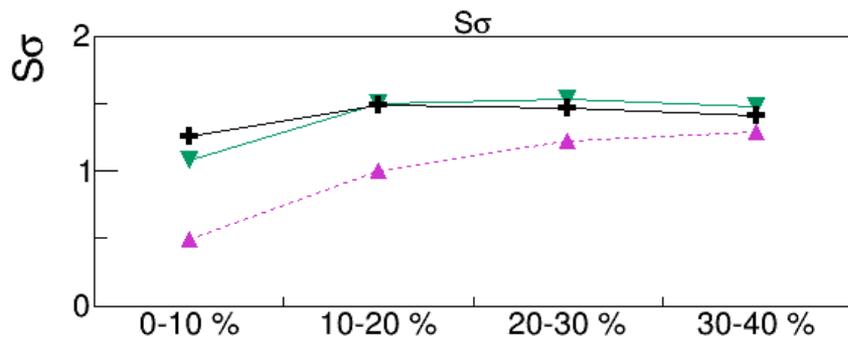
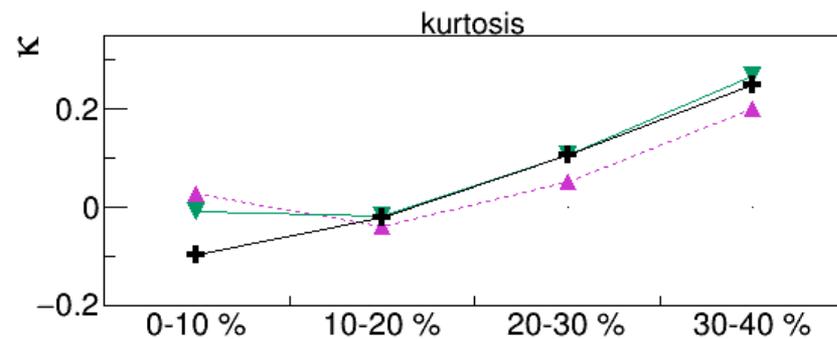
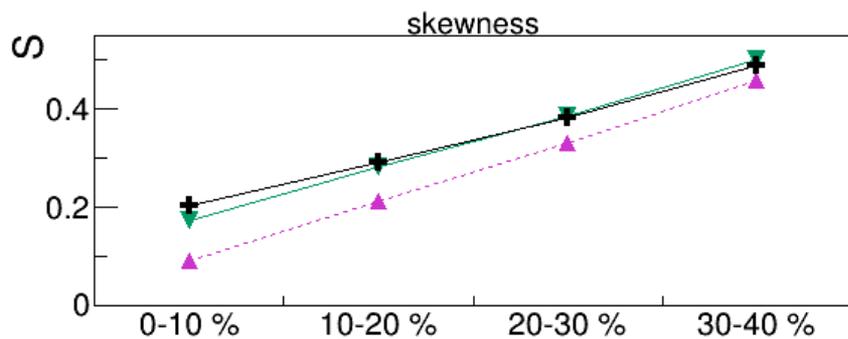
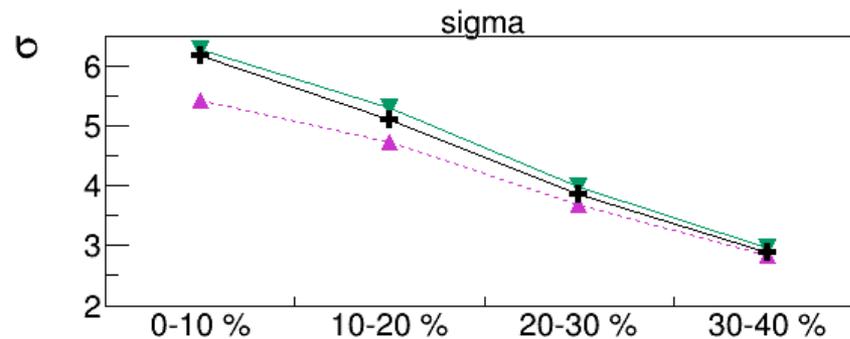
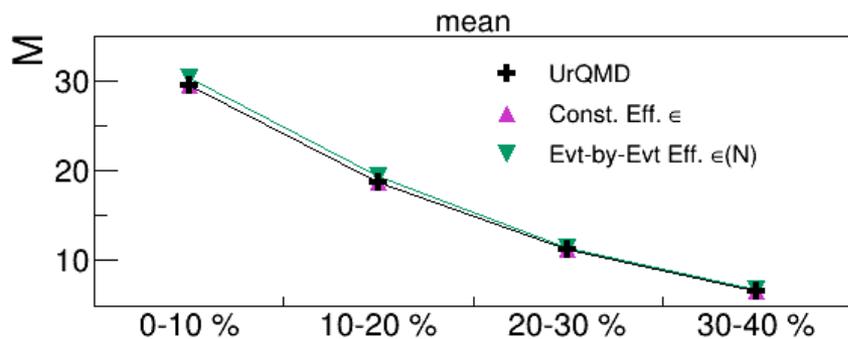
- Efficiency is sector dependent

→ Efficiency correction

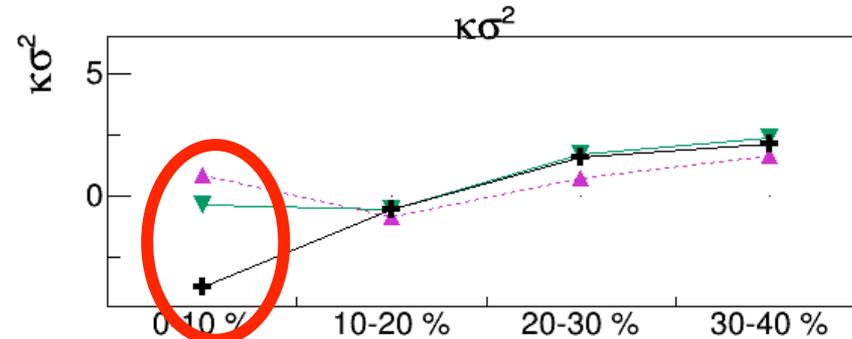
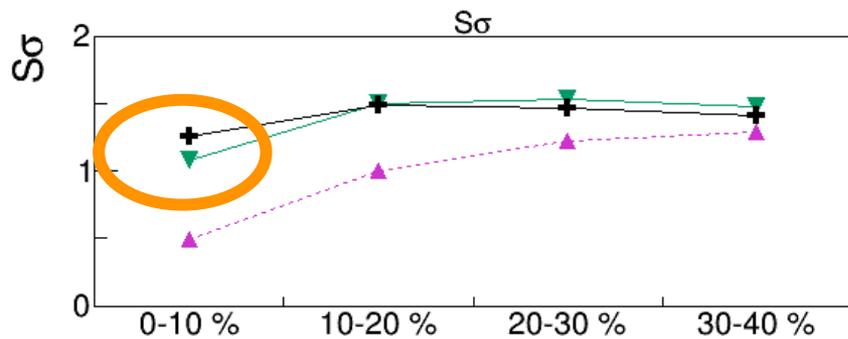
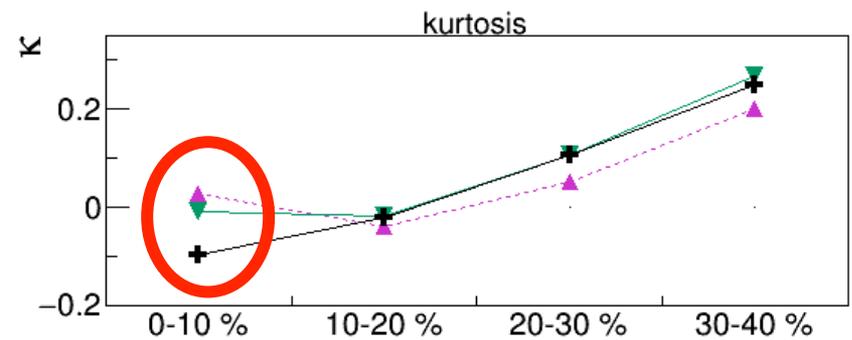
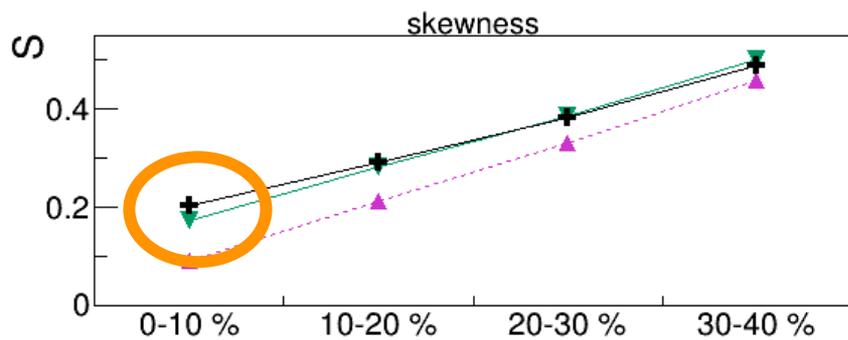
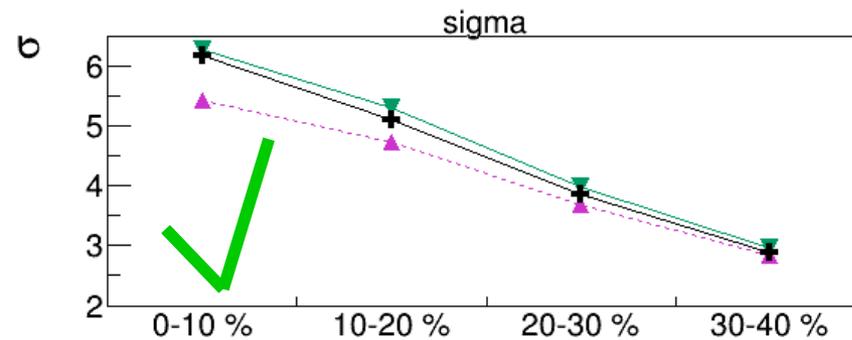
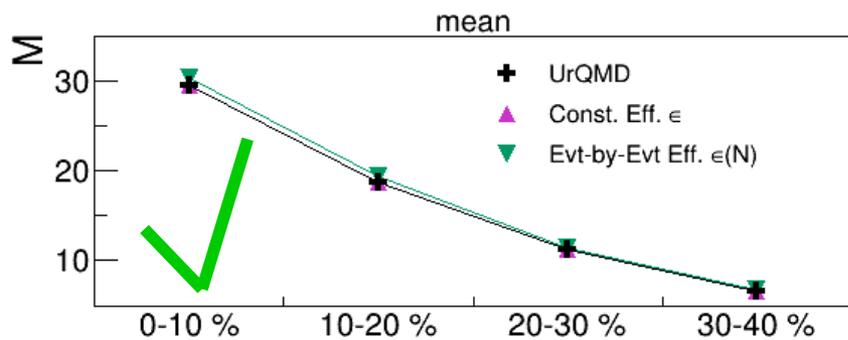
- Event-by-event
- Particle number in sector
- $y$  and  $p_t$  dependent



## Correct moments – event-by-event -

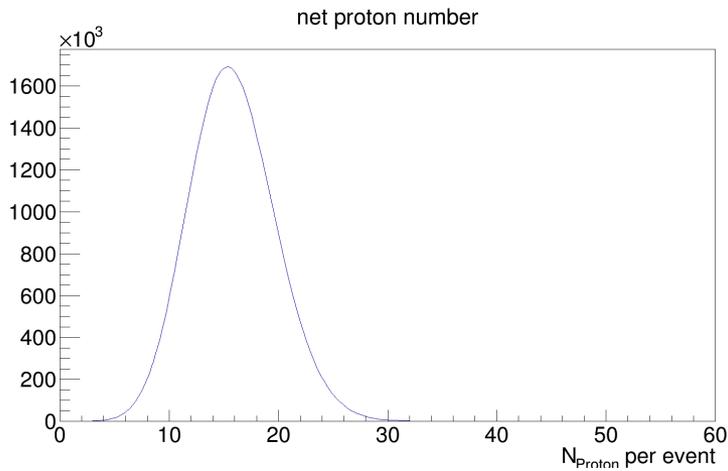


## Correct moments – event-by-event -



# Unfolding

measured distribution



UrQMD + GEANT  
(recon. protons)

**Unfolding**

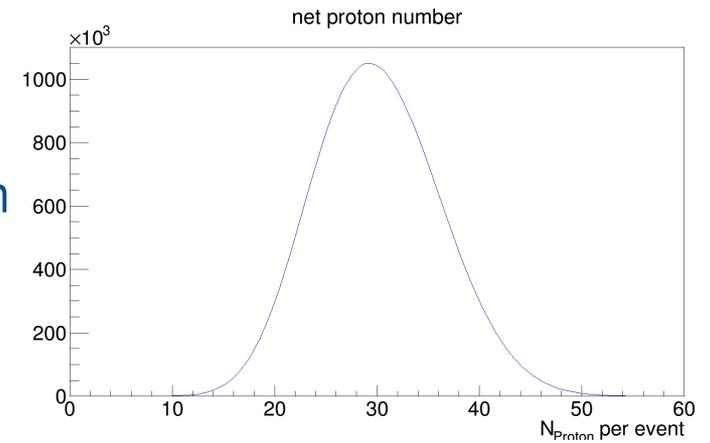


Matrix inversion



**Folding**

true distribution



UrQMD protons

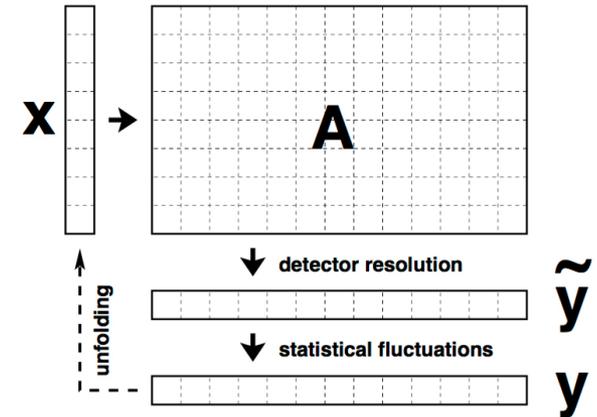
# Unfolding procedure

## Unfolding via matrix inversion

$$\mathbf{y} = \mathbf{A} \cdot \mathbf{x}$$

→ finding  $x$  !

$y$ : measured histogram  
 $x$ : true histogram  
 $A$ : response matrix



Unfortunately,  $\mathbf{A}$  is often quasi-singular and can not be inverted (ill-conditioned problem!)

ROOT package by S. Schmitt → **TUnfold**, **TUnfoldSys**, **TUnfoldDensity**

Minimize in a least-squares procedure the „Lagrangian“:

$$\mathcal{L}(x, \lambda) = \mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_3$$

$$\mathcal{L}_1 = (\mathbf{y} - \mathbf{A}\mathbf{x})^T \mathbf{V}_{yy}^{-1} (\mathbf{y} - \mathbf{A}\mathbf{x}),$$

$$\mathcal{L}_2 = \tau^2 (\mathbf{x} - f_b \mathbf{x}_0)^T (\mathbf{L}^T \mathbf{L}) (\mathbf{x} - f_b \mathbf{x}_0),$$

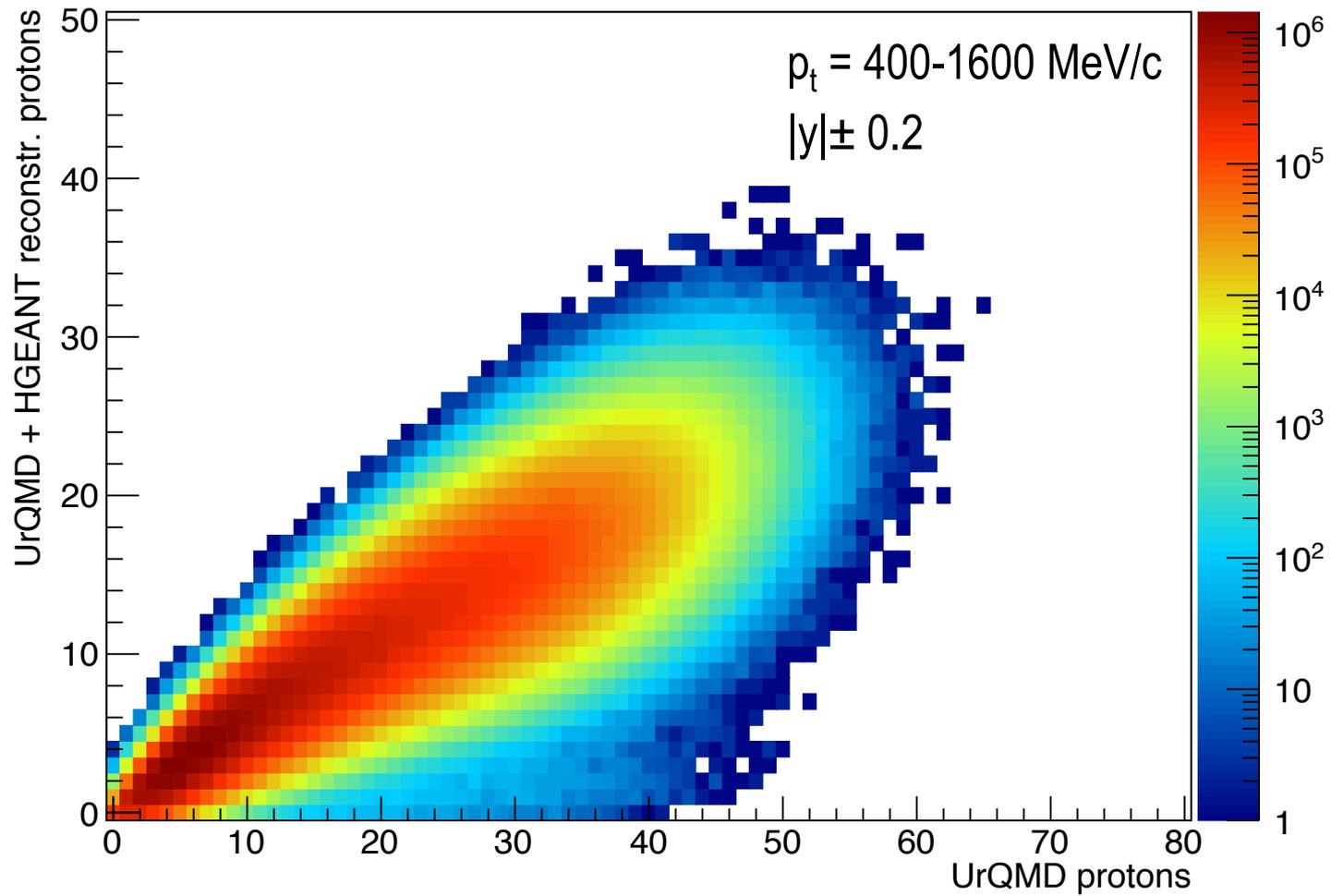
$$\mathcal{L}_3 = \lambda (Y - \mathbf{e}^T \mathbf{x})$$

$\mathcal{L}_1$ : least square minimization

$\mathcal{L}_2$ : describes regularisation

$\mathcal{L}_3$ : area constraint

# Response function



# Regularization

$\tau$  is a free parameter controlling the strength of regularization

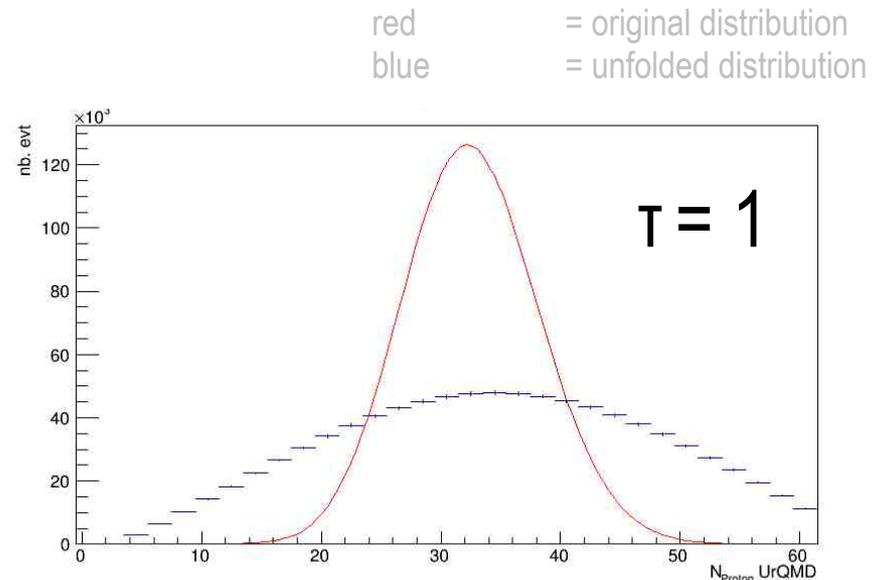
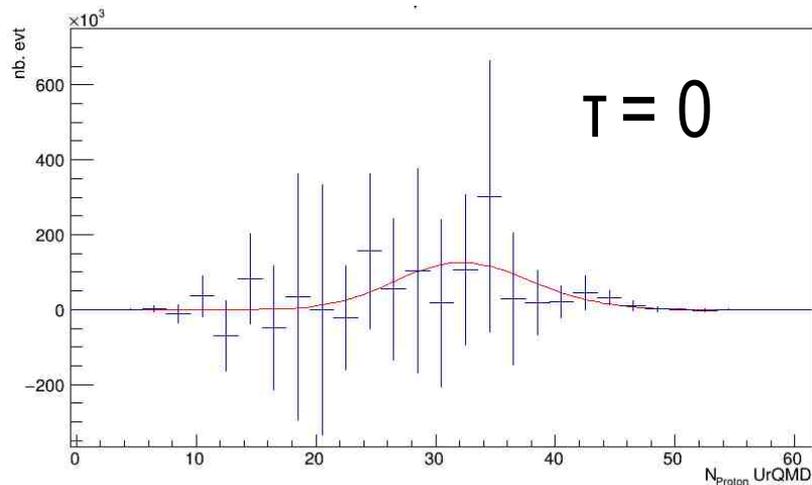
parameter is typically difficult to choose using only a priori information

- But its value usually has a major impact on the unfolded spectrum

several methods to find  $\tau$

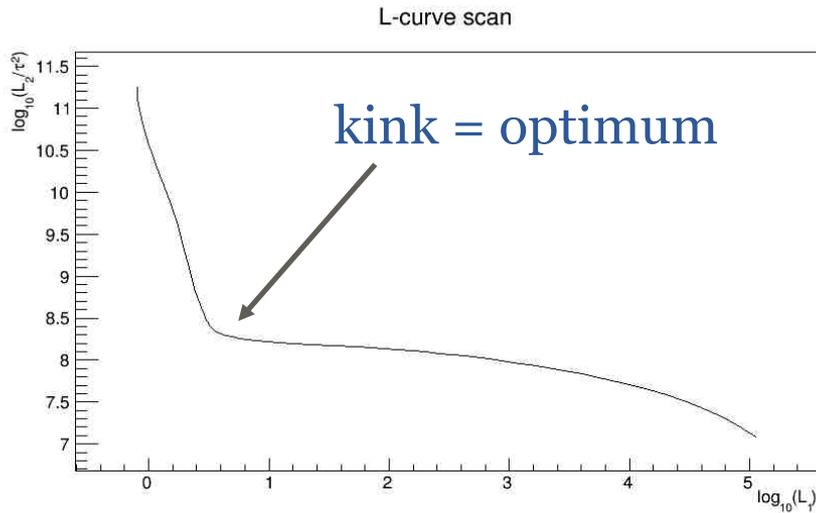
in TUnfold implemented methods

- L-curve scan
- Minimising global correlation coefficients

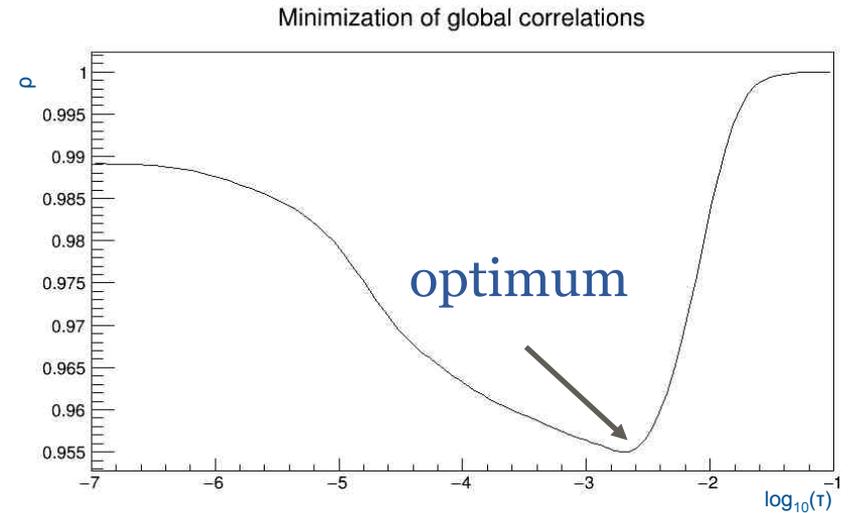


# Methods to find best regularization

## L-curve Scan

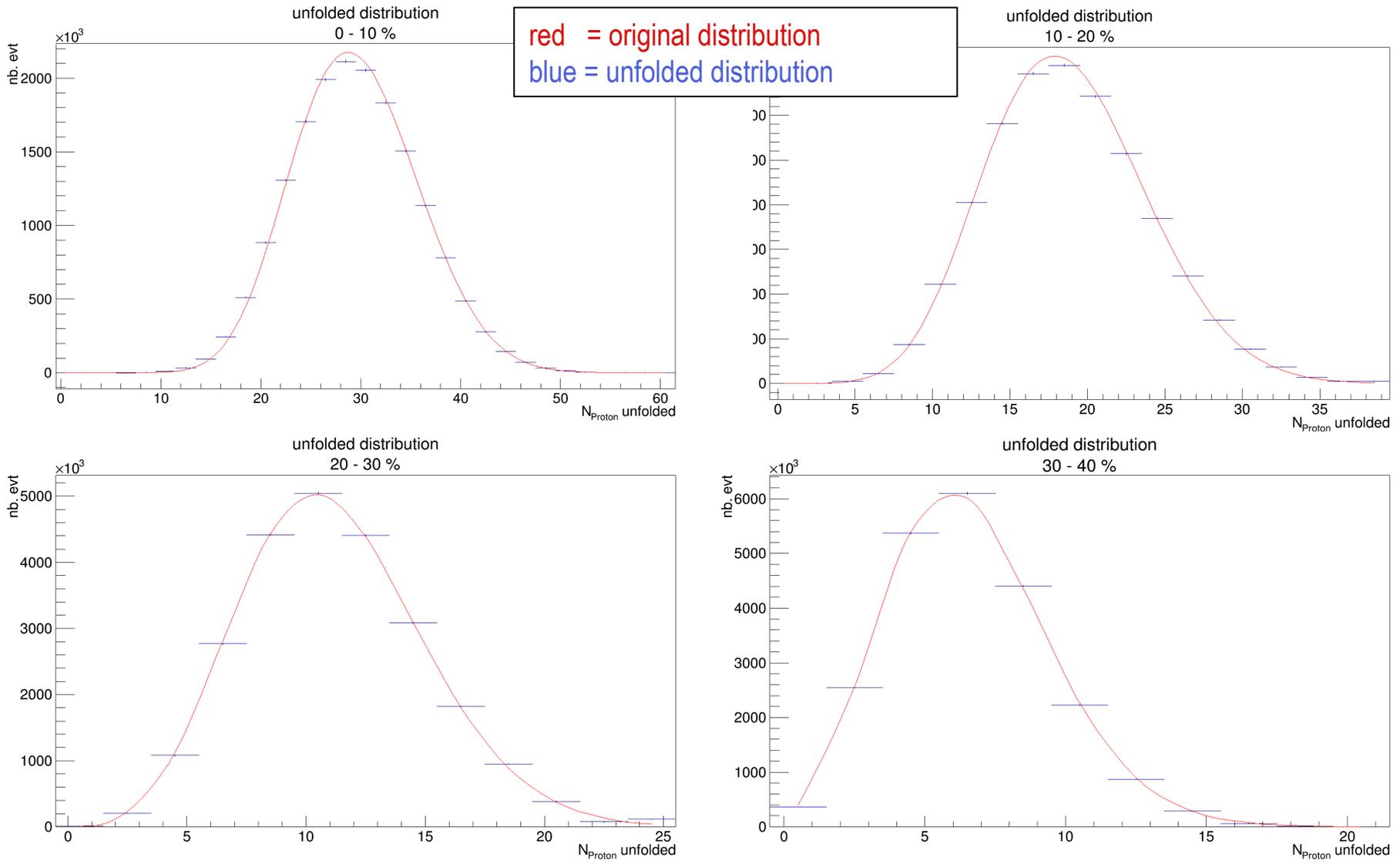


## Minimising global correlation coefficients



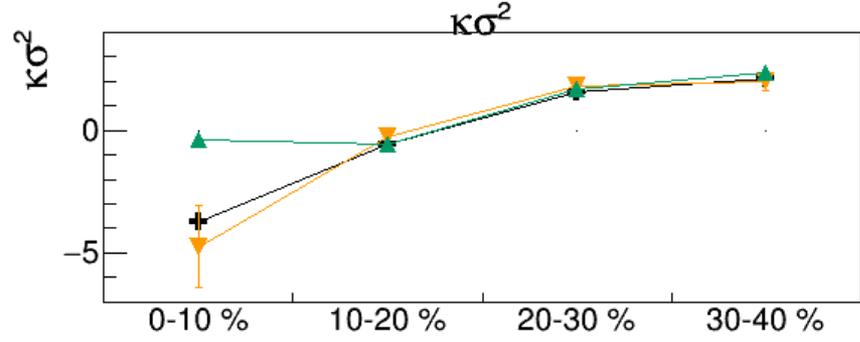
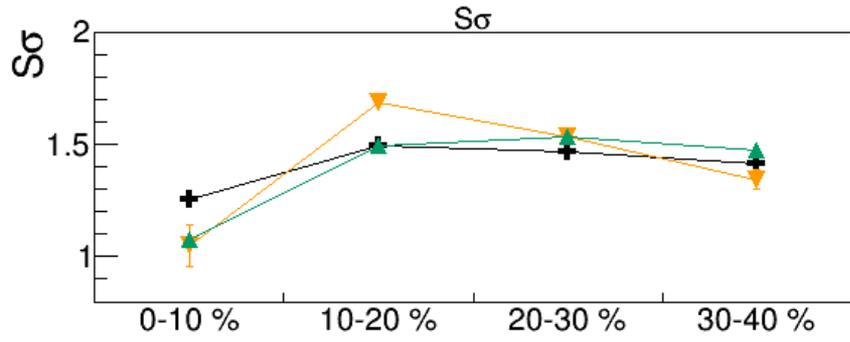
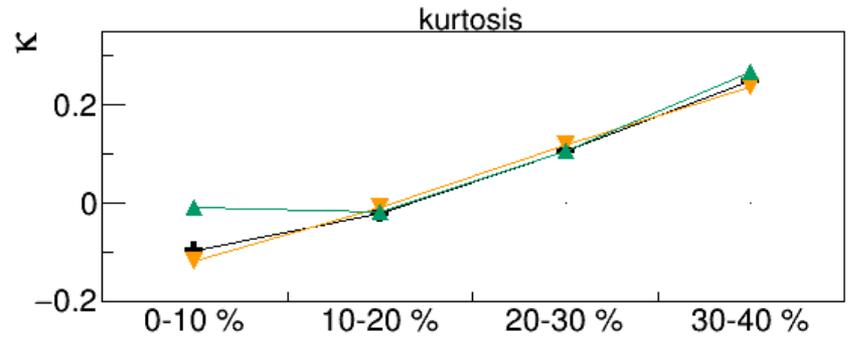
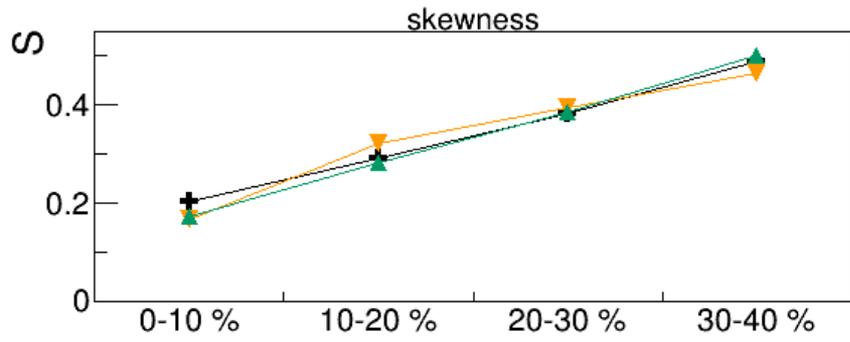
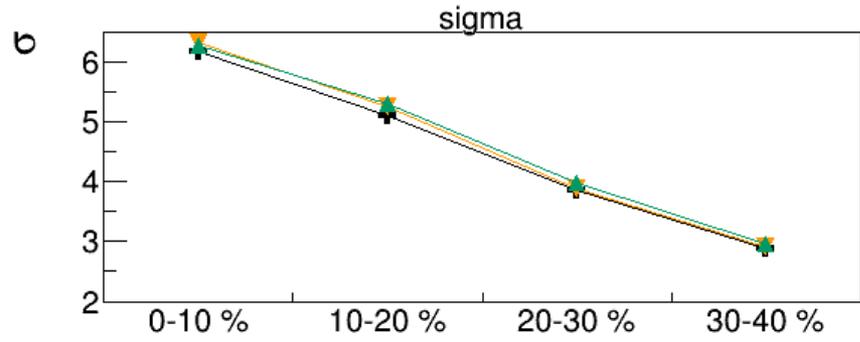
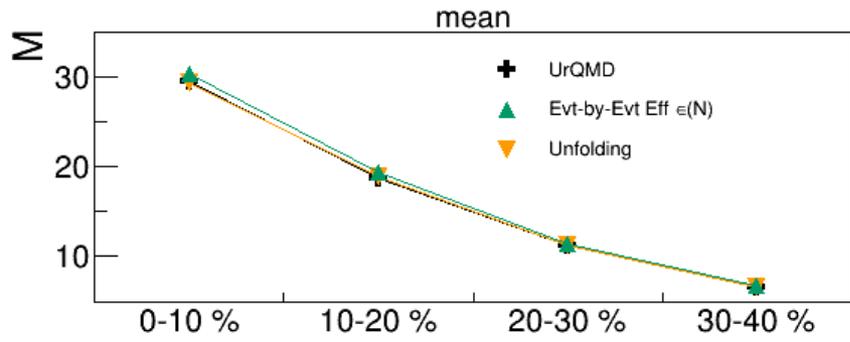
$\rightarrow \tau \approx 10^{-4} - 10^{-5}$

# Unfolded distributions

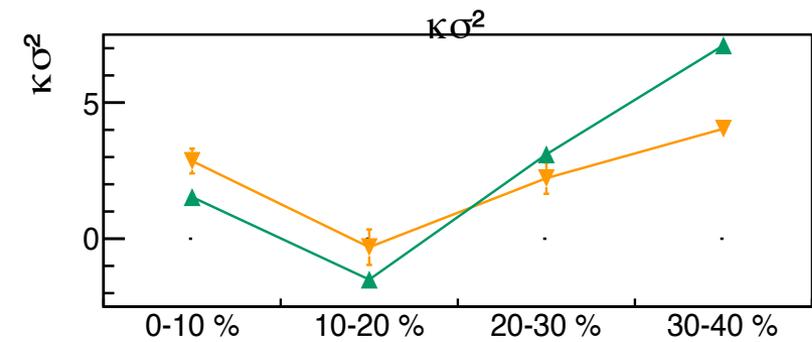
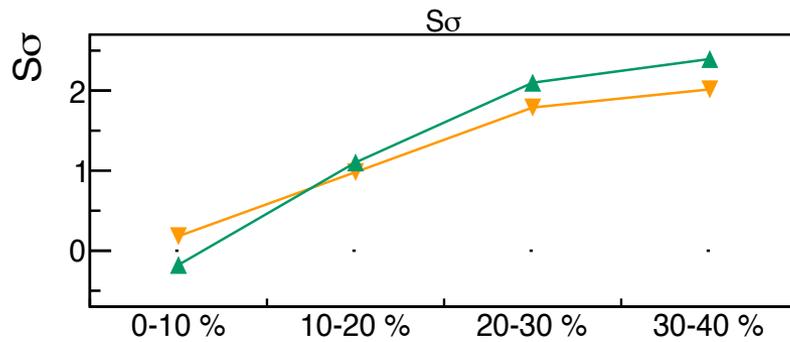
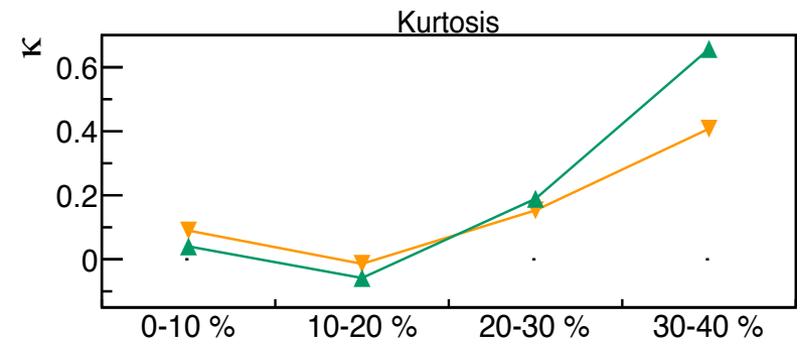
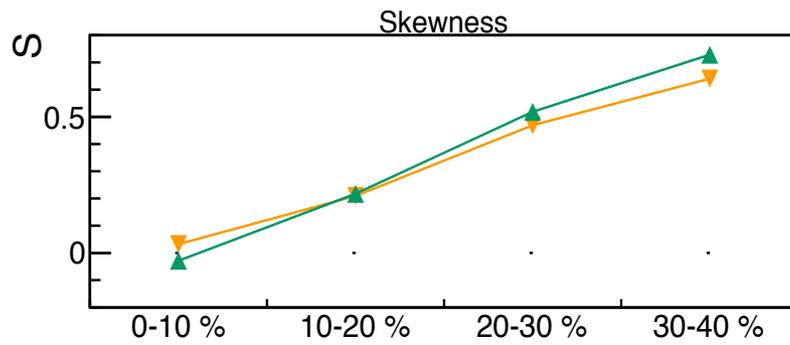
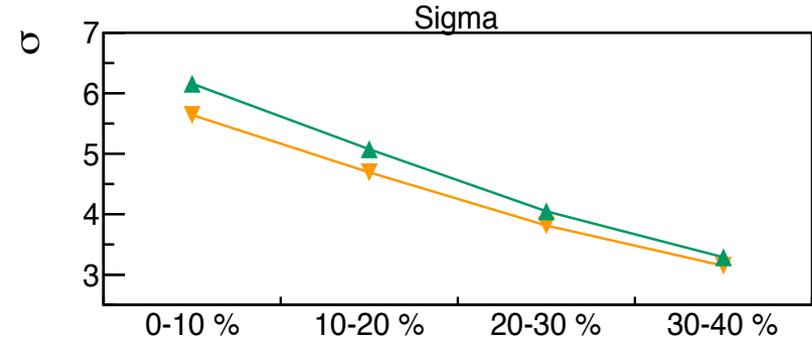
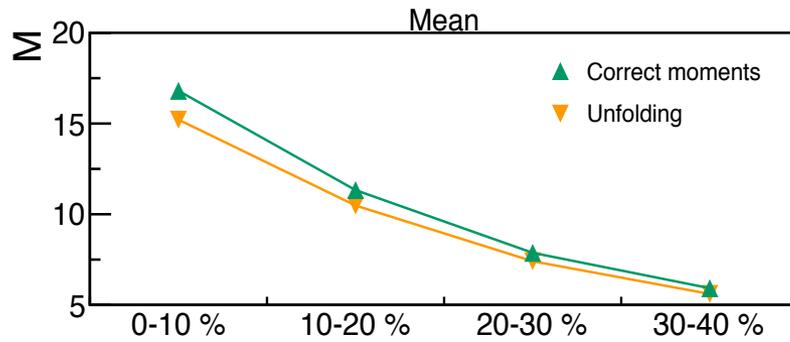


# Comparing methods

- Correct moments vs. Unfolding -

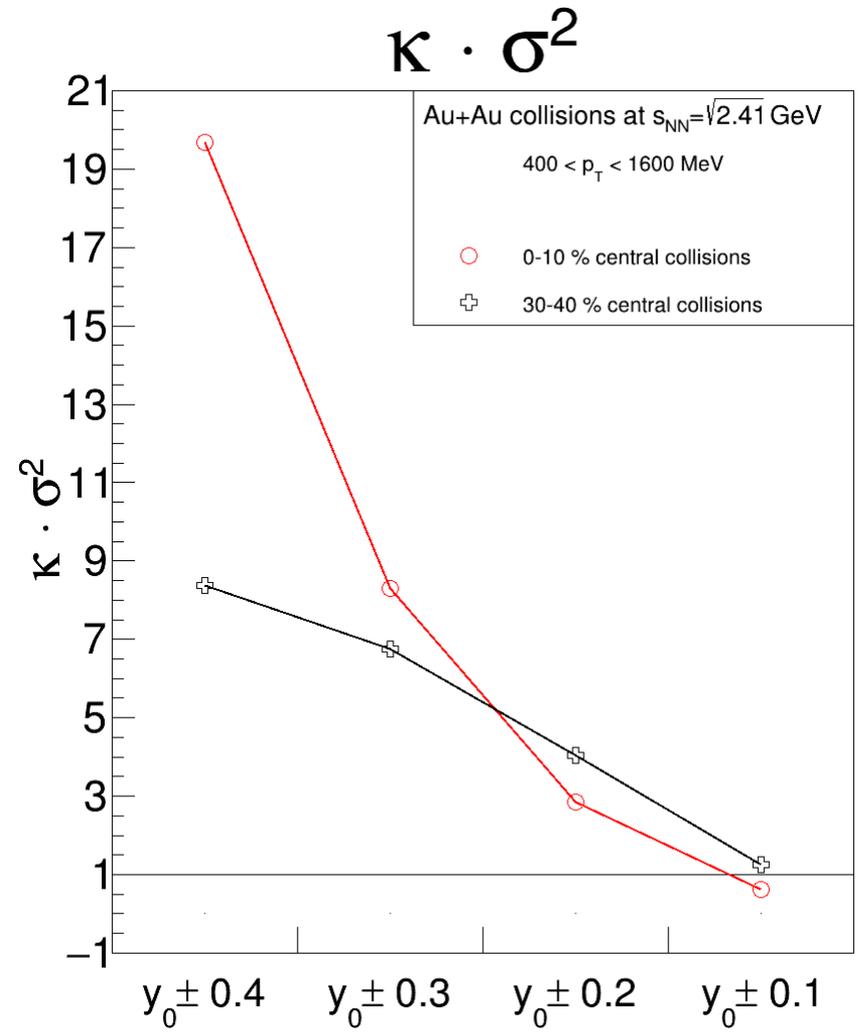
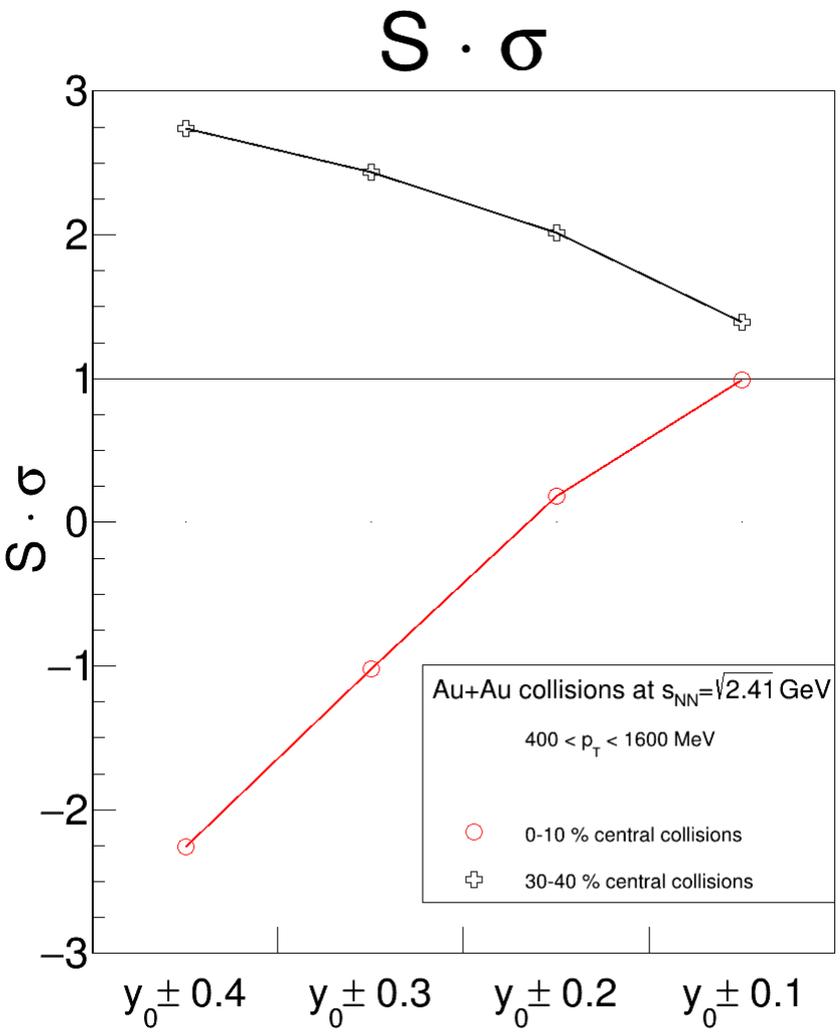


## Results (eff. Corr.)



# Poissonizer

$$\Delta y_{\text{total}} \gg \Delta y_{\text{accept}} \gg \Delta y_{\text{corr}}$$

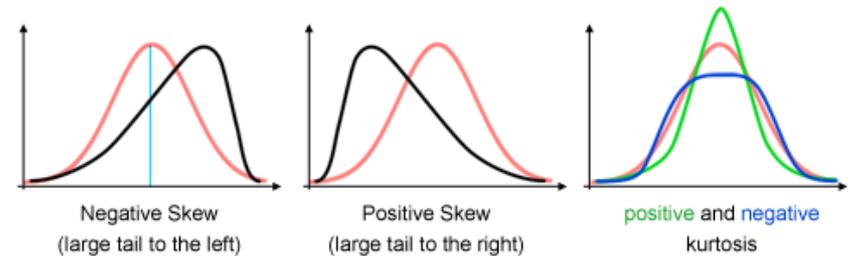


# Experimental Observables

Cumulants of event-by-event net-particle multiplicity distributions

- **(Net-)proton** (proxy for net-baryon)

Mean	$M$	$=$	$K_1$
Variance	$\sigma^2$	$=$	$K_2$
Skewness	$S$	$=$	$K_3/\sigma^3$
Kurtosis	$\kappa$	$=$	$K_4/\sigma^4$



Cumulant ratios to cancel volume effects

$$S \cdot \sigma = \frac{K_3}{K_2} \quad \kappa \cdot \sigma^2 = \frac{K_4}{K_2}$$

**→ Cumulant ratios cancel only mean volume effects!**

# Volume Fluctuations

V. Skokov, B. Friman, and K. Redlich, Physical Review C 88, 034911 (2013)

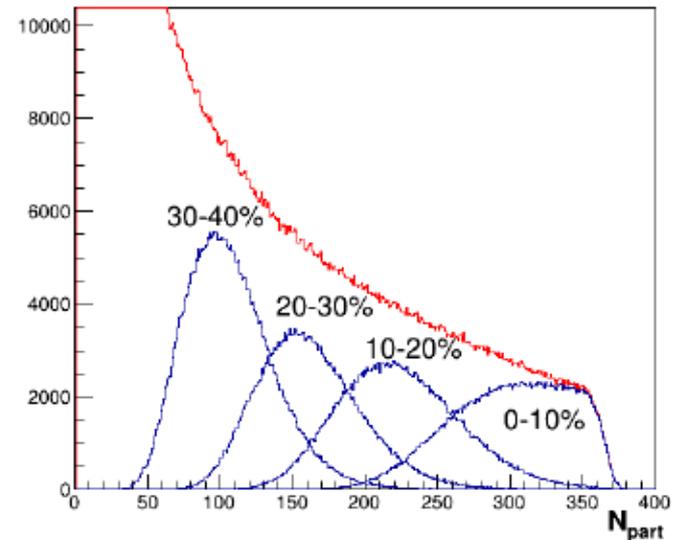
→ The effect of volume fluctuations on cumulants of the net baryon number

$$\begin{aligned}c_1 &= \kappa_1, \\c_2 &= \kappa_2 + \kappa_1^2 v_2, \\c_3 &= \kappa_3 + 3\kappa_2\kappa_1 v_2 + \kappa_1^3 v_3, \\c_4 &= \kappa_4 + (4\kappa_3\kappa_1 + 3\kappa_2^2) v_2 + 6\kappa_2\kappa_1^2 v_3 + \kappa_1^4 v_4,\end{aligned}$$

→  $N_{\text{part}} \sim \text{volume}$

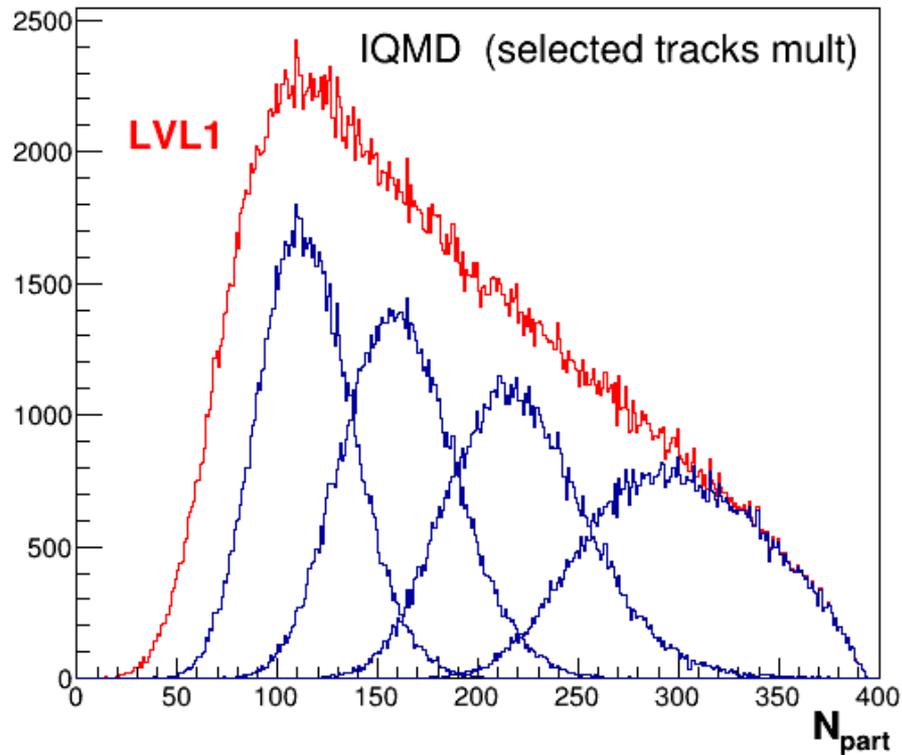
→ Modeled (Glauber and iQMD)  $N_{\text{part}}$  distribution to calculate the volume fluctuation cumulants

$\kappa_n$  baryon number cumulants  
 $c_n$  volume affected cumulants  
 $v_n$  volume fluctuations cumulants

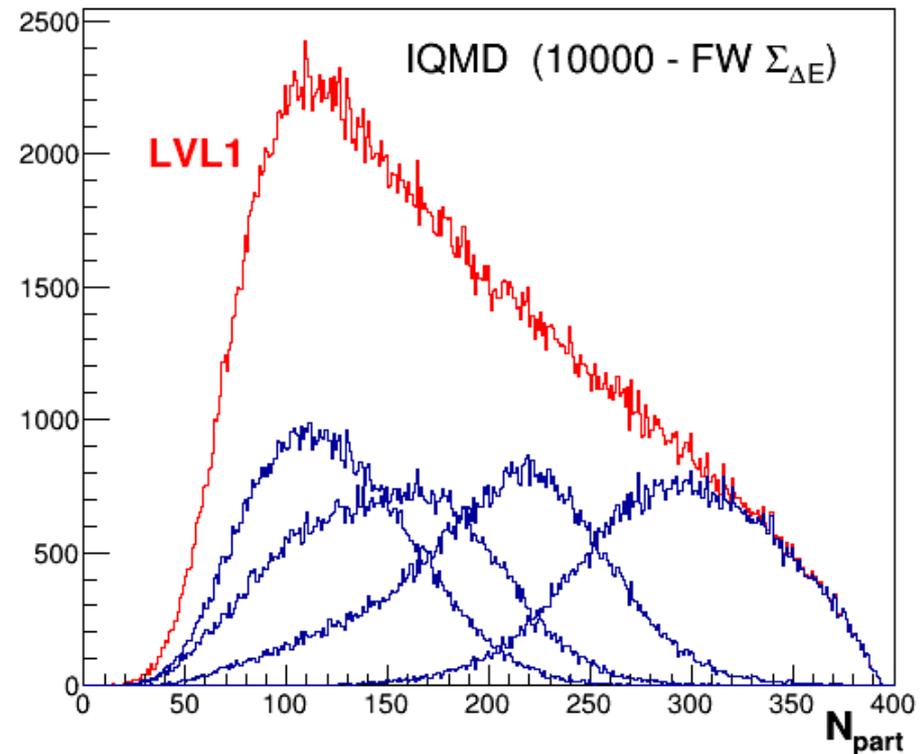


# $N_{\text{part}}$ from IQMD

## Tracks in MDC

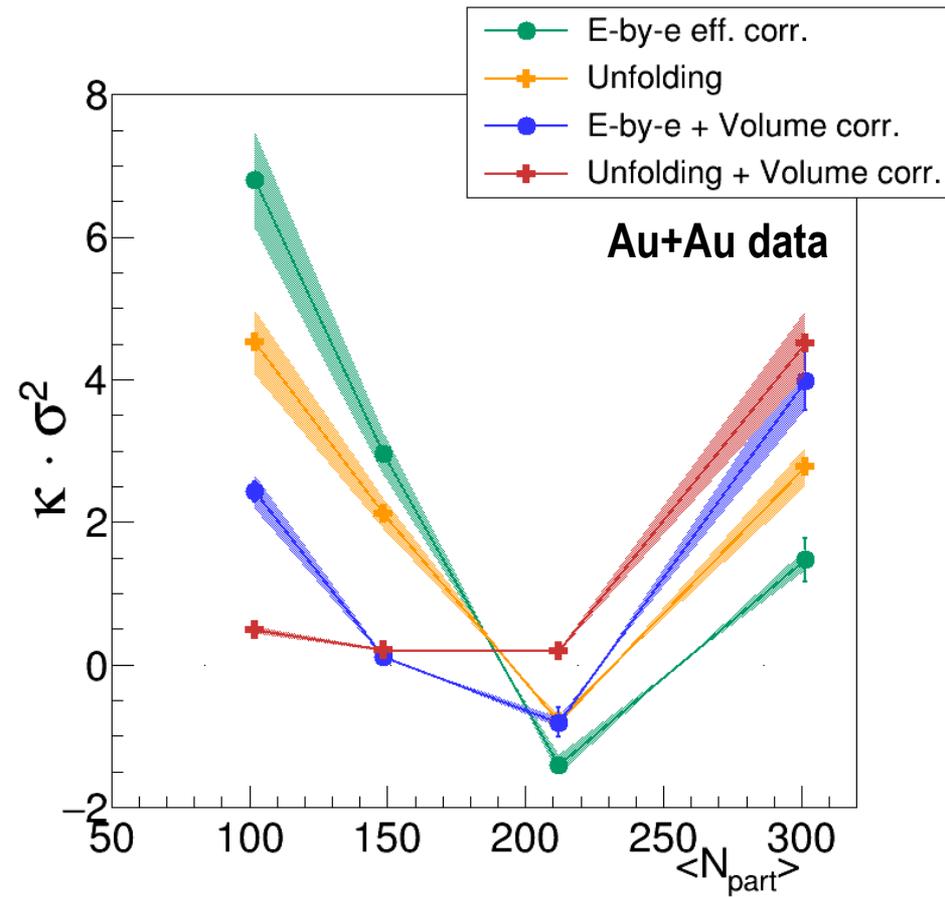
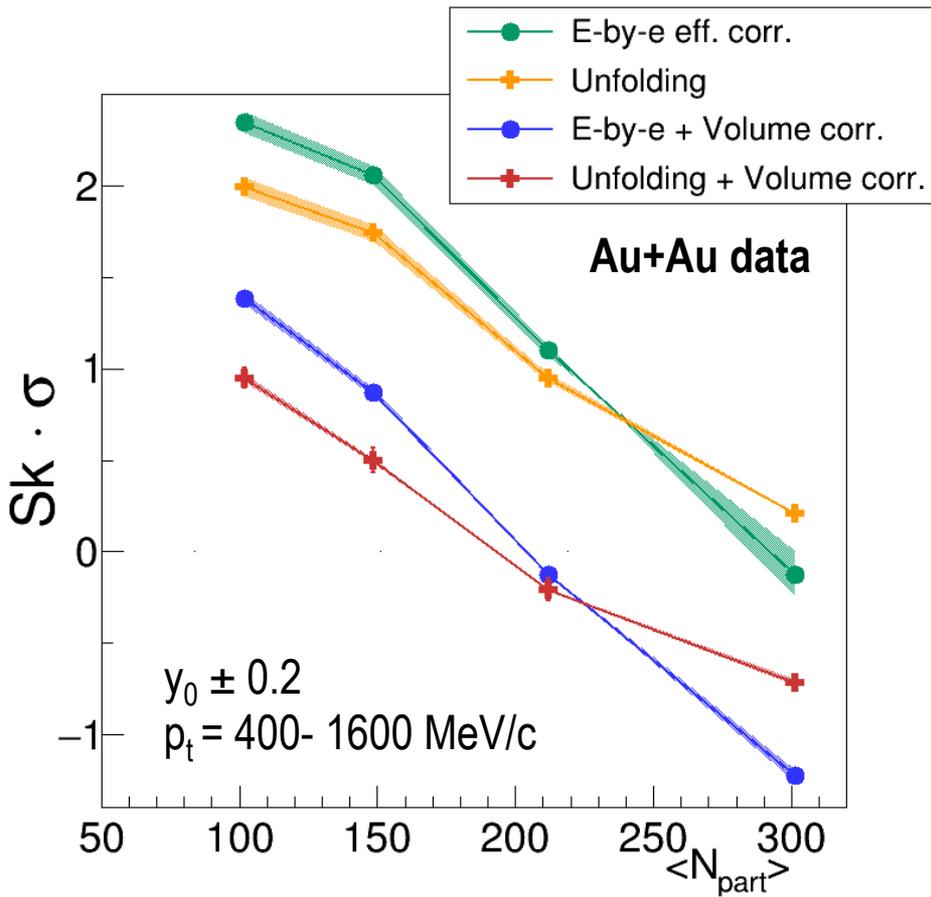


## Forward Wall



- For each IQMD event  $b$  is translated into  $N_{\text{part}}$  using Gosset et al. PRC 16
- FW sum of charge has worse resolution

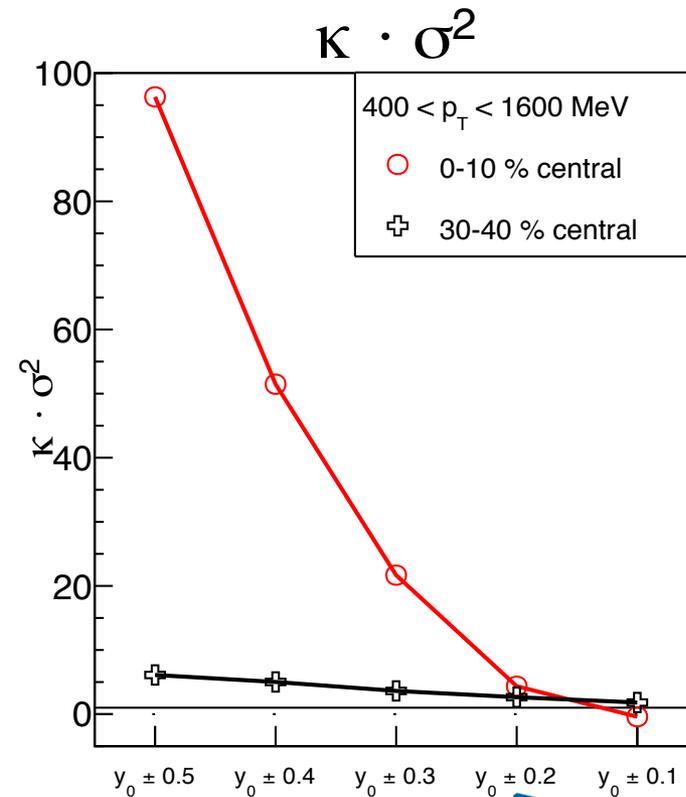
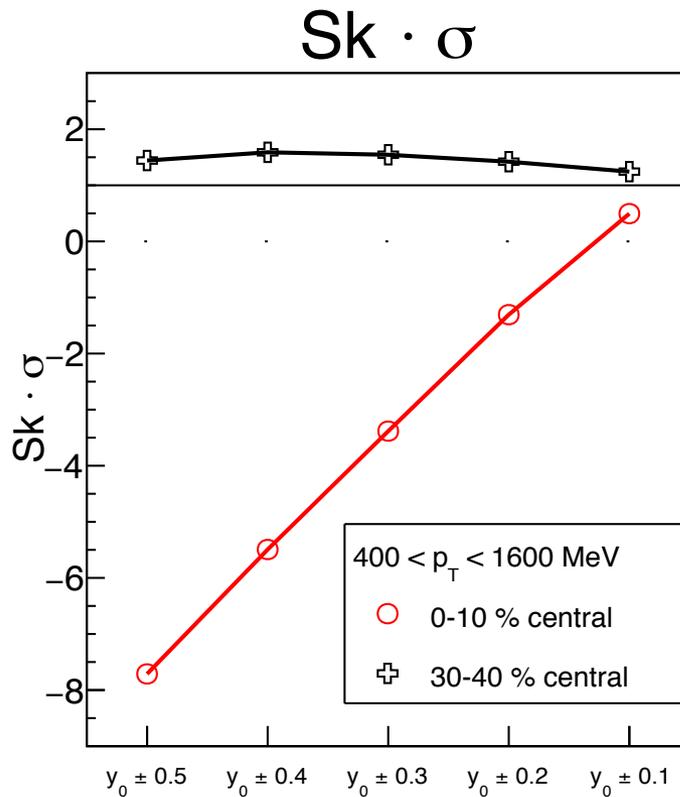
# Results with volume corrections (IQMD FW)



# Poisson limit

Poisson limit:  $S \cdot \sigma \rightarrow 1$  and  $\kappa \cdot \sigma^2 \rightarrow 1$  for  $\Delta y \rightarrow 0$

Efficiency corrected protons with volume corrections:

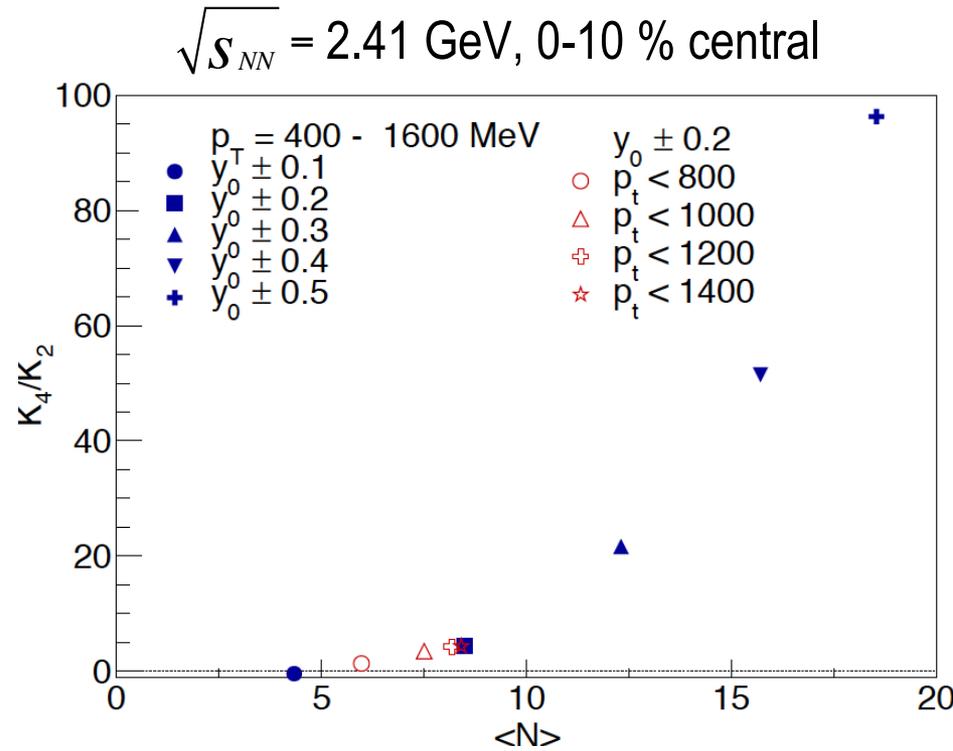
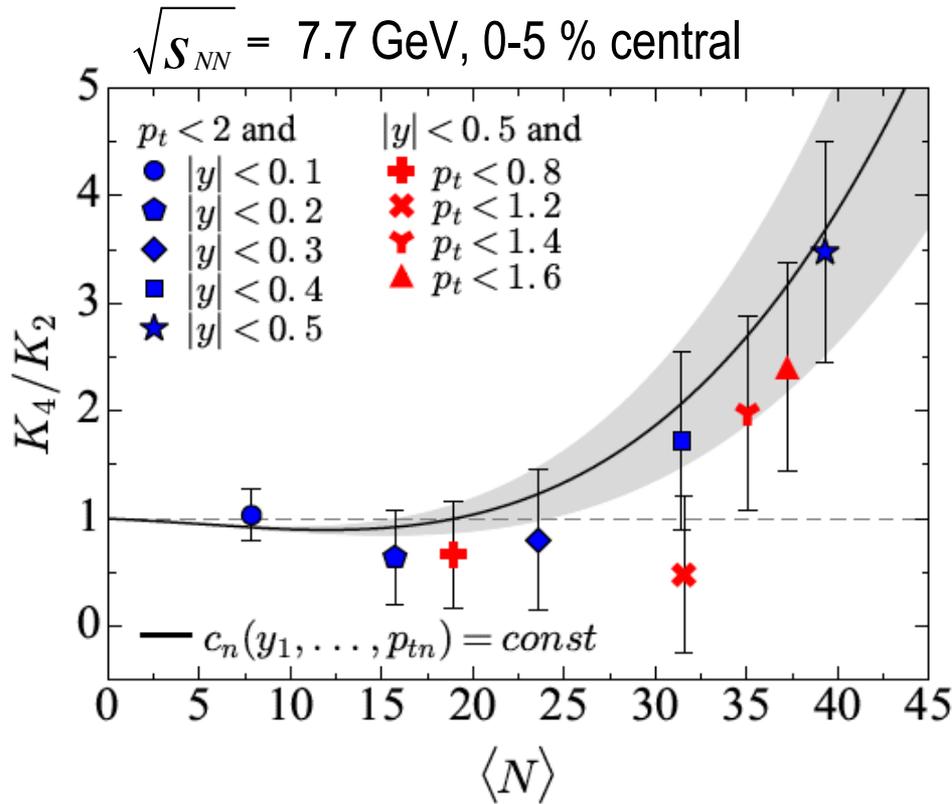


**! Strong dependence on  $\Delta y$  for most central collisions!**



# $p_t$ and $y$ dependence of $\kappa\sigma^2 = K_4/K_2$

Bzdak, Koch arXiv:1707.02640



# Cumulants & multi-particle correlators

Bzdak, Koch & Strodthoff, PRC 95, 054906 (2017) based on STAR data (X. Luo et al., CPOD2014)

- Cumulants mix correlations of different order, e.g.  $K_4$  contains two-, three- and four-particle correlations
- Measuring couplings of the multi-particle correlation functions could provide cleaner information on possible non-trivial dynamics in heavy-ion collisions  
→ extract true correlation functions from the measured cumulants

$$K_2 = \langle N \rangle + C_2,$$

$$K_3 = \langle N \rangle + 3C_2 + C_3,$$

$$K_4 = \langle N \rangle + 7C_2 + 6C_3 + C_4,$$

and

$$C_2 = -\langle N \rangle + K_2,$$

$$C_3 = 2\langle N \rangle - 3K_2 + K_3,$$

$$C_4 = -6\langle N \rangle + 11K_2 - 6K_3 + K_4.$$

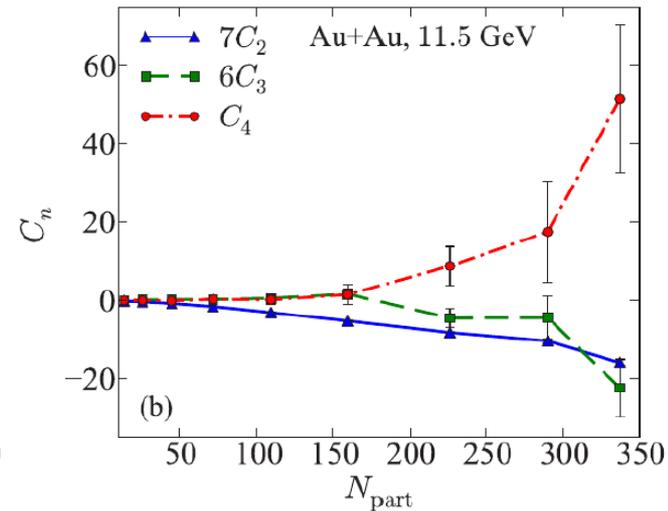
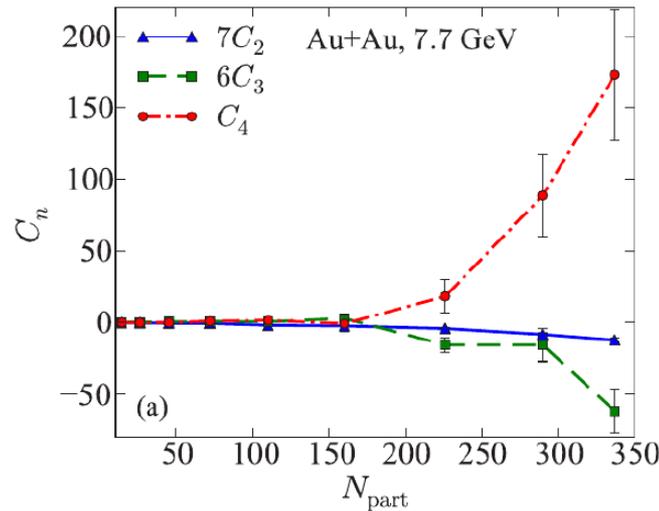
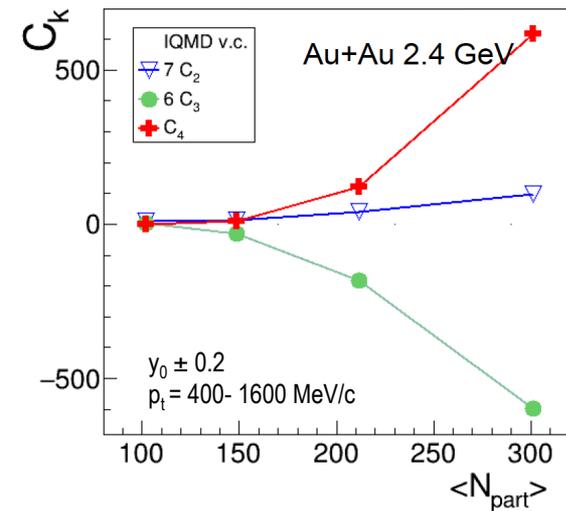
- Reduced correlation functions

$$C_k = \langle N \rangle^k c_k,$$

# $N_{\text{part}}$ dependance of proton correlations

- $C_k$  vs.  $N_{\text{part}}$  as a better approach to isolate critical fluctuations:
- Factors to reflect their relative contribution to the fourth order cumulant

$$\kappa_4 = \langle N \rangle + 7C_2 + 6C_3 + C_4$$



$\kappa_k$ : cumulants  
 $C_k$ : multi-particle correlators

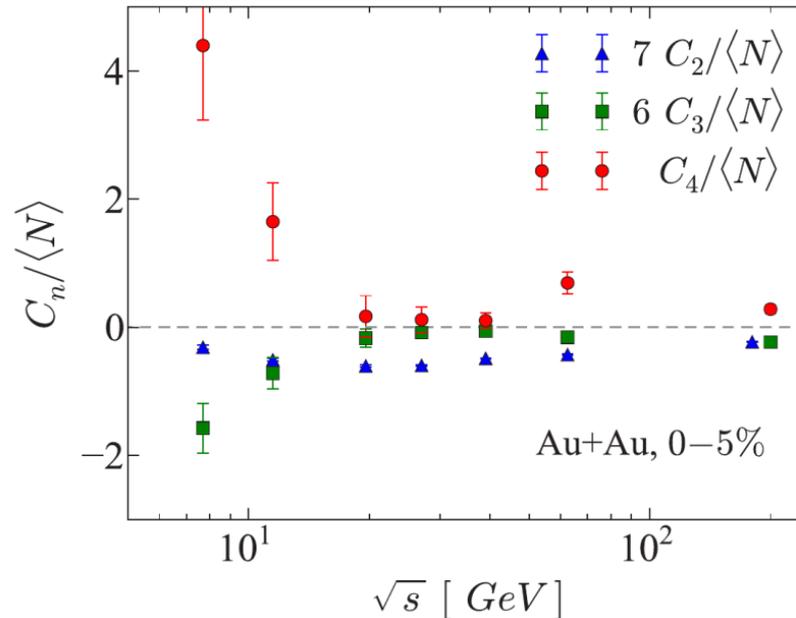
# Proton correlations $C_n/N_p$ vs. Beam Energy

HADES:  $\sqrt{s} = 2.4$  GeV

$$C_4/N \simeq 36$$

$$7 C_2/N \simeq 6$$

$$6 C_3/N \simeq -35$$



- At energies larger than 19.6 GeV anti-protons become non-negligible, and thus the physical interpretation is less clear.
- K4/K2 is dominated by  $C_4$  at low energies and by  $C_2$  at 19.6 GeV.

→ should not forget that there are sources of correlations other than critical dynamics. need to be removed and understood

# What we did...

## 1) Systematic checks in simulations (UrQMD + Geant)

- Centrality selection
- Efficiency correction
  - (Pt-y dependend eff correction)
  - Pt-y and track multiplicity dependend eff correction
  - Unfolding

## 2) Au+Au data

- Centrality with EvtCharaClass: FWSumCharge
- Two different eff correction methods
  - Higher order moments of proton distribution
  - Higher order moments of proton and deuteron distributions

## 3) Volume fluctuations

- Glauber model
- IQMD

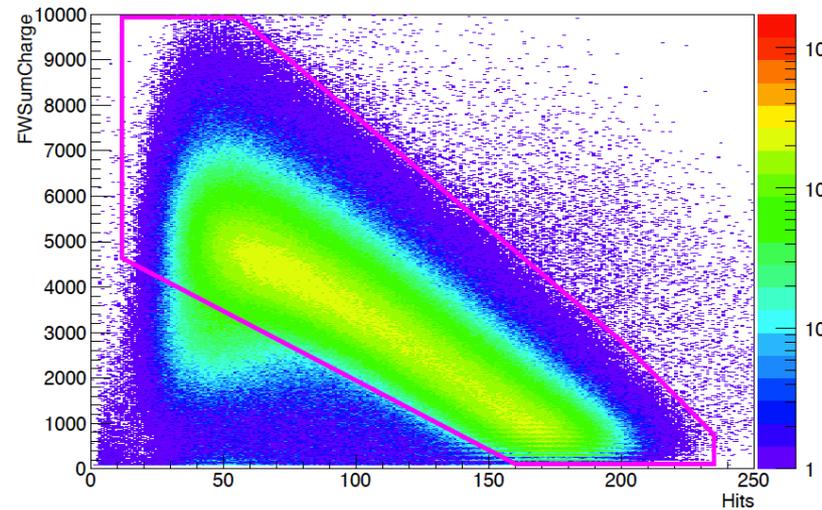
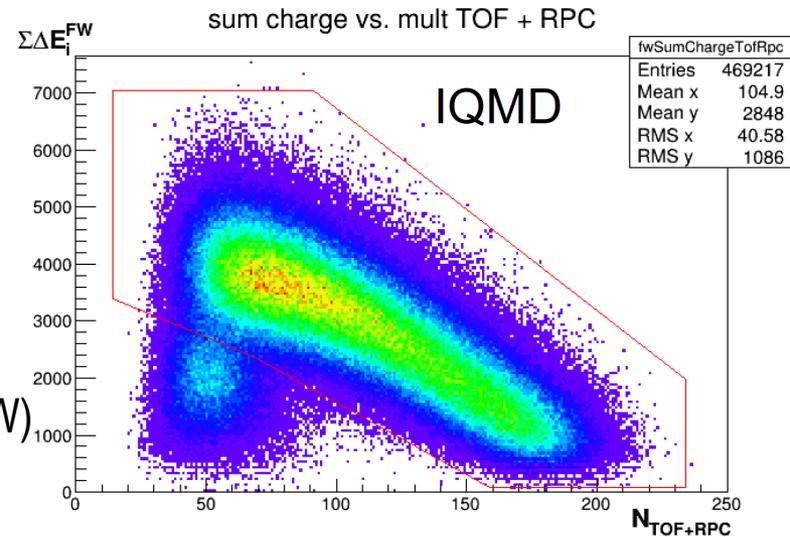
# What to do...

## 1) Systematic checks in simulations (IQMD + Geant)

- Centrality selection
  - FW
  - Resolution vs autocorrelation (Hits/Tracks/FW)
- Include fragments (efficiency, ...)

## 2) Possible contamination of moments

- Resolution centrality estimation
- Au+C reactions
- Pile up effects
- ...



# Conclusions

Study of centrality selection and efficiency correction in simulation

Introduction of two different eff. correction methods

- Within error bars, both methods deliver compatible results

First look to volume fluctuation correction

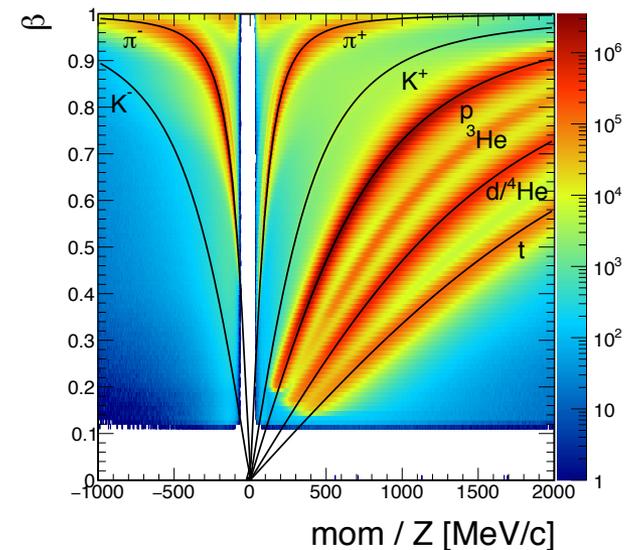
- Volume correction influences higher order moments

Choice of phase-space bin ? ( $p_t$ ,  $y$ )

Fluctuations on baryon number

- Bound protons?!

Possible contamination of moments



# The HADES Collaboration



# Backup

### HADES:

$p_t = 400 - 1600 \text{ MeV}/c$

$y = y_0 \pm 0.2$

Forward Wall

Volume Corrected

### STAR:

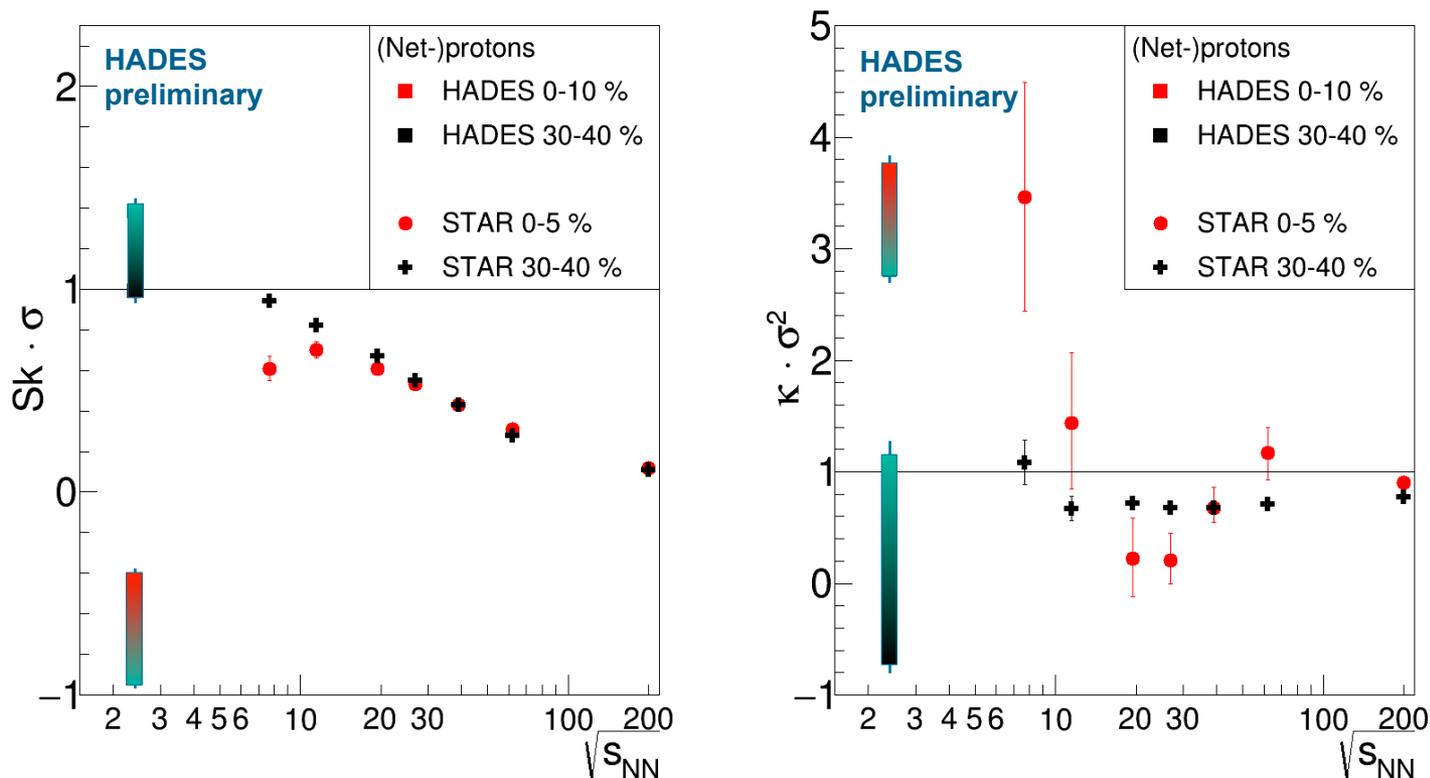
$p_t = 400 - 2000 \text{ MeV}/c$

$y = y_0 \pm 0.5$

NOT Volume Corrected

## Comparison with STAR - (Net-) Proton

STAR analysis: Xiaofeng Luo et al., PoS (CPOD2014) 019  
arXiv:1503.02558v2

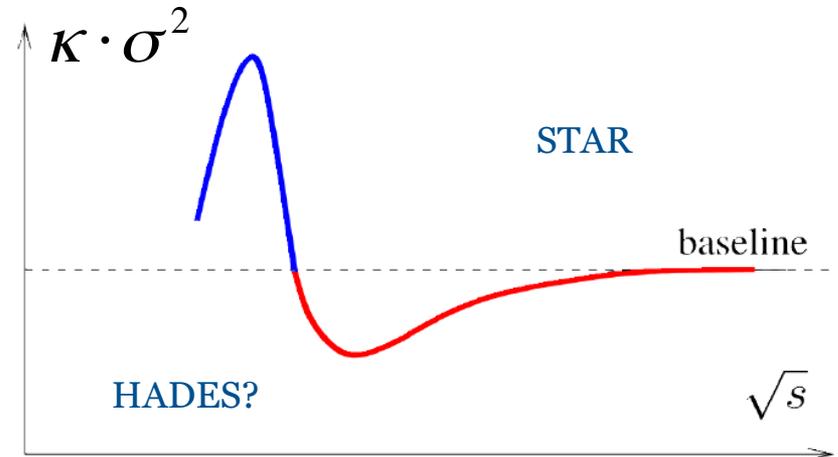
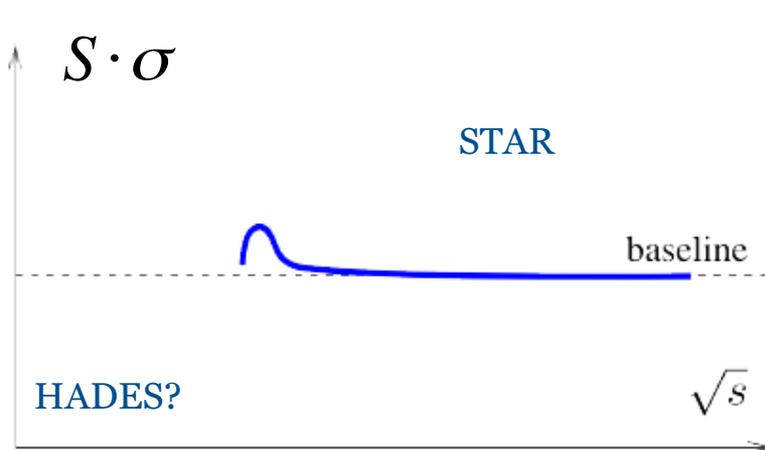
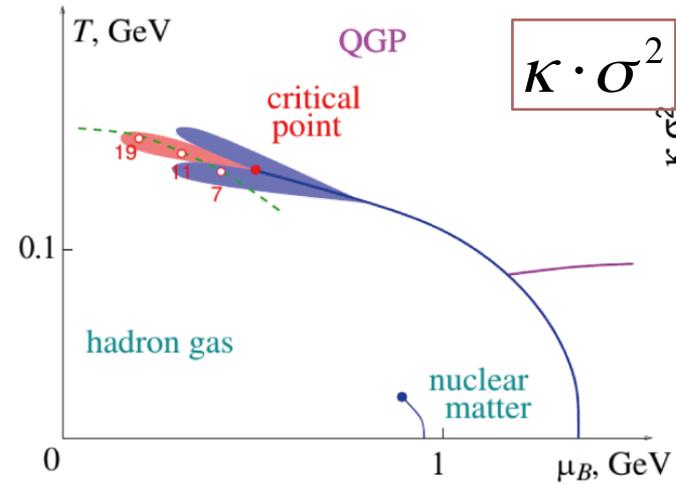
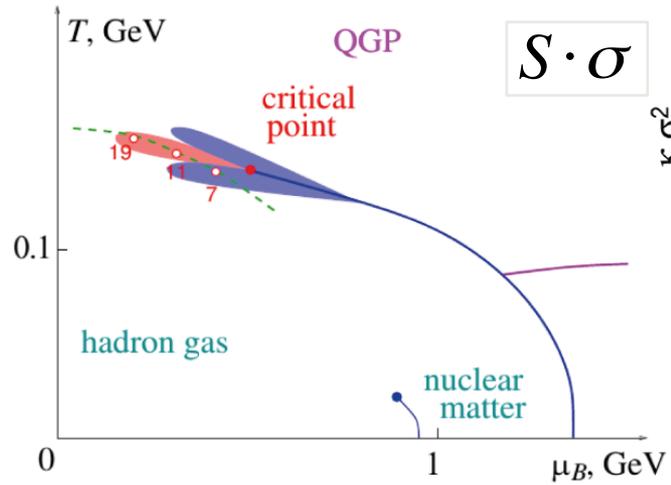


■ red/black = unfolding (preferred method) + vol. flucs. corr.

■ green = evt-by-evt eff correction of factorial moments + vol. flucs. corr.

# Theoretical Expectation

Misha Stephanov, CPOD 2014



# Correct the moments

$$(1) \quad F_{i,k}(N_p, N_{\bar{p}}) = \left\langle \frac{N_p!}{(N_p - i)!} \frac{N_{\bar{p}}!}{(N_{\bar{p}} - k)!} \right\rangle = \sum_{N_p=i}^{\infty} \sum_{N_{\bar{p}}=k}^{\infty} P(N_p, N_{\bar{p}}) \frac{N_p!}{(N_p - i)!} \frac{N_{\bar{p}}!}{(N_{\bar{p}} - k)!} \quad F_{i,k}(N_p, N_{\bar{p}}) = \frac{f_{i,k}(n_p, n_{\bar{p}})}{(\varepsilon_p)^i (\varepsilon_{\bar{p}})^k}$$

$$f_{i,k}(n_p, n_{\bar{p}}) = \left\langle \frac{n_p!}{(n_p - i)!} \frac{n_{\bar{p}}!}{(n_{\bar{p}} - k)!} \right\rangle = \sum_{n_p=i}^{\infty} \sum_{n_{\bar{p}}=k}^{\infty} p(n_p, n_{\bar{p}}) \frac{n_p!}{(n_p - i)!} \frac{n_{\bar{p}}!}{(n_{\bar{p}} - k)!}$$

$$(2) \quad A_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k) = \langle N(x_1)[N(x_2) - \delta_{x_1, x_2}] \dots [N(x_i) - \delta_{x_1, x_i} - \dots - \delta_{x_{i-1}, x_i}] \bar{N}(\bar{x}_1)[\bar{N}(\bar{x}_2) - \delta_{\bar{x}_1, \bar{x}_2}] \dots [\bar{N}(\bar{x}_k) - \delta_{\bar{x}_1, \bar{x}_k} - \dots - \delta_{\bar{x}_{k-1}, \bar{x}_k}] \rangle$$

„local factorial moments“

$$a_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k) = \langle n(x_1)[n(x_2) - \delta_{x_1, x_2}] \dots [n(x_i) - \delta_{x_1, x_i} - \dots - \delta_{x_{i-1}, x_i}] \bar{n}(\bar{x}_1)[\bar{n}(\bar{x}_2) - \delta_{\bar{x}_1, \bar{x}_2}] \dots [\bar{n}(\bar{x}_k) - \delta_{\bar{x}_1, \bar{x}_k} - \dots - \delta_{\bar{x}_{k-1}, \bar{x}_k}] \rangle.$$

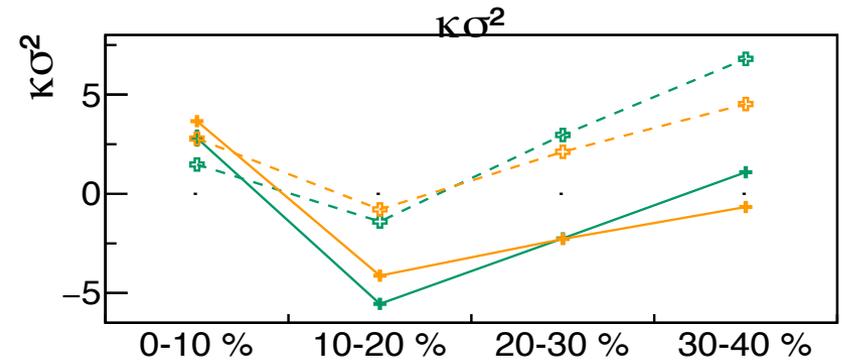
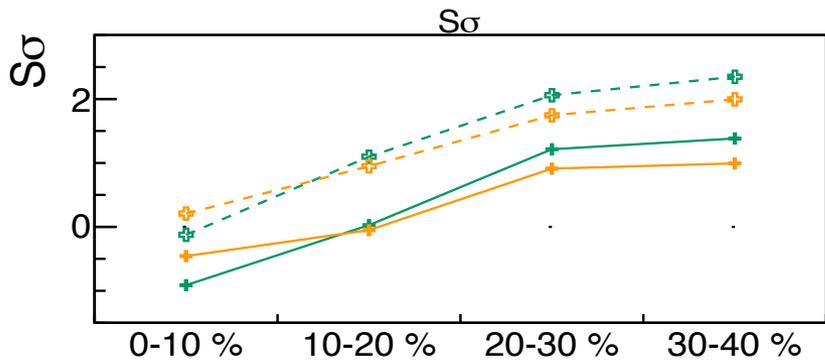
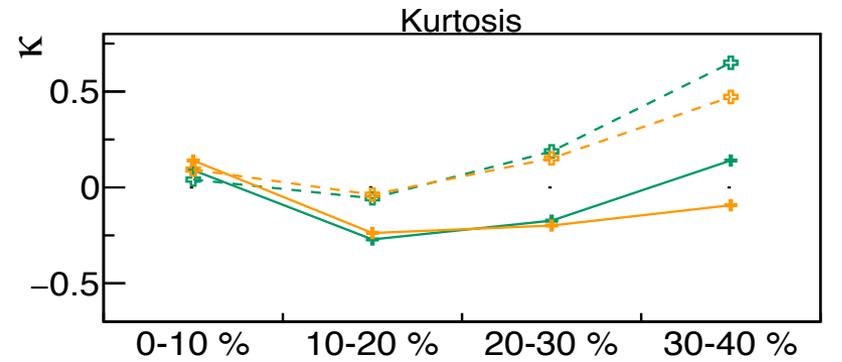
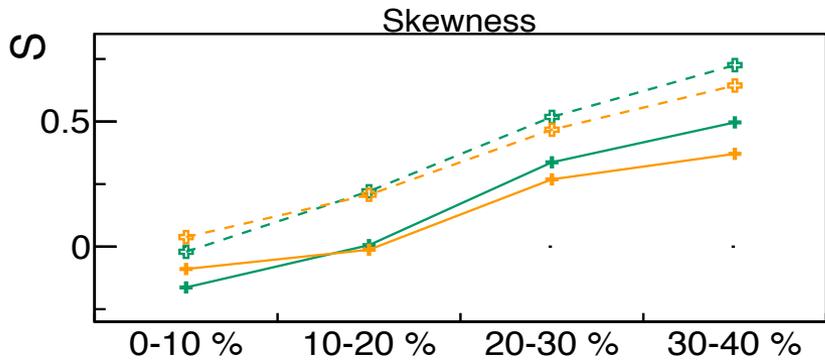
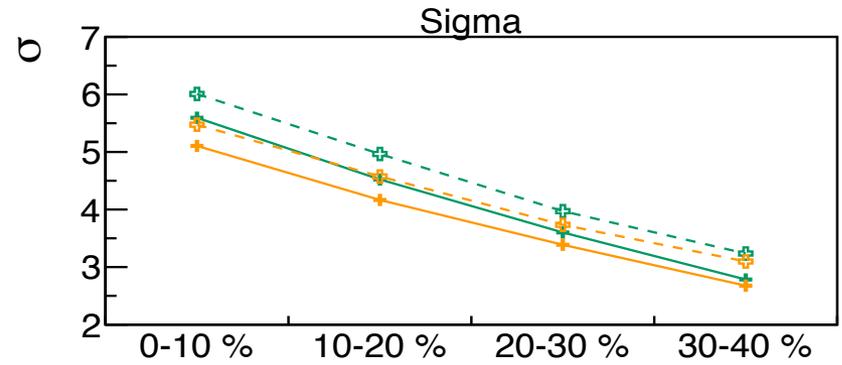
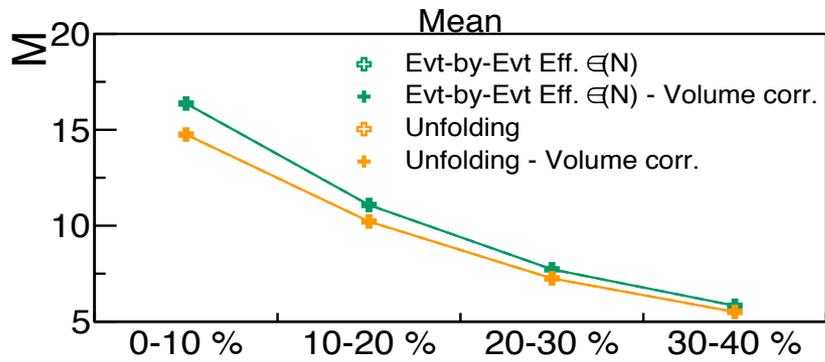
$$(3) \quad F_{i,k} = \sum_{x_1, \dots, x_i} \sum_{\bar{x}_1, \dots, \bar{x}_k} A_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k)$$

$$f_{i,k} = \sum_{x_1, \dots, x_i} \sum_{\bar{x}_1, \dots, \bar{x}_k} a_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k)$$

$$F_{i,k} = \sum_{x_1, \dots, x_i} \sum_{\bar{x}_1, \dots, \bar{x}_k} \frac{a_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k)}{\epsilon(x_1) \dots \epsilon(x_i) \bar{\epsilon}(\bar{x}_1) \dots \bar{\epsilon}(\bar{x}_k)}$$

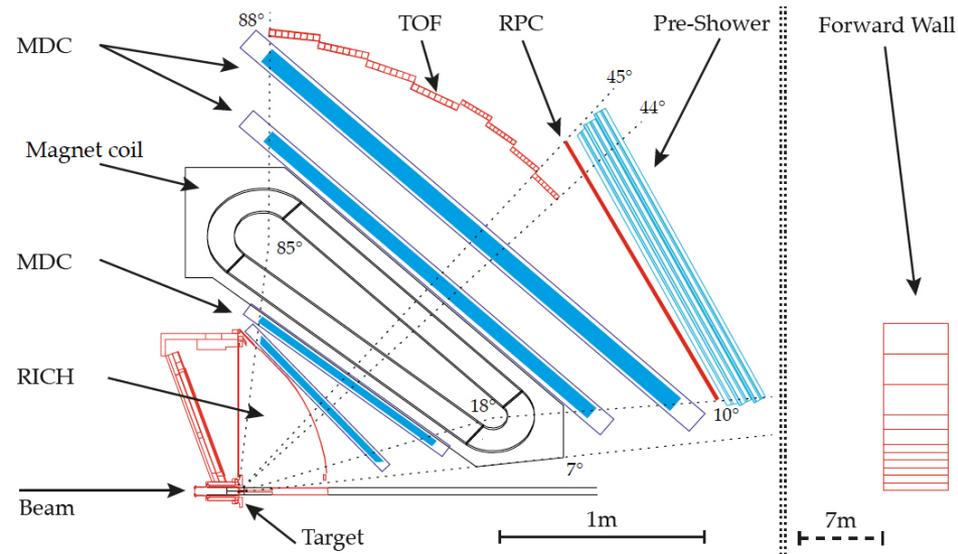
Bzdak & Koch, PRC 86 (2012);  
Xiaofeng Luo, arXiv:1410.3914 (2014)

# Volume Corrections



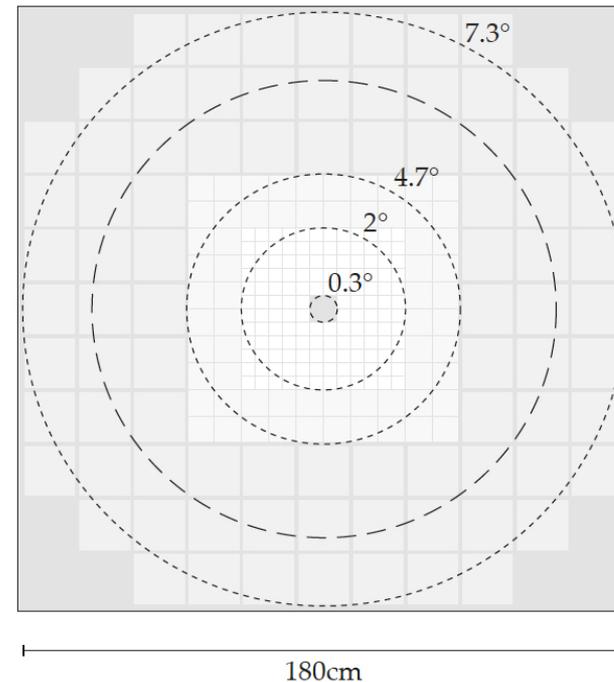
# Centrality determination

- Number of tracks in MDCs
- Number of hits in time-of-flight detectors (TOF+RPC)
- Sum of charge in Forward Wall (spectators)

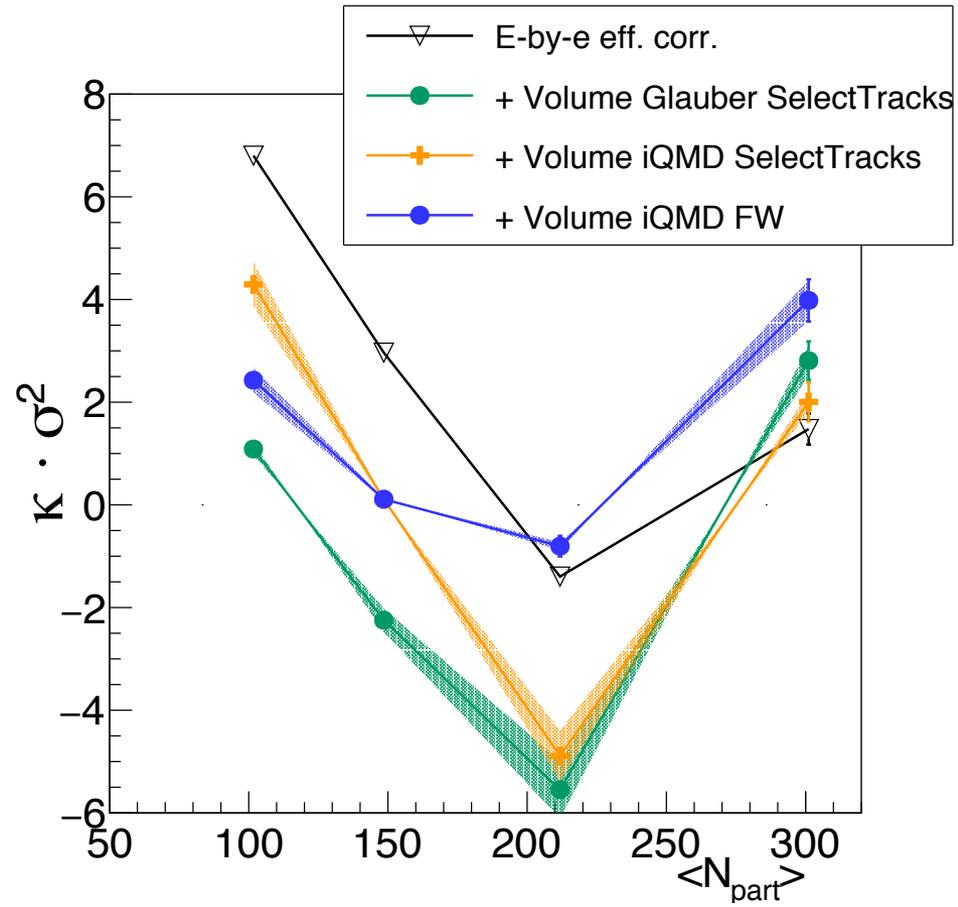
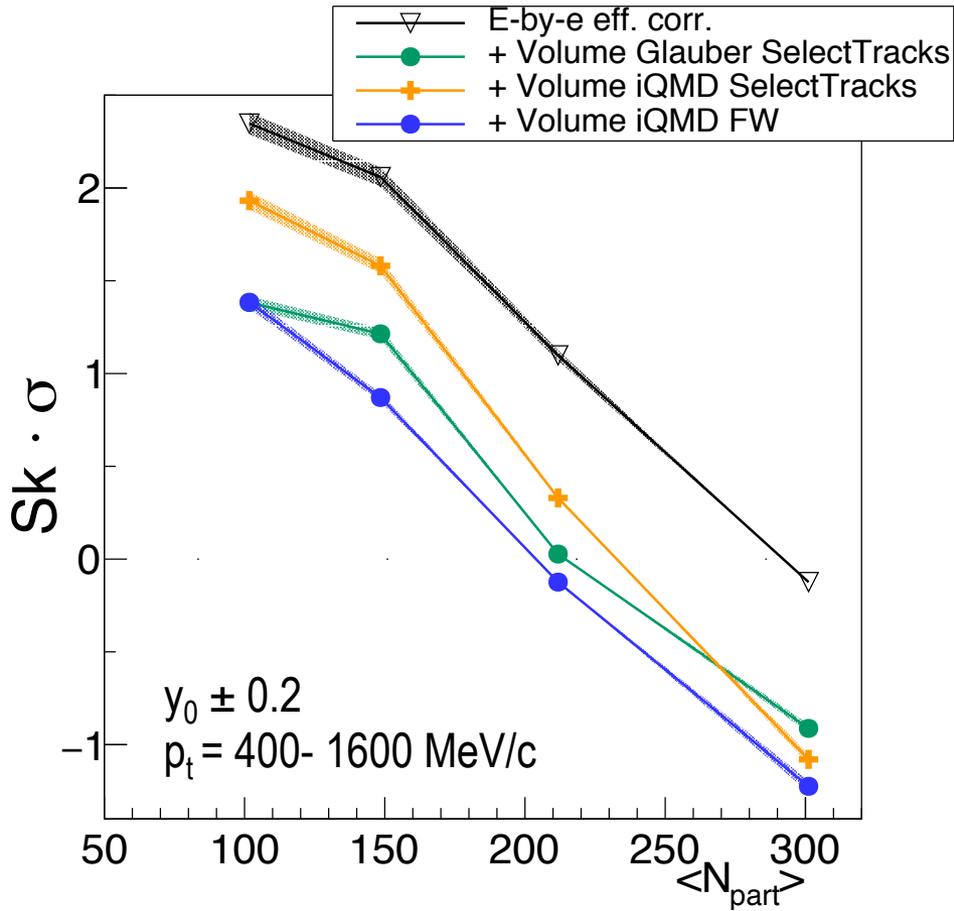


## Forward Wall

- Plastic Scintillator
- $\theta = 0.5 - 7.5^\circ$
- 3 different sizes

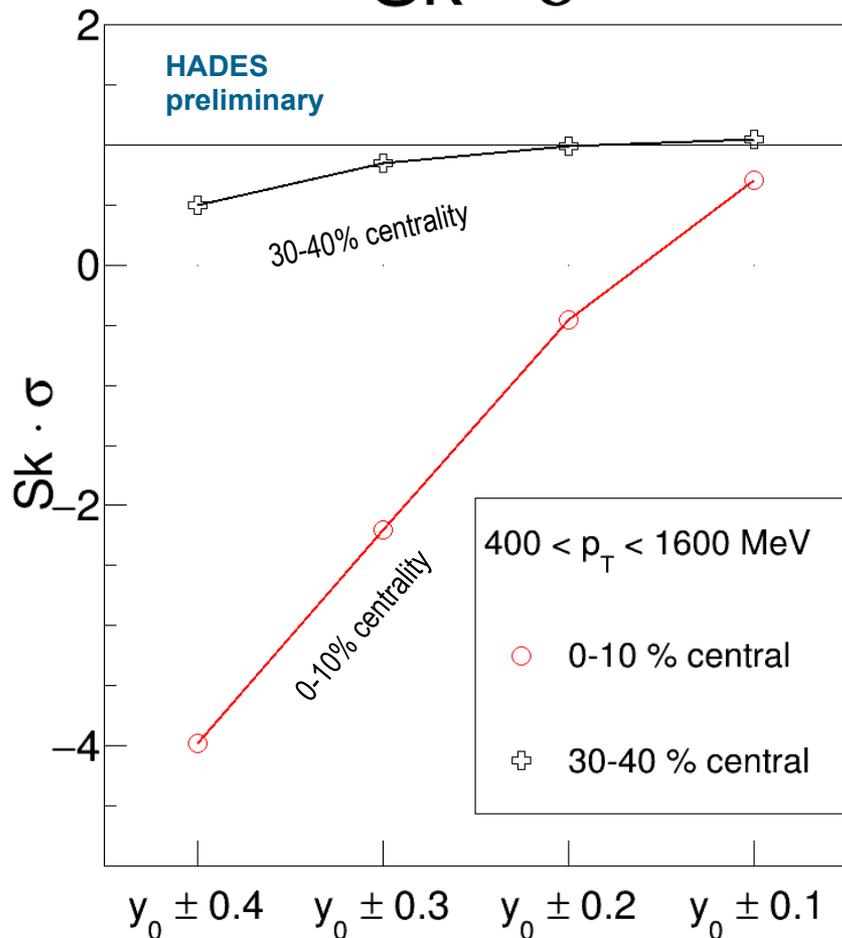


# Volume Correction: Glauber vs IQMD

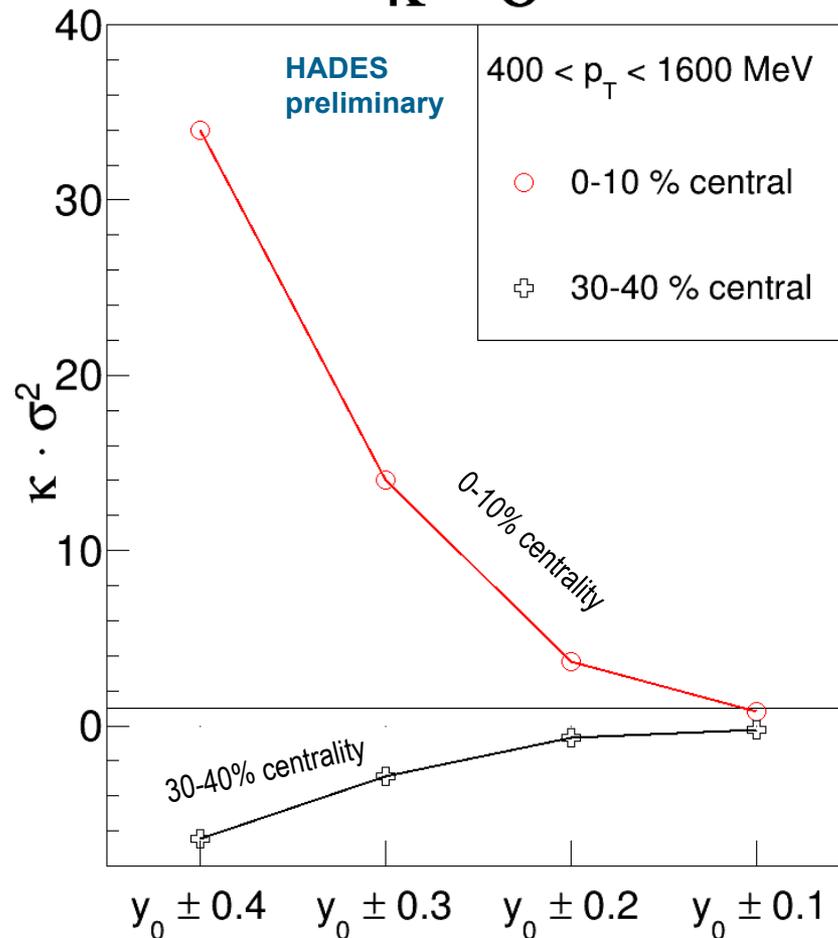


Poisson Limit:  $\Delta y \rightarrow 0$

$S_k \cdot \sigma$

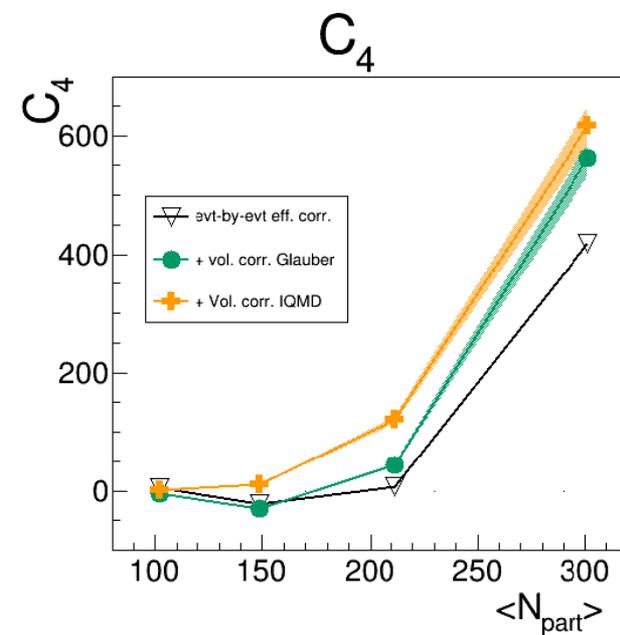
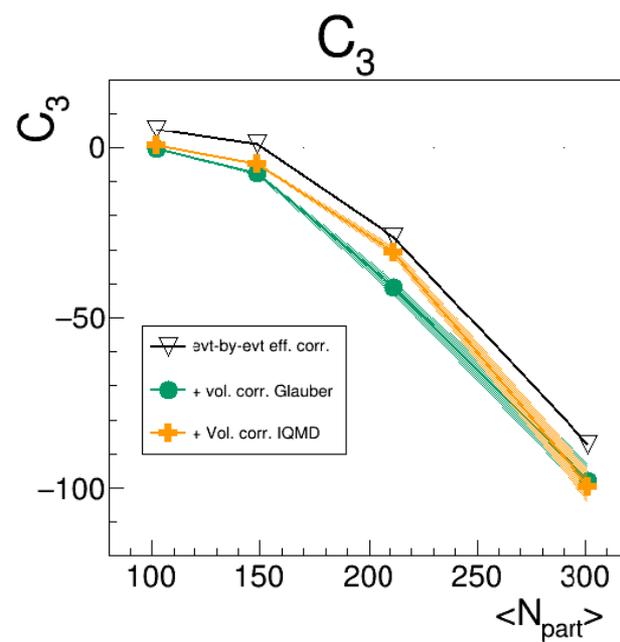
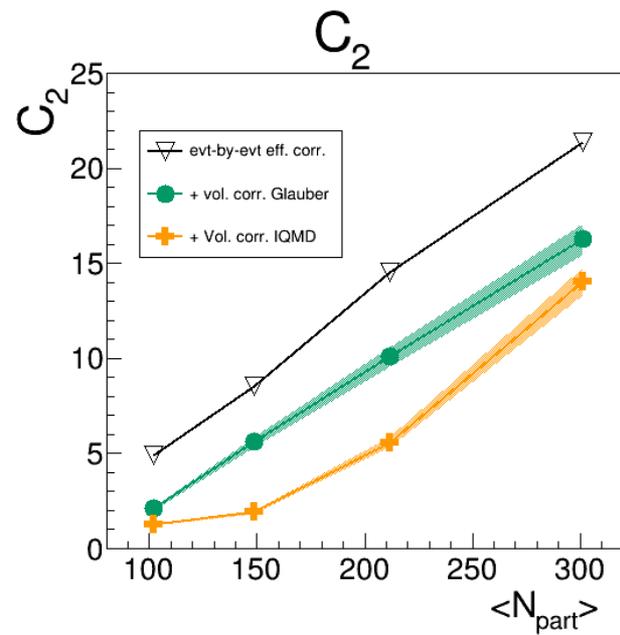


$\kappa \cdot \sigma^2$



# Proton n-particle correlations: $C_n$ vs $N_{part}$

→ Going from cumulants  $\kappa_n$  to correlations  $C_n$ :



$y_0 \pm 0.2$   
 $p_t = 400-1600 \text{ MeV}/c$

→  $C_4$  is clearly the dominant contribution to the fourth order cumulant

# Proton correlations $C_n/N_p$ vs. $N_{part}$

